

Last week:  $(\mathfrak{X}, R, \mathfrak{X}^\vee, R^\vee)$  root datum.

(11-1)

$\langle -, - \rangle: \mathfrak{X}^\vee \times \mathfrak{X} \rightarrow \mathbb{Z}$  perfect pairing,  $R \subset \mathfrak{X}$  finite "roots", ...

$S_\alpha(\lambda) := \lambda - \langle \alpha^\vee, \lambda \rangle \alpha: \mathfrak{X} \rightarrow \mathfrak{X}$  s.t.  $S_\alpha(R) \subset R$  etc.

Basic examples: ①  $\mathfrak{X} = \mathfrak{X}^\vee = \mathbb{Z}$ .  $R = \{\pm \alpha\}$ .  $R^\vee = \{\pm 1\}$ .



②  $\mathfrak{X} = \bigoplus_{i=1}^n \mathbb{Z} \epsilon_i$ ,  $\mathfrak{X}^\vee = \bigoplus_{i=1}^n \mathbb{Z} \epsilon_i^*$ ,  $R = \{\epsilon_i - \epsilon_j \mid i \neq j\}$ ,  $R^\vee = \{\epsilon_i^* - \epsilon_j^* \mid i \neq j\}$ .

Important remark: The definition of root datum is symmetric

in  $R \subset \mathfrak{X}$  and  $R^\vee \subset \mathfrak{X}^\vee$ ! Swapping is at the heart of

Lazear's duality.

Today: how to go from a reductive group to its root datum.

First: diagonalisable groups.

Recall  $G_m: k[G_m] = k[X^{\pm 1}]$ ,  $\Delta(X) = X \otimes X$ .

For any  $G$ ,  $a \in k[G]$  is group-like if  $\Delta(a) = a \otimes a$ ,

$$\begin{array}{l} (\epsilon \otimes \text{id}) \circ \Delta = \text{id} \Rightarrow \\ \Downarrow \\ \epsilon(a) = 1 \end{array}$$

Observe:  $\text{mult}(\text{id} \otimes S)(\Delta) = \epsilon$ , hence  $S(a) = a^{-1}$ , for a group-like elt.

Also:  $\Delta(ab) = \Delta(a)\Delta(b)$ , hence group-like elts form a group.

Hence:  $\text{Hom}(G, G_m) = \text{Hom}_{\text{Hopf}}(k[G_m], k[G]) = \{\text{group-like elts}\} \subset k[G]$ .

!!  
 $\mathfrak{X}(G)$

Exercise: The set of group-like elts is linearly independent.

A group scheme  $G/k$  is diagonalisable if  $k[G]$  is spanned by group like elements,  $\mathcal{X}(G)$ . (11-2)

E.g.  $k(G_m) = \bigoplus_{n \in \mathbb{Z}} kX^n$  and  $\Delta(X^n) = X^n \otimes X^n$ . Hence  $G_m$  is diagonalisable.

Thm: • If  $G$  is diagonalisable then  $k[G] = k[\mathcal{X}(G)]$ .  
(not difficult)

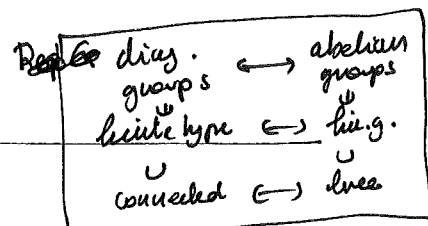
•  $\text{Rep } G \xrightarrow{\sim} \mathcal{X}(G)\text{-graded vector spaces.}$

In particular, ~~any~~  $\text{Rep } G$  is semi-simple.

• If  $G$  is a finite type, then  $G \hookrightarrow \text{Diag} \subset G_m$  for some  $n$ .  
(hence "diagonalisable").

•  $G$  is diagonalisable  $\iff \text{Rep } G$  is semi-simple and all simples are of dimension 1.

•  $G \mapsto \mathcal{X}(G)$  provides an anti-equivalence:



Now we assume  $G$  is a reductive algebraic group /  $k = \bar{k}$ .

Def: • A Borel subgroup is a maximal, closed, solvable subgroup of  $G$ .

• A torus is a closed connected diagonalisable subgroup ( $\cong G_m^n$ ).

• A maximal torus is a torus not properly contained in any other torus.

Exercise: ①  $Z_{G_m}(\text{diag}) = \text{diag}$ , hence  $\text{diag} \subset G_m$  is a maximal torus.

② A Borel subgroup in  $G_n$  is  $\begin{pmatrix} * & & \\ & \dots & \\ & & * \end{pmatrix}$ .

Rmk: (Should come after theorem).

If  $\mathcal{X}(G)$  is f.g. free then  $\mathcal{X}(G) \cong \mathbb{Z}^n$  for some  $n$ ,

hence  $k(G) = k[\mathcal{X}(G)] = k(x_1^{\pm 1}, \dots, x_n^{\pm 1})$

and so  $G \cong G_m^n$ .

In this case  $\text{Hom}(G_m, G) = \mathcal{X}^v(G) \cong \mathbb{Z}^n$ ,

and  $\mathcal{X}^v(G) \times \mathcal{X}(G) \rightarrow \mathbb{Z}$  is a perfect pairing.  
"  $\mathcal{X}(G_m)$

Thm: Any two maximal tori / Borel subgroups are conjugate in  $G$

Now fix  $(G, T)$  (reductive group, maximal torus).

$G$  acts by conjugation on  $G$ , hence  $\text{Lie } G$  "adjoint rep".

preserving 1  
Set  $\mathcal{X} := \mathcal{X}(T)$ ,  $\mathcal{X}^v := \mathcal{X}^v(T)$ , perfect pairing  $\mathcal{X}^v \times \mathcal{X} \rightarrow \mathbb{Z}$ .

How to get  $R$ ?

Thm: As  $T$ -modules we have

$\text{Lie } G = \text{Lie } T \oplus \bigoplus_{\alpha \in R} \mathfrak{g}_{\alpha}$   
↙  $\alpha$  wt space for  $T$ -action.

for a subset  $R \subset \mathcal{X}(T)$  s.t.  $|R| < \infty$ ,  $R \cap \{0\} = \emptyset$ ,  $R = -R$ .

Moreover,  $\dim \mathfrak{g}_{\alpha} = 1$ .

Do example of  $G_n$  simultaneously on the board.

How to get  $R^\vee \subset \mathcal{X}^\vee$ ?

Slogan: Any reductive group is "built out of  $SL_2$ 's", root data tells us how to assemble them.

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For any  $\alpha \in R$  there exists  $\varphi_\alpha: \mathbb{G}_a \rightarrow G$  ("one parameter subgroup")

s.t.  $d\varphi_\alpha: \text{Lie } \mathbb{G}_a = k \rightarrow \mathfrak{g}_\alpha$  is an isomorphism.

We can extend  $\varphi$  to a map  $\phi_\alpha: SL_2 \rightarrow G$  s.t.  $\varphi = \phi \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix}$ .

Composing  $\lambda \mapsto \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} \rightarrow G$  gives  $\alpha^\vee: \mathbb{G}_m \rightarrow T$ .

Example: ①  $G = SL_2, T = \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix}, \mathcal{X}(T) = \mathbb{Z}\epsilon$   
 where  $\epsilon \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} = \lambda$ .

$T \subset G \subset SL_2$  via  $\begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} \begin{pmatrix} a & b \\ c & -a \end{pmatrix} \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} = \begin{pmatrix} a & \lambda^2 b \\ \lambda^2 c & -a \end{pmatrix}$ .

Hence  $\alpha = \{\pm 2\epsilon\}$ . If  $\alpha = 2\epsilon$  then  $\phi_\alpha: SL_2 \rightarrow SL_2$   
 can be taken to be the identity. Gives  $\alpha^\vee = \epsilon^* \in \mathcal{X}^\vee(T)$ .

~~②~~

Theorem: (Isomorphism and existence). The above construction gives a bijection.

$\left\{ \begin{array}{l} \text{Reductive groups} \\ \text{up to isomorphism} \end{array} \right\} \xleftrightarrow{\sim} \text{Root Data} / \cong$

Choices of positive roots  $\leftrightarrow$  Borel subgroups  $T \subset B$ , containing  $T$ .

Remark: Classification is independent of (algebraically closed) base field  $k$ .



Example:  $G = SL_n$ .

(PERHAPS BETTER TO DO  $GL_n$ ). (11-5)

$$\text{Lie } G = \{ (\text{id} + \epsilon X) \mid \det(\text{id} + \epsilon X) = 1 \}$$

$$= \{ X \mid \text{Tr } X = 0 \}.$$

$T = \text{diag} \cap SL_n$  is a maximal torus. (Exercise.)

Lie  $T =$  diagonal matrices. Note that:

$$\begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix} \begin{pmatrix} & & \\ & 1 & \\ & & \ddots \\ & & & 1 \end{pmatrix} \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix}^{-1} = \lambda_i \lambda_j^{-1} \cdot E_{ij}.$$

Hence:  $\text{Lie } G = \text{Lie } T \oplus \bigoplus_{i \neq j} k E_{ij}.$

We have  $\mathfrak{X}(T) = \bigoplus_{i=1}^n \mathbb{Z} \epsilon_i \mid \sum \epsilon_i = 0.$

$$\mathcal{R} = \{ \bar{\epsilon}_i \oplus \bar{\epsilon}_i - \epsilon_j \mid i \neq j \}.$$

$$\mathfrak{X}^\vee(T) = \{ \sum \lambda_i \epsilon_i^* \mid \sum \lambda_i = 0 \}.$$

Root  $SL_2$ 's are:  $i \rightarrow \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , hence  $\mathcal{R}^\vee = \{ \epsilon_i^* - \epsilon_j^* \mid i \neq j \}.$

Example:  $Sp_{2n}$ .

Recall:  $Sp_{2n} = \{ X \in GL_{2n} \mid X^t \tilde{J} X = \tilde{J} \}$ .

We calculate:

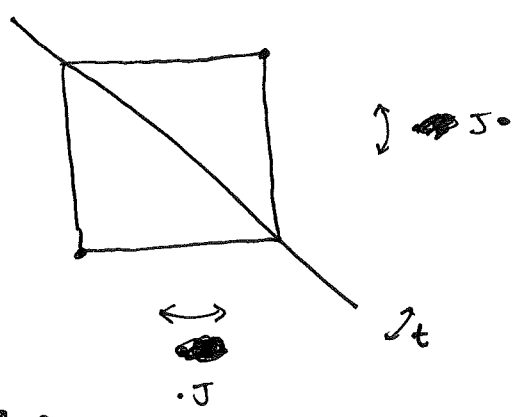
$$\begin{aligned} \text{Lie } Sp_{2n} &= \{ (id + \epsilon Y) \mid (id + \epsilon Y)^t \tilde{J} (id + \epsilon Y) = \tilde{J} \} \\ &= \{ Y \mid Y^t \tilde{J} + \tilde{J} Y = 0 \}. \end{aligned}$$

If we write  $Y = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ , relation becomes:

$$\begin{pmatrix} A^t & C^t \\ B^t & D^t \end{pmatrix} \begin{pmatrix} 0 & J \\ -J & 0 \end{pmatrix} + \begin{pmatrix} 0 & J \\ -J & 0 \end{pmatrix} \begin{pmatrix} A & B \\ C & D \end{pmatrix} = 0$$

$$\begin{pmatrix} -C^t J & A^t J \\ -D^t J & B^t J \end{pmatrix} + \begin{pmatrix} J C & J D \\ -J A & -J B \end{pmatrix} \Leftrightarrow \begin{aligned} -C^t J + J C &= 0 \\ A^t J + J D &= 0 \\ -D^t J - J A &= 0 \\ B^t J - J B &= 0 \end{aligned}$$

$$\begin{aligned} \Leftrightarrow C &= J C^t J = C^{\oplus} \\ D &= -J A^t J = -A^{\oplus} \\ B &= J B^t J = B^{\oplus} \end{aligned}$$



Where  $C \mapsto C^{\oplus}$  is "anti-diagonal transpose".

Hence:  $\text{Lie } Sp_{2n} = \left\{ \begin{pmatrix} A & B \\ C & -A^{\oplus} \end{pmatrix} \mid \begin{aligned} C &= C^{\oplus} \\ B &= B^{\oplus} \end{aligned} \right\}$ .

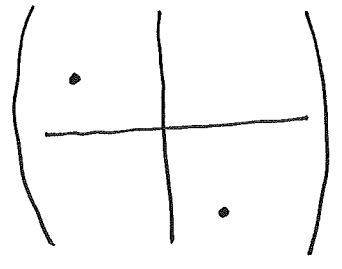
Fact:  $T = \text{diag} \cap Sp_{2n} = \left\{ \begin{pmatrix} \lambda_1 & & & \\ & \lambda_2 & & \\ & & \dots & \\ & & & \lambda_n \end{pmatrix} \mid \lambda_1, \dots, \lambda_n \in k^{\times} \right\}$

is a maximal torus in  $Sp_{2n}$ .

Weight spaces for T-action on  $\mathfrak{sp}$  Lie  $Sp_{2n}$  are of ~~two~~ <sup>three types</sup> ~~types~~:

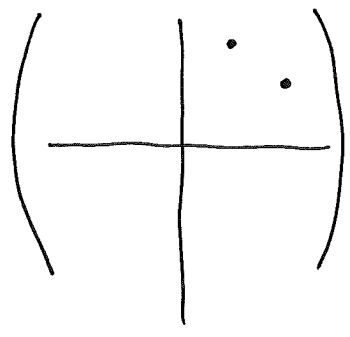
$$\mathfrak{X}(T) = \bigoplus_{i=1}^n \mathbb{Z}e_i, \quad e_i \left( \begin{matrix} \lambda_1 & & & \\ & \dots & & \\ & & \lambda_{n-1} & \\ & & & \lambda_n \end{matrix} \right) = \lambda_i.$$

$$E_{ij} = E_{2n+1-i, 2n+1-j}, \quad 1 \leq i \leq n, \quad i \neq j.$$

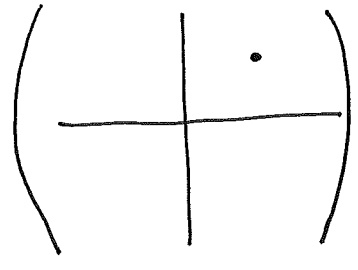


→ weight:  $e_i - e_j$

$$E_{ij} \oplus E_{i, 2n+1-j} + E_{2n+1-i, j}, \quad 1 \leq i, j \leq n, \quad i \neq j. \quad \rightarrow \text{weight: } e_i + e_j.$$



$$E_{i, 2n-i}, \quad 1 \leq i \leq n. \quad \rightarrow \text{weight } 2e_i.$$



Lie  $Sp_{2n}$  is the direct sum of these weight spaces, and hence these are all weights.

Hence we have determined  $R \subset \mathfrak{X}(T)$ .

To determine the coroots we need to construct root  $SL_2$ 's.



Root  $Sl_2$ 's in  $Sp_4$ :

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$$\left( \begin{array}{cc|cc} a & b & & \\ c & d & & \\ \hline & & a & -b \\ & & -c & d \end{array} \right)$$

$\downarrow$  coroot

$$\frac{1}{2} \varepsilon_1^* - \varepsilon_2^*$$

$$\left( \begin{array}{c|cc} 1 & & \\ \hline & a & b \\ & c & d \\ & & 1 \end{array} \right)$$

$\downarrow$  coroot

$$\varepsilon_2^*$$

$$\left( \begin{array}{cc|cc} a & & & b \\ & 1 & 0 & \\ \hline & 0 & 1 & \\ c & & & d \end{array} \right)$$

$\downarrow$

$$\varepsilon_1^*$$

$$\left( \begin{array}{cc|cc} a & & & b \\ & a & & b \\ \hline c & & d & \\ & c & & d \end{array} \right)$$

coroot  $\rightsquigarrow$

$$\varepsilon_1^* + \varepsilon_2^*$$

Hence:  $R^\vee = \{ \pm(\varepsilon_1^* - \varepsilon_2^*), \pm(\varepsilon_i), \pm\{\varepsilon_1 + \varepsilon_2\} \}$

In general:  $R^\vee = \{ \varepsilon_i^* \pm \varepsilon_j^* \mid i \neq j \} \cup \{ \varepsilon_i^* \} \cup \emptyset$ .

Exercise: Determine the root data for  $SO_{2n+1}$ .

Show that  $\mathcal{H}_{Sp} SO_{2n+1}$  and  $Sp_{2n}$  are Langlands dual.

Comment on Borel subgroups here.