

# MATH3968 Lecture 35

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Alternatively, we can think of a tangent vector as an operator that acts on functions (to give a “directional derivative”).

**Definition 1** ( Derivations at  $p$  ). Let  $M$  be a smooth manifold,  $p \in M$ , and denote by  $C^\infty(M)$  the real vector space of smooth functions on  $M$ . A *derivation at  $p$*   $M$  is a map

$$D : C^\infty(M) \rightarrow \mathbb{R}$$

which

1. is linear, ie  $D(f + g) = D(f) + D(g)$ ,  $D(cf) = cD(f)$ ,  $f, g \in C^\infty(M)$ ,  $c \in \mathbb{R}$ .
2. satisfies the product rule at  $p$ :

$$D(fg) = f(p)D(g) + g(p)D(f).$$

Notice that for surfaces in  $\mathbb{R}^3$ , the directional derivative

$$\alpha'(0)(f) = \left. \frac{d(f \circ \alpha)}{dt} \right|_{t=0}.$$

determined by the tangent vector  $\alpha'(0)$  is indeed a derivation.

**Notation:** Previously, we have used the notation

$$E_i = \frac{\partial \varphi}{\partial u^i}.$$

When thinking of tangent vectors as operators, it is convenient to drop the explicit reference to the coordinate chart, and write

$$\frac{\partial}{\partial u^i} : f \mapsto \frac{\partial f(p)}{\partial u^i}.$$

Similarly, it is convenient to write a function  $f$  on  $M$  as  $f(u^1, \dots, u^n)$  rather than  $f(\varphi(u^1, \dots, u^n))$ .

Notice that in all these notations, the point  $p$  is implicit.

**Proposition 2.** *The set of all derivations at  $p \in M^n$  forms a  $n$ -dimensional vector space, with basis*

$$\frac{\partial}{\partial u^i} : f \mapsto \frac{\partial f(p)}{\partial u^i},$$

where

$$\begin{aligned} \varphi : U \subset \mathbb{R}^n &\rightarrow M \\ (u^1, \dots, u^n) &\mapsto \varphi(u^1, \dots, u^n) \end{aligned}$$

is a local parametrisation of the smooth manifold  $M$  near  $p$ , with  $U$  a convex open neighbourhood of  $0 \in \mathbb{R}^n$  and  $\varphi(0) = p$ .

**Proof:** It is easy to check that a linear combination of derivations is still a derivation.

**Proof (con'd):**

The  $\frac{\partial}{\partial u^i}$  are linearly independent; if  $a^i \frac{\partial}{\partial u^i} = 0$  then

$$0 = a^i \frac{\partial}{\partial u^i}(u_j) = a^j \text{ for each } j = 1, \dots, n.$$

It remains to show that any derivation at  $p$  can be written as a linear combination of the  $\frac{\partial}{\partial u^i}$ , with coefficients as described above.

**Lemma 3.** *Let  $f : \varphi(U) \rightarrow \mathbb{R}$  be a smooth function with  $f(p) = 0$ .*

*Then there are smooth functions  $a_i : \varphi(U) \rightarrow \mathbb{R}$ , such that*

1.  $f(\varphi(u^1, \dots, u^n)) = a_i u^i$  using Einstein summation convention
2.  $a_i(p) = \frac{\partial(f \circ \varphi)}{\partial u^i}(0)$ .

The second condition follows from the first, by differentiating with respect to  $u^i$  and evaluating at  $u = (0, \dots, 0)$ . we achieve simpler notation by omitting the  $\varphi$  and writing

$$a_i(p) = \frac{\partial f}{\partial u^i}(p).$$

**Proof:**

Fix  $u \in U$ . Since  $(0, \dots, 0) \in U$  and  $U$  is convex (contains the line between any two points in it),  $tu \in U$  for  $0 \leq t \leq 1$ .

Define

$$h_u(t) = f(\varphi(tu)), \quad 0 \leq t \leq 1.$$

Then

$$f(\varphi(u)) - f(\varphi(0)) = \int_0^1 h'_u(t) dt = \int_0^1 u^i \frac{\partial(f \circ \varphi)}{\partial u^i} dt$$

so we may take

$$a_i = \int_0^1 \frac{\partial(f \circ \varphi)}{\partial u^i} dt.$$

□

We now show that any derivation  $D$  at  $p$  can be written as a linear combination of the  $\frac{\partial}{\partial u^i}$ .

First notice that

$$D(1) = D(1 \cdot 1) = 1D(1) + 1D(1)$$

so  $D(1) = 0$ . Then also  $D(c) = cD(1) = 0$  for any constant function  $c$ .

Using the above lemma,

$$\begin{aligned} D(f) - D(f(0)) &= D(f) \\ &= D(a_i u^i) \\ &= D(a_i) u^i(p) + a_i(p) D(u^i) \\ &= \frac{\partial f}{\partial u^i}(p). \end{aligned}$$

□

**Proposition 4** ( Tangent Vectors As Derivations ). *There is a one to one correspondence between equivalence classes of curves through  $p \in M$  (as defined above) and derivations at  $p$ . Thus we can alternatively define a tangent vector at  $p$  to be a derivation at  $p$ .*

**Proof:** Given an equivalence class of curves  $[\alpha]$ , choose a representative  $\alpha : (-\epsilon, \epsilon) \rightarrow M$  and define  $D_{[\alpha]}$  by

$$D_{[\alpha]}(f) := \left. \frac{d(f \circ \alpha)}{dt} \right|_{t=0}.$$

Check (tutorial exercise) that this is well-defined (independent of the choice of representative  $\alpha$ ), 1-1, and that  $D$  is a derivation at  $p$ , i.e.

$$D(f + g) = D(f) + D(g), \quad D(cf) = cD(f), \quad D(fg) = f(p)D(g) + g(p)D(f).$$

Given a derivation  $D$  at  $p$ , we would like to define a curve  $\alpha$  so that  $D$  corresponds to differentiating along  $\alpha$  at  $p$ .

Recall that for regular surfaces in  $\mathbb{R}^3$ , if  $\alpha(t) = \varphi(u^1(t), u^2(t))$ , with  $\alpha(0) = p$ ,

$$\begin{aligned} \alpha'(0) &= u^{1'}(0)\mathbf{E}_1 + u^{2'}(0)\mathbf{E}_2 \\ &= D_{[\alpha]}(u^1)\mathbf{E}_1 + D_{[\alpha]}(u^2)\mathbf{E}_2 \end{aligned}$$

The simplest curve with this derivative is given by using a straight line:

$$\beta(t) = \varphi(D_{[\alpha]}(u^1)t, D_{[\alpha]}(u^2)t)$$

where we have assumed that  $\varphi(0, 0) = p$ .

Motivated by this, define

$$\alpha_D(t) = \varphi(D(u^1)t, \dots, D(u^n)t).$$

**Question 5.** Show that  $[\alpha_{D_\alpha}] = [\alpha]$ ,  $D_{[\alpha_D]} = D$ .

To prove  $D_{[\alpha_D]} = D$ , by our lemma above, it suffices to evaluate on the functions  $u^i : M \rightarrow \mathbb{R}$ :

$$\begin{aligned} D_{[\alpha_D]}(u^i) &= \left. \frac{u^i \circ \alpha_D}{dt} \right|_{t=0} \\ &= \left. \frac{u^i \circ \varphi(D(u^1)t, \dots, D(u^n)t)}{dt} \right|_{t=0} \\ &= D(u^i) \end{aligned}$$

We have shown that every derivation acts as a directional derivative

$$D_{[\alpha]}(f) := \left. \frac{d(f \circ \alpha)}{dt} \right|_{t=0}.$$

for some  $\alpha$ . □

**Corollary 7.** Let  $M$  be a smooth manifold,  $p \in M$ ,  $\varphi : U \subset \mathbb{R}^n \rightarrow M$  a coordinate chart around  $p$ , and  $u^1, \dots, u^n$  the standard coordinates in  $\mathbb{R}^n$ .

Define the tangent space  $T_p M$  to  $M$  to be the set of all tangent vectors to  $M$  at  $p$ . Then  $T_p M$  is an  $n$ -dimensional vector space, with basis vectors

$$\frac{\partial}{\partial u^1}, \dots, \frac{\partial}{\partial u^n}.$$

*Example 8.* The simplest  $n$ -dimensional manifold is  $\mathbb{R}^n$ , with the identity map as a single coordinate chart.

Then a basis for  $T_p \mathbb{R}^n$  (any  $p$ ) is

$$\frac{\partial}{\partial u^i} : f \mapsto \frac{\partial f(p)}{\partial u^i},$$

or as equivalence classes of curves,

$$[(t, 0, \dots, 0)], [(0, t, 0, \dots, 0)], \dots, [(0, \dots, 0, t)].$$

*Example 9* ( Real projective space,  $\mathbb{RP}^n$ ). Recall that  $\mathbb{RP}^n$  is the space of lines through the origin in  $\mathbb{R}^{n+1}$ . Equivalently,

$$\mathbb{RP}^n = \frac{\mathbb{R}^{n+1} \setminus \{0\}}{\sim},$$

where

$$(X_0, X_1, \dots, X_n) \sim \lambda(X_0, X_1, \dots, X_n), \lambda \in \mathbb{R} \setminus \{0\}.$$

Write the equivalence class of  $(X_0, X_1, \dots, X_n)$  as  $[X_0 : X_1 : \dots : X_n]$  Let

$$U_i = \{[X_0 : X_1 : \dots : X_n] : X_i \neq 0\}, i = 0, 1, \dots, n$$

and note that  $X_i = 0$  is well-defined even though  $X_i =$  any other number is not.

*Example 9* (continued). Every equivalence class in  $U_0$  has a unique representative with  $X_0 = 1$ .

Define

$$\begin{aligned} \varphi_0 : \mathbb{R}^n &\rightarrow U_0 \\ (u^1, u^2, \dots, u^n) &\mapsto [1 : u^1 : u^2 : \dots : u^n] \end{aligned}$$

Similarly, define

$$\begin{aligned} \varphi_i : \mathbb{R}^n &\rightarrow U_i \\ (u^1, u^2, \dots, u^n) &\mapsto [u^1 : \dots : 1 : \dots : u^n] \text{ (1 in } i\text{th position)} \end{aligned}$$

*Example 9* (continued). We can always use a coordinate chart about  $p \in M$  to give the basis

$$\frac{\partial}{\partial u^i} : f \mapsto \frac{\partial f(p)}{\partial u^i},$$

of  $T_p M$ .

For  $p \in U_0 \subset \mathbb{RP}^n$ , we have a coordinate chart

$$\begin{aligned} \varphi_0 : \mathbb{R}^n &\rightarrow U_0 \\ (u^1, u^2, \dots, u^n) &\mapsto [1 : u^1 : u^2 : \dots : u^n] \end{aligned}$$

so as equivalence classes of curves, we can take the curves with representatives

$$[1 : t : 0 : \dots : 0], [1 : 0 : t : 0 : \dots : 0], \dots, [1 : 0 : \dots : 0 : t].$$

## Active Learning

If

$$F : M_1 \rightarrow M_2$$

is smooth at  $p \in M_1$ , we can define the differential

$$dF_p : T_p M_1 \rightarrow T_{F(p)} M_2$$

by either

$$dF_p([\alpha]) = [F \circ \alpha]$$

or

$$dF_p(v) : f_2 \mapsto v(f_2 \circ F),$$

depending on which definition of tangent vectors we use.

**Question 10.** Check that these definitions are equivalent.