

**Important Ideas and Useful Facts:**

- (i) **Linear dependence and independence:** Let  $V$  be a vector space over a field  $F$ , and  $\mathbf{v}_1, \dots, \mathbf{v}_k \in V$  for some  $k \geq 1$ . We call the vectors  $\mathbf{v}_1, \dots, \mathbf{v}_k$  and the set  $X = \{\mathbf{v}_1, \dots, \mathbf{v}_k\}$  *linearly independent* if, for all  $\lambda_1, \dots, \lambda_k \in F$ ,

$$\lambda_1 \mathbf{v}_1 + \dots + \lambda_k \mathbf{v}_k = \mathbf{0} \quad \text{implies} \quad \lambda_1 = \dots = \lambda_k = 0,$$

equivalently, in the case  $k > 1$ , no vector from  $X$  can be expressed as a linear combination of other vectors from  $X$ . We say that they are *linearly dependent* otherwise, that is, if  $X = \{\mathbf{0}\}$  or at least one vector from  $X$  can be expressed as a linear combination of other vectors from  $X$ . In particular if  $\mathbf{0} \in X$ , then  $X$  is linearly dependent. If  $k = 1$  then  $X$  is linearly independent if and only if  $\mathbf{v}_1$  is nonzero. If  $k = 2$  then  $X$  is linearly independent if and only if neither of  $\mathbf{v}_1$  nor  $\mathbf{v}_2$  is a scalar multiple of the other. The emptyset  $\emptyset$  is declared by definition to be *linearly independent*. If  $Y$  is an infinite subset of  $V$  then we say that  $Y$  is *linearly independent* if every finite subset is linearly independent, and otherwise *linearly dependent*.

- (ii) **Basis and dimension of a vector space:** A *basis* for a vector space  $V$  is a linearly independent subset  $B$  that spans  $V$ . In particular, the empty set is a basis for the trivial vector space. It follows, when  $B$  is nonempty, that every vector in  $V$  can be expressed uniquely (up to the order of the vectors) as a linear combination of elements of  $B$ . In applications, a basis  $B$  is typically a nonempty finite ordered list of vectors (and order is important with respect to building matrices, see later). It is an important theorem that every vector space  $V$  has a basis and every basis for  $V$  has the same size (even when the size is infinite). The size of any basis for  $V$  is called the *dimension* of the vector space and denoted by  $\dim(V)$ . It is another important theorem that every linearly independent subset can be extended to a basis, and every spanning set contains a basis. It follows that, if  $V$  is known to be finite dimensional of dimension  $n$ , then any linearly independent set or any spanning set of size  $n$  is automatically a basis for  $V$ .

- (iii) **Standard bases:** Let  $F$  be any field. If  $n \geq 1$  then the *standard basis* for  $F^n$  is

$$B = \{\mathbf{e}_1, \dots, \mathbf{e}_n\}$$

where  $\mathbf{e}_i = (0, \dots, 0, 1, 0, \dots, 0)$  with  $i$  in the  $i$ th place, for  $i = 1, \dots, n$ . In particular,  $F^n$  has dimension  $n$ . The empty set  $\emptyset$  is the basis for any trivial vector space (such as  $F^0$ ), so the dimension of any trivial vector space is zero. Let  $\mathbb{P}_n$  denote the vector space of polynomials in  $x$  over  $F$  of degree at most  $n$ , where  $n \geq 0$ . Then the *standard basis* for  $\mathbb{P}_n$  is

$$B = \{1, x, \dots, x^n\}.$$

In particular,  $\mathbb{P}_n$  has dimension  $n + 1$ .

- (iv) **Coordinates of a vector with respect to a basis:** Let  $V$  be a vector space over a field  $F$  of dimension  $n$  and let  $B = \{\mathbf{b}_1, \dots, \mathbf{b}_n\}$  be an ordered basis for  $V$ . Let  $\mathbf{v} \in V$ . Then there are unique scalars  $\lambda_1, \dots, \lambda_n \in F$  such that

$$\mathbf{v} = \lambda_1 \mathbf{b}_1 + \dots + \lambda_n \mathbf{b}_n .$$

We define the *coordinate vector (coordinates)* of  $\mathbf{v}$  with respect to  $B$  to be the following column vector:

$$[\mathbf{v}]_B = \begin{bmatrix} \lambda_1 \\ \vdots \\ \lambda_n \end{bmatrix}$$

If  $V = F^n$  and  $B$  is the standard basis for  $V$  then  $[\mathbf{v}]_B = \mathbf{v}^\top$ , for all  $\mathbf{v} \in V$ .

- (v) **Vector spaces with the same dimension are isomorphic:** If  $V$  is a vector space over a field  $F$  having a basis  $B$  with  $n \geq 1$  elements, so has dimension  $n$ , then  $V$  is isomorphic to  $F^n$  under the mapping  $\mathbf{v} \mapsto [\mathbf{v}]_B^\top$  (for  $\mathbf{v} \in V$ ), where the row vector  $[\mathbf{v}]_B^\top$  is, as usual, identified with the  $n$ -tuple in  $F^n$ . Obviously, all trivial vector spaces, that is, vector spaces of dimension zero, are isomorphic to  $F^0$ .
- (vi) **Isomorphic vector spaces have the same dimension:** If  $V$  and  $W$  are isomorphic vector spaces over a field  $F$  and  $B$  is a basis for  $V$ , then it follows that the image of  $B$  under the isomorphism is a basis for  $W$ , and so  $V$  and  $W$  have the same dimension.
- (vii) **Nonzero rows of a matrix in row echelon form are linearly independent:** The nonzero rows of a matrix  $M$  (over a field  $F$ ) in row echelon form are linearly independent and therefore form a basis for the row space of any matrix over  $F$  that can be row reduced to yield the same nonzero rows as  $M$ .
- (viii) **Rank of a matrix:** It is an important theorem that the row and column spaces of a matrix  $M$  have the same dimension, called the *rank* of  $M$ , denoted by  $\text{rank}(M)$ . The rank is the number of nonzero rows when  $M$  or  $M^\top$  is row reduced to row echelon form.
- (ix) **Nullity of a matrix:** Let  $M$  be an  $m \times n$  matrix over a field  $F$ . Recall that the *null space* of  $M$  may refer either to the vector space

$$\{\text{column vectors } \mathbf{v} \text{ of length } n \mid M\mathbf{v} = \mathbf{0}\} ,$$

or the solution space of the associated homogeneous system of  $m$  equations in  $n$  variables:

$$\{\mathbf{x} \in F^n \mid M\mathbf{x}^\top = \mathbf{0}\} .$$

The dimension of the null space is called the *nullity* of  $M$ , denoted by  $\text{nullity}(M)$ . The nullity of  $M$  is the number of parameters that need to be introduced to yield the solution of the associated homogeneous system of equations.

- (x) **Rank-Nullity Theorem for matrices:** If  $M$  is an  $m \times n$  matrix then  $\text{rank}(M) + \text{nullity}(M) = n$ .

## Tutorial Exercises:

1. Explain why  $\{1, i\}$  is a basis for  $\mathbb{C}$  as a vector space over  $\mathbb{R}$  where  $i = \sqrt{-1}$  (so that  $\mathbb{C}$  becomes two dimensional).
2. Explain why  $B = \{(1, 1, 1), (0, 1, 1), (0, 0, 1)\}$  is basis for  $\mathbb{R}^3$  and find the coordinates of  $\mathbf{v}$  with respect to  $B$  in the following cases:  
(a)  $\mathbf{v} = (3, 1, -4)$                       (b)  $\mathbf{v} = (1, 0, 0)$                       (c)  $\mathbf{v} = (2, 1, 0)$

3. Consider the following real matrices:

$$A = \begin{bmatrix} 1 & 2 & -3 \\ 4 & 0 & 1 \end{bmatrix}, \quad B = \begin{bmatrix} 1 & 3 & -4 \\ 6 & 5 & 4 \end{bmatrix}, \quad C = \begin{bmatrix} 3 & 8 & -11 \\ 16 & 10 & 9 \end{bmatrix},$$

$$M = \begin{bmatrix} 1 & 2 & -3 & 4 & 0 & 1 \\ 1 & 3 & -4 & 6 & 5 & 4 \\ 3 & 8 & -11 & 16 & 10 & 9 \end{bmatrix}.$$

- Row reduce  $M$  and  $M^T$  and observe that they have the same rank. Explain why  $A$ ,  $B$  and  $C$  are linearly dependent. Express one of  $A$ ,  $B$ ,  $C$  as a linear combination of the other two.
4. Find a basis for the row space and a basis for the column space of the following real matrix:  
$$M = \begin{bmatrix} 2 & 1 & 0 & -4 \\ 3 & 0 & -1 & 2 \\ 6 & -3 & -4 & 20 \end{bmatrix}$$
Verify that the row space and column space of  $M$  have the same dimension. Now find a basis for the null space of  $M$ . Verify that the Rank-Nullity Theorem holds in this case.
  5. Decide whether the following sets of vectors from  $\mathbb{R}^{\mathbb{R}}$  (denoted by the rule for their outputs given inputs  $x \in \mathbb{R}$ ) are linearly independent:  
(a)  $\{1 + x + x^2, 1 - x, 2 + x^2\}$                       (b)  $\{1 - x - x^2, 1 + x^2, 1 + x + x^2 + x^3, 1 - x^3\}$   
(c)  $\{\sin x, \cos x\}$                       (d)  $\{1, \cos 2x, \sin^2 x\}$
  - 6.\* Recall that  $\mathbb{Q}$  is the field of rational numbers and that  $\sqrt{2} \notin \mathbb{Q}$ . Put

$$\mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}.$$

Prove that  $\mathbb{Q}(\sqrt{2})$  is closed under addition and multiplication and taking inverses of nonzero elements. It follows that  $\mathbb{Q}(\sqrt{2})$  is a field, and becomes a vector space over  $\mathbb{Q}$  by restricting scalar multiplication. Explain why  $\{1, \sqrt{2}\}$  is a basis for  $\mathbb{Q}(\sqrt{2})$  (so that  $\mathbb{Q}(\sqrt{2})$  becomes two dimensional as a vector space over  $\mathbb{Q}$ ).

### Further Exercises:

7. Explain why  $B = \{1, x - 1, (x - 1)^2\}$  is a basis for the vector space  $\mathbb{P}_2$  of real polynomials of degree at most 2. Find the coordinates of  $p(x)$  with respect to  $B$  in the following cases:
- (a)  $p(x) = 2x^2 - 5x + 6$       (b)  $p(x) = x^2 + 1$       (c)  $p(x) = x^2 - 1$
8. Let  $F$  be any field. Find a basis for  $\text{Mat}_{2,3}$ , the set of  $2 \times 3$  matrices over  $F$ , regarded as a vector space over  $F$  with respect to usual matrix addition and scalar multiplication. More generally, explain why  $\text{Mat}_{m,n}$  becomes an  $mn$ -dimensional vector space over  $F$ , for any  $m, n \geq 1$ .
9. Find the rank and nullity of the following matrices, and a basis for the null space in each case:
- (a)  $A = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$  over  $\mathbb{R}, \mathbb{Z}_2$  and  $\mathbb{Z}_3$ .      (b)  $B = \begin{bmatrix} 1 & -1 & -1 \\ 0 & 3 & 4 \\ 1 & 0 & 2 \end{bmatrix}$  over  $\mathbb{R}$  and  $\mathbb{Z}_5$ .
- (c)  $C = \begin{bmatrix} -1 & 0 & 3 & -2 \\ -1 & 1 & 0 & 3 \\ -1 & 0 & -2 & 3 \end{bmatrix}$  over  $\mathbb{R}$  and  $\mathbb{Z}_5$ .
10. Use the previous exercise, or otherwise, to decide which of the following sets of vectors are linearly independent, as subsets of  $F^n$  for appropriate  $F$  and  $n$ :
- (a)  $X = \{(0, 1, 1), (1, 0, 1), (0, 0, 1)\}$  over  $\mathbb{R}, \mathbb{Z}_2$  and  $\mathbb{Z}_3$ .
- (b)  $X = \{(1, -1, -1), (0, 3, 4), (1, 0, 2)\}$  over  $\mathbb{R}$  and  $\mathbb{Z}_5$ .
- (c)  $X = \{(1, 0, 1), (-1, 3, 0), (-1, 4, 2)\}$  over  $\mathbb{R}$  and  $\mathbb{Z}_5$ .
- (d)  $X = \{(-1, 0, 3, -2), (-1, 1, 0, 3), (-1, 0, -2, 3)\}$  over  $\mathbb{R}$  and  $\mathbb{Z}_5$ .
- (e)  $X = \{(-1, -1, -1), (0, 1, 0), (3, 0, -2), (-2, 3, 3)\}$  over  $\mathbb{R}$  and  $\mathbb{Z}_5$ .
11. Verify carefully, from the definition, that if  $\mathbf{v}$  and  $\mathbf{w}$  are vectors from a vector space  $V$  over a field  $F$  then  $\mathbf{v}$  and  $\mathbf{w}$  are linearly independent if and only if neither  $\mathbf{v}$  nor  $\mathbf{w}$  can be expressed as a scalar multiple of the other.
12. Suppose that  $k > 1$  and  $\mathbf{v}_1, \dots, \mathbf{v}_k$  are vectors from a vector space. Verify carefully from the definition that  $\mathbf{v}_1, \dots, \mathbf{v}_k$  are linearly independent if and only if no vector from this list can be expressed as a linear combination of other vectors from the list.
- 13.\* Prove carefully that isomorphic vector spaces over the same field have the same dimension.
- 14.\* Let  $\mathbf{v}$  and  $\mathbf{w}$  be eigenvectors for a square matrix  $M$  with respect to eigenvalues  $\lambda$  and  $\mu$  respectively. Prove that if  $\lambda \neq \mu$  then neither  $\mathbf{v}$  nor  $\mathbf{w}$  can be expressed as a scalar multiple of the other, and hence  $\mathbf{v}$  and  $\mathbf{w}$  are linearly independent.
- 15.\* Let  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$  be eigenvectors of a square matrix  $M$  with respect to eigenvalues  $\lambda_1, \lambda_2$  and  $\lambda_3$  respectively, where  $\lambda_1, \lambda_2$  and  $\lambda_3$  are distinct. Prove that  $\mathbf{v}_1, \mathbf{v}_2$  and  $\mathbf{v}_3$  are linearly independent. (This exercise generalises to prove the theorem that any set of eigenvectors corresponding to distinct eigenvalues of a square matrix  $M$  is linearly independent.)