

Table 1: Estimators and variance estimates from a SRS (Ch.1 & 3)

Par.	Ordinary	Ratio	Regression	Hartley-Ross
Ratio R	$\frac{\bar{y}}{\bar{X}}$ $\frac{1}{\bar{X}^2}(1 - \frac{n}{N})\frac{s_y^2}{n}$	$\frac{\bar{y}}{\bar{x}}$ $\frac{1}{\bar{X}^2}(1 - \frac{n}{N})\frac{s_r^2}{n}$	- -	$\bar{r}' + \frac{N-1}{N\bar{X}} \frac{n(\bar{y} - \bar{r}'\bar{x})}{n-1}$ $\bar{r}' = \frac{1}{n} \sum_{i=1}^n \frac{y_i}{x_i}$
Mean $\bar{Y}$	$\bar{y}$ $(1 - \frac{n}{N})\frac{s_y^2}{n}$	$\frac{\bar{y}}{\bar{x}}\bar{X}$ $(1 - \frac{n}{N})\frac{s_r^2}{n}$ $\text{var}(\hat{\bar{Y}}_r) < \text{var}(\hat{\bar{Y}})$ if $\hat{\rho} > \frac{\bar{y}s_x}{2\bar{x}s_y}$	$\bar{y} + \frac{s_{xy}}{s_x^2}(\bar{X} - \bar{x})$ $(1 - \frac{n}{N})\frac{s_y^2(1 - \hat{\rho}^2)}{n}$ $\text{var}(\hat{\bar{Y}}_{reg}) < \text{var}(\hat{\bar{Y}}_r)$ equal if $b = r = \frac{\bar{y}}{\bar{x}}$	$\bar{X}\bar{r}' + \frac{N-1}{N} \frac{n(\bar{y} - \bar{r}'\bar{x})}{n-1}$ -
Total Y	$N\bar{y}$ $N^2(1 - \frac{n}{N})\frac{s_y^2}{n}$	$\frac{\bar{y}}{\bar{x}}X$ $N^2(1 - \frac{n}{N})\frac{s_r^2}{n}$	$N\bar{y} + \frac{s_{xy}}{s_x^2}(X - N\bar{x})$ $N^2(1 - \frac{n}{N})\frac{s_y^2(1 - \hat{\rho}^2)}{n}$	$X\bar{r}' + (N-1) \frac{n(\bar{y} - \bar{r}'\bar{x})}{n-1}$ -

$$1. s_y^2 = \frac{1}{n-1} \left(\sum_{i=1}^n y_i^2 - n\bar{y}^2 \right),$$

$$s_r^2 = \frac{1}{n-1} \left(\sum_{i=1}^n y_i^2 - 2r \sum_{i=1}^n x_i y_i + r^2 \sum_{i=1}^n x_i^2 \right) = s_y^2 - 2r\hat{\rho}s_x s_y + r^2 s_x^2, \quad r = \frac{\bar{y}}{\bar{x}},$$

2. For count data, replace $\bar{y}$ by sample proportion p and s_y^2 by $\frac{n}{n-1}p(1-p)$ or $p(1-p)$ approx.

Table 2: Estimators and variance estimates for a poststratified SRS (Ch.1)

Par.	Estimator	N_l	Variance	Remarks
$\bar{Y}_l$	$\hat{Y}_{pst,lm1} = \frac{\bar{y}'}{W_l}$	X	$\text{var}(\hat{Y}_{pst,lm1}) = \frac{1}{W_l^2} \left(1 - \frac{n}{N}\right) \frac{s_l'^2}{n}$	$y'_i = y_i$ if $i \in C_l$ & 0 otherwise
Y_l	$\hat{Y}_{pst,lm1} = N\bar{y}'$	$\checkmark$	$\text{var}(\hat{Y}_{pst,lm1}) = N^2 \left(1 - \frac{n}{N}\right) \frac{s_l'^2}{n}$	$\bar{y}' = \frac{\sum_{i=1}^n y'_i}{n}$, $s_l'^2 = \frac{\sum_{i=1}^n y_i'^2 - n\bar{y}'^2}{n-1}$
$\bar{Y}_l$	$\hat{Y}_{pst,lm2} = \frac{n}{n_l} \bar{y}' = \bar{y}$	$\checkmark$	$\text{var}(\hat{Y}_{pst,lm2}) \simeq \frac{1}{W_l} \left(1 - \frac{n}{N}\right) \frac{s_l^2}{n}$	$\bar{y} = \frac{1}{n_l} \sum_{i \in C_l} y_i$
Y_l	$\hat{Y}_{pst,lm2} = N_l \bar{y}$	X	$\text{var}(\hat{Y}_{pst,lm2}) \simeq N^2 W_l \left(1 - \frac{n}{N}\right) \frac{s_l^2}{n}$	$s_l^2 = \frac{1}{n_l - 1} \sum_{i \in C_l} y_i^2 - n_l \bar{y}^2$
$\bar{Y}$	$\hat{Y}_{pst} = \sum_{l=1}^L W_l \bar{y}_l$	X	$\text{var}(\hat{Y}_{pst}) \simeq \left(1 - \frac{n}{N}\right) \sum_{l=1}^L W_l \frac{s_l^2}{n}$	Extra = $\frac{1}{n^2} \sum_{l=1}^L (1 - W_l) s_l^2$
Y	$\hat{Y}_{pst} = \sum_{l=1}^L N_l \bar{y}_l$	X	$\text{var}(\hat{Y}_{pst}) \simeq N^2 \left(1 - \frac{n}{N}\right) \sum_{l=1}^L W_l \frac{s_l^2}{n}$	Extra = $\frac{N^2}{n^2} \sum_{l=1}^L (1 - W_l) s_l^2$

1. 'X' indicates that the estimate cannot be applied if N_l and hence W_l is unknown.

2. $E\left(\frac{1}{n_l}\right) = \frac{1}{nW_l} + \frac{1 - W_l}{n^2 W_l^2} \simeq \frac{1}{nW_l}$. The extra var. $\frac{1 - W_l}{n^2 W_l^2}$ is ignored in the var. formulae.

Table 3: Sample size determination for SRS and stratified SRS (Ch.1 & 2)

SRS for mean $\widehat{\bar{Y}}$ s.t. $\Pr(\bar{y} - \bar{Y} \leq \delta_\mu) = 0.95$ and $S^2 = p(1 - p)$ for proportion $\widehat{P}$			
Sample n	$n \geq \frac{NS^2}{N(\delta_\mu)^2/z_{\alpha/2}^2 + S^2} \stackrel{f=0}{\approx} \frac{z_{\alpha/2}^2 S^2}{(\delta_\mu)^2}$		
Stratified SRS for mean $\widehat{\bar{Y}}$ s.t. $\Pr(\bar{y}_{st} - \bar{Y} \leq \delta_\mu) = 0.95$ and $V = \frac{(\delta_\mu)^2}{z_{\alpha/2}^2}$			
Sizes	Optimum	Neyman	Proportional
Stratum n_l	$n_l = n \left(\frac{\frac{W_l S_l}{\sqrt{C_l}}}{\sum_{i=1}^L \frac{W_i S_i}{\sqrt{C_i}}} \right)$	$n_l = n \left(\frac{W_l S_l}{\sum_{i=1}^L W_i S_i} \right)$	$n_l = n W_l$
Sample n	$n \geq \frac{\left(\sum_{l=1}^L W_l S_l \sqrt{C_l} \right) \left(\sum_{i=1}^L W_i S_i / \sqrt{C_i} \right)}{V + \frac{1}{N} \sum_{l=1}^L W_l S_l^2}$	$n \geq \frac{\left(\sum_{l=1}^L W_l S_l \right)^2}{V + \frac{1}{N} \sum_{l=1}^L W_l S_l^2}$	$n \geq \frac{\sum_{l=1}^L W_l S_l^2}{V + \frac{1}{N} \sum_{l=1}^L W_l S_l^2}$

Table 4: Estimators and variance estimates for stratified SRS (Ch.2 & Ch.3)

Parameter	Estimator	Variance
Ordinary estimator $s_{yl}^2 = \frac{1}{n_l-1} \left(\sum_{i=1}^{n_l} y_{li}^2 - n_l \bar{y}_l^2 \right), \quad W_l = \frac{N_l}{N}$		
Ratio $R = \frac{1}{\bar{X}} \sum_{l=1}^L W_l \bar{Y}_l$	$\hat{R}_{st} = \frac{1}{\bar{X}} \sum_{l=1}^L W_l \bar{y}_l$	$\text{var}(\hat{R}_{st}) = \frac{1}{\bar{X}^2} \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l} \right) \frac{s_{yl}^2}{n_l}$
Mean $\bar{Y} = \sum_{l=1}^L W_l \bar{Y}_l$	$\hat{\bar{Y}}_{st} = \sum_{l=1}^L W_l \bar{y}_l$	$\text{var}(\hat{\bar{Y}}_{st}) = \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l} \right) \frac{s_{yl}^2}{n_l}$
Total $Y = N \sum_{l=1}^L W_l \bar{Y}_l$	$\hat{Y}_{st} = N \sum_{l=1}^L W_l \bar{y}_l$	$\text{var}(\hat{Y}_{st}) = N^2 \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l} \right) \frac{s_{yl}^2}{n_l}$
Separate ratio estimator $s_{sr,l}^2 = s_{yl}^2 - 2 r_l \hat{\rho}_{s_{xl} s_{yl}} + r_l^2 s_{xl}^2, \quad r_l = \frac{\bar{y}_l}{\bar{x}_l}$		
Ratio $R = \frac{1}{\bar{X}} \sum_{l=1}^L W_l \bar{X}_l R_l$	$\hat{R}_{st, sr} = \frac{1}{\bar{X}} \sum_{l=1}^L W_l \bar{X}_l r_l$	$\text{var}(\hat{R}_{st, sr}) = \frac{1}{\bar{X}^2} \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l} \right) \frac{s_{sr,l}^2}{n_l}$
Mean $\bar{Y} = \sum_{l=1}^L W_l \bar{X}_l R_l$	$\hat{\bar{Y}}_{st, sr} = \sum_{l=1}^L W_l \bar{X}_l r_l$	$\text{var}(\hat{\bar{Y}}_{st, sr}) = \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l} \right) \frac{s_{sr,l}^2}{n_l}$
Total $Y = N \sum_{l=1}^L W_l \bar{X}_l R_l$	$\hat{Y}_{st, sr} = N \sum_{l=1}^L W_l \bar{X}_l r_l$	$\text{var}(\hat{Y}_{st, sr}) = N^2 \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l} \right) \frac{s_{sr,l}^2}{n_l}$
Combine ratio estimator $s_{cr,l}^2 = s_{yl}^2 - 2 r_c \hat{\rho}_{s_{xl} s_{yl}} + r_c^2 s_{xl}^2, \quad r_{st, cr} = \frac{\sum_{l=1}^L W_l \bar{y}_l}{\sum_{l=1}^L W_l \bar{x}_l}$		
Ratio $R_{st, cr} = \frac{\sum_{l=1}^L W_l \bar{Y}_l}{\sum_{l=1}^L W_l \bar{X}_l}$	$\hat{R}_{st, cr} = \frac{\sum_{l=1}^L W_l \bar{y}_l}{\sum_{l=1}^L W_l \bar{x}_l} = r_{st, cr}$	$\text{var}(\hat{R}_{st, cr}) = \frac{1}{\bar{X}^2} \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l} \right) \frac{s_{cr,l}^2}{n_l}$
Mean $\bar{Y} = \bar{X} R_{st, cr}$	$\hat{\bar{Y}}_{st, cr} = \bar{X} r_{st, cr}$	$\text{var}(\hat{\bar{Y}}_{st, cr}) = \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l} \right) \frac{s_{cr,l}^2}{n_l}$
Total $Y = N \bar{X} R_{st, cr}$	$\hat{Y}_{st, cr} = N \bar{X} r_{st, cr}$	$\text{var}(\hat{Y}_{st, cr}) = N^2 \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l} \right) \frac{s_{cr,l}^2}{n_l}$
Double sampling estimator		
Mean $\bar{Y}_{st} = \sum_{l=1}^L W_l \bar{Y}_l$	$\hat{\bar{Y}}_{st, ds} = \sum_{l=1}^L w_l \bar{y}_l$ $w_l = \frac{n'_l}{n'}$	$\text{var}(\hat{\bar{Y}}_{st, ds}) = \sum_{l=1}^L \left[\frac{w_l^2 s_{yl}^2}{n_l} + \frac{w_l (\bar{y}_l - \hat{\bar{Y}}_{st, ds})^2}{n'} \right]$ If n' & N are large and $\frac{n_l}{N_l}$ are all small

Table 5: Systematic Sampling (Ch.4)

Parameter	Point Estimate	Variance
One systematic sample Mean $\bar{Y}$ (Sampling interval $k = \frac{N}{n}$)	$\bar{y} = \frac{1}{n} \sum_{i=1}^n y_i$	$\text{Var}(\hat{Y}_{sy}) = \frac{1}{k} \sum_{j=1}^k (\bar{y}_j - \bar{y})^2$ $= \frac{N-1}{N} S^2 - \frac{N-k}{N} S_w^2$ where $S_w^2 = \frac{1}{N-k} \left[\sum_{i=1}^n \sum_{j=1}^k (y_{ij} - \bar{y}_i)^2 \right]$ $S^2 = \frac{1}{N-1} \left[\sum_{i=1}^n \sum_{j=1}^k (y_{ij} - \bar{y})^2 \right]$ $\text{Var}(\hat{Y}_{sy}) < \text{Var}(\hat{Y}_{srs}) \text{ if } S_w^2 > S^2$
Repeated systematic samples Mean $\bar{Y}$ (Sampling interval $k' = \frac{N}{n} n_s$)	$\bar{\bar{y}} = \frac{1}{n_s} \sum_{j=1}^{n_s} \bar{y}_j$	$\text{var}(\hat{Y}_{sy,rs}) = \left(1 - \frac{n}{N}\right) \frac{s_{\bar{y}}^2}{n_s}$ $s_{\bar{y}}^2 = \frac{1}{n_s - 1} \sum_{j=1}^{n_s} (\bar{y}_j - \bar{\bar{y}})^2$ $= \frac{1}{n_s - 1} \left(\sum_{j=1}^{n_s} \bar{y}_j^2 - n_s \bar{\bar{y}}^2 \right)$

Table 6: Cluster Sampling (Ch.4)

Parameter	Ord. est.	Ratio est.	Var. in stage 1	Additional var. in stage 2
	$\bar{y}$ s_y^2	$r = \bar{y}/\bar{m}$ $\bar{m} = \frac{1}{n} \sum_{i=1}^n M_i$ s_r^2		$\hat{y}_i = M_i \bar{y}_i = \frac{M_i}{m_i} \sum_{j=1}^{m_i} y_{ij}$ replace y_i $\hat{r} = \hat{\bar{y}}/\bar{m}$ replace r $\hat{\bar{y}} = \frac{1}{n} \sum_{i=1}^n \hat{y}_i$ replace $\bar{y}$
Mean/ele. R	$\frac{\bar{y}}{\bar{M}}$ X	r ✓	$\frac{1}{\bar{M}^2} \left(1 - \frac{n}{N}\right) \frac{s^2}{n}$	$\frac{N}{n\bar{M}^2} \sum_{i=1}^n M_i^2 \left(1 - \frac{m_i}{M_i}\right) \frac{s_{yi}^2}{m_i}$
Mean/clus. $\bar{Y}$	$\bar{y}$ ✓	$\bar{M}r$ X	$\left(1 - \frac{n}{N}\right) \frac{s^2}{n}$	$\frac{1}{nN} \sum_{i=1}^n M_i^2 \left(1 - \frac{m_i}{M_i}\right) \frac{s_{yi}^2}{m_i}$
Total Y	$N\bar{y}$ ✓	Mr X	$N^2 \left(1 - \frac{n}{N}\right) \frac{s^2}{n}$	$\frac{N}{n} \sum_{i=1}^n M_i^2 \left(1 - \frac{m_i}{M_i}\right) \frac{s_{yi}^2}{m_i}$

Note: 1. 'X' indicates that the estimate cannot be applied if $\bar{M} = M/N$ or M is unknown.

2. $\text{Var}(\hat{Y}_{cl}) < \text{Var}(\hat{Y}_{srs})$ if $M_i = \bar{M}$ and $S_b^2 < S^2$.

Table 7: Stratified one-stage cluster sample

Parameter	Estimate	Variance
Ordinary estimator: $\bar{y}_l = \frac{1}{n_l} \sum_{i=1}^{n_l} y_{li}, \quad s_{yl}^2 = \frac{1}{n_l - 1} \sum_{i=1}^{n_l} (y_{li} - \bar{y}_l)^2, \quad \bar{M} = \frac{M}{N}$		
Mean/ele. R	$\hat{R}_{stc1} = \frac{1}{\bar{M}} \sum_{l=1}^L W_l \bar{y}_l$ X	$\text{var}(\hat{R}_{stc1}) = \frac{1}{\bar{M}^2} \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l}\right) \frac{s_{yl}^2}{n_l}$
Mean/clus. $\bar{Y}$	$\hat{\bar{Y}}_{stc1} = \sum_{l=1}^L W_l \bar{y}_l$ ✓	$\text{var}(\hat{\bar{Y}}_{stc1}) = \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l}\right) \frac{s_{yl}^2}{n_l}$
Total Y	$\hat{Y}_{stc1} = \sum_{l=1}^L N_l \bar{y}_l$ ✓	$\text{var}(\hat{Y}_{stc1}) = \sum_{l=1}^L N_l^2 \left(1 - \frac{n_l}{N_l}\right) \frac{s_{yl}^2}{n_l}$
Separate ratio estimator: $r_l = \frac{\sum_{i=1}^{n_l} y_{li}}{\sum_{i=1}^{n_l} M_{li}}, \quad s_{srl}^2 = s_{yl}^2 - 2r_l s_{xyl} + r_l^2 s_{xl}^2, \quad \bar{M}_l = \frac{M_l}{N_l}$		
Mean/ele. R	$\hat{R}_{stc1,sr} = \frac{1}{\bar{M}} \sum_{l=1}^L W_l r_l \bar{M}_l$ X	$\text{var}(\hat{R}_{stc1,sr}) = \frac{1}{\bar{M}^2} \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l}\right) \frac{s_{srl}^2}{n_l}$
Mean/clus. $\bar{Y}$	$\hat{\bar{Y}}_{stc1,sr} = \sum_{l=1}^L W_l r_l \bar{M}_l$ X	$\text{var}(\hat{\bar{Y}}_{stc1,sr}) = \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l}\right) \frac{s_{srl}^2}{n_l}$
Total Y	$\hat{Y}_{stc1,sr} = \sum_{l=1}^L N_l r_l \bar{M}_l$ X	$\text{var}(\hat{Y}_{stc1,sr}) = \sum_{l=1}^L N_l^2 \left(1 - \frac{n_l}{N_l}\right) \frac{s_{srl}^2}{n_l}$
Combine ratio estimator: $r_c = \frac{\sum_{l=1}^L W_l \bar{y}_l}{\sum_{l=1}^L W_l \bar{m}_l}, \quad s_{crl}^2 = s_{yl}^2 - 2r_c s_{xyl} + r_c^2 s_{xl}^2$		
Mean/ele. R	$\hat{R}_{stc1,cr} = r_c$ ✓	$\text{var}(\hat{R}_{stc1,cr}) = \frac{1}{\bar{M}^2} \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l}\right) \frac{s_{crl}^2}{n_l}$
Mean/clus. $\bar{Y}$	$\hat{\bar{Y}}_{stc1,cr} = \bar{M} r_c$ X	$\text{var}(\hat{\bar{Y}}_{stc1,cr}) = \sum_{l=1}^L W_l^2 \left(1 - \frac{n_l}{N_l}\right) \frac{s_{crl}^2}{n_l}$
Total Y	$\hat{Y}_{stc1,cr} = M r_c$ X	$\text{var}(\hat{Y}_{stc1,cr}) = \sum_{l=1}^L N_l^2 \left(1 - \frac{n_l}{N_l}\right) \frac{s_{crl}^2}{n_l}$

‘X’ indicates that the estimate cannot be applied if $\bar{M}$ or M is unknown.

Table 8: Sampling with unequal probabilities (Ch.5)

Parameter	Estimate	Variance
Hansen-Hurwitz estimator for WR sample with sel. prob. p_i (PPS: $p_i = \frac{x_i}{X}$) & $z_i = \frac{y_i}{p_i}$		
Mean $\bar{Y}$	$\hat{Y}_{HH} = \frac{1}{Nn} \sum_{i=1}^n \frac{y_i}{p_i} = \frac{\bar{z}}{N}$	$\text{var}(\hat{Y}_{HH}) = \frac{1}{N^2 n(n-1)} \left(\sum_{i=1}^n z_i^2 - n\bar{z}^2 \right) = \frac{s_z^2}{N^2 n}$
Total Y	$\hat{Y}_{HH} = \frac{1}{n} \sum_{i=1}^n \frac{y_i}{p_i} = \bar{z}$	$\text{var}(\hat{Y}_{HH}) = \frac{1}{n(n-1)} \left(\sum_{i=1}^n z_i^2 - n\bar{z}^2 \right) = \frac{s_z^2}{n}$
Horvitz-Thompson estimator for any sampling with inclusion probabilities π_i ($\sum_{i=1}^n \pi_i = n$)		
Mean $\bar{Y}$	$\hat{Y}_{HT} = \frac{1}{N} \sum_{i=1}^n \frac{y_i}{\pi_i}$	For any sampling design: $\text{var}(\hat{Y}_{HT,1}) = \frac{1}{N^2} \left(\sum_{i=1}^n \frac{1-\pi_i}{\pi_i^2} y_i^2 + 2 \sum_{i=1}^n \sum_{j<i} \frac{\pi_{ij} - \pi_i \pi_j}{\pi_i \pi_j \pi_{ij}} y_i y_j \right)$ For sampling WOR: $\text{var}(\hat{Y}_{HT,2}) = \frac{1}{N^2} \left[\sum_{i=1}^n \sum_{j<i} \frac{\pi_i \pi_j - \pi_{ij}}{\pi_{ij}} \left(\frac{y_i}{\pi_i} - \frac{y_j}{\pi_j} \right)^2 \right]$ For systematic sampling (Hartley & Rao (1962) estimator): $\text{var}(\hat{Y}_{HT,1pps}) = \frac{1}{N^2} \left[\sum_{i=1}^n \left(1 - \frac{n-1}{n} \pi_i \right) \left(\frac{y_i}{\pi_i} - \frac{\hat{Y}_{sys}}{n} \right)^2 \right]$
Total Y	$\hat{Y}_{HT} = \sum_{i=1}^n \frac{y_i}{\pi_i}$	For any sampling design: $\text{var}(\hat{Y}_{HT,1}) = \sum_{i=1}^n \frac{1-\pi_i}{\pi_i^2} y_i^2 + \sum_{i=1}^n \sum_{j \neq i} \frac{\pi_{ij} - \pi_i \pi_j}{\pi_i \pi_j \pi_{ij}} y_i y_j$ For sampling WOR: $\text{var}(\hat{Y}_{HT,2}) = \sum_{i,j \in \mathcal{S}} \sum_{i<j} \frac{\pi_i \pi_j - \pi_{ij}}{\pi_{ij}} \left(\frac{y_i}{\pi_i} - \frac{y_j}{\pi_j} \right)^2$ For systematic sampling (Hartley & Rao (1962) estimator): $\text{var}(\hat{Y}_{HT,1pps}) = \sum_{i \in \mathcal{S}} \left(1 - \frac{n-1}{n} \pi_i \right) \left(\frac{y_i}{\pi_i} - \frac{\hat{Y}_{sys}}{n} \right)^2$