

REALIZATION OF HOMOTOPY INVARIANTS BY PD^3 -PAIRS

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ABSTRACT. Up to oriented homotopy equivalence, a PD^3 -pair $(X, \partial X)$ with aspherical boundary components is uniquely determined by the Π_1 -system $\{\kappa_i : \Pi_1(\partial X_i, *) \rightarrow \Pi_1(X, *)\}_{i \in J}$, the orientation character $\omega_X \in H^1(X; \mathbb{Z}/2\mathbb{Z})$ and the image of the fundamental class $[X, \partial X] \in H_3(X, \partial X; \mathbb{Z}^\omega)$ under the classifying map [3]. We call the triple $(\{\kappa_i\}_{i \in J}, \omega_X, [X, \partial X])$ the fundamental triple of the PD^3 -pair $(X, \partial X)$.

Using Peter Hilton's homotopy theory of modules, Turaev [12] gave a condition for realization in the absolute case of PD^3 -complexes X with $\partial X = \emptyset$. Given a finitely presentable group G and $\omega \in H^1(G; \mathbb{Z}/2\mathbb{Z})$, he defined a homomorphism

$$\nu : H_3(G; \mathbb{Z}^\omega) \longrightarrow [F, I]$$

where F is some $\mathbb{Z}[G]$ -module, $I = \ker \text{aug}$ and $[A, B]$ denotes the group of homotopy classes of $\mathbb{Z}[G]$ -morphisms from the $\mathbb{Z}[G]$ -module A to the $\mathbb{Z}[G]$ -module B . Turaev showed that, given $\mu \in H_3(G; \mathbb{Z}^\omega)$, the triple (G, ω, μ) is realized by a PD^3 -complex X if and only if $\nu(\mu)$ is a class of homotopy equivalences of $\mathbb{Z}[G]$ -modules.

Using Turaev's construction of the homomorphism ν , we generalize the condition for realization to the case of PD^3 -pairs $(X, \partial X)$, where ∂X is not necessarily empty.

1. OUTLINE

Section 2 is concerned with notation and the existence of Eilenberg–Mac Lane pairs.

Section 3 discusses properties of the relative twisted cap product needed for the formulation of the realization condition and the proof of sufficiency in the Π_1 -injective case.

In Section 4 we briefly revise the projective homotopy category of modules over a ring, also called the stable category. The final theorem of this section plays a crucial rôle in the construction of a PD^3 -pair from given invariants.

The realization condition is formulated in Section 5 and Section 6 contains the proof of the realization theorem for the Π_1 -injective case.

2. PRELIMINARIES

Let G be a group, let $\Lambda := \mathbb{Z}[G]$ be the integral group ring of G and let $\text{aug} : \Lambda \rightarrow \mathbb{Z}$ denote the augmentation homomorphism determined by $\text{aug}(g) := 1$ for all $g \in G$. The kernel I of the augmentation homomorphism is called the augmentation ideal.

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Furthermore, take $\omega \in H^1(G, \mathbb{Z}/2\mathbb{Z})$. Since $H^1(G, \mathbb{Z}/2\mathbb{Z})$ is naturally isomorphic to $\text{Hom}(G, \mathbb{Z}/2\mathbb{Z})$, the cohomology class ω determines a homomorphism from G to the group $\mathbb{Z}/2\mathbb{Z} = \{0, 1\}$. This homomorphism, in turn, gives rise to the anti-isomorphism

$$\bar{\cdot} : \Lambda \longrightarrow \Lambda; \lambda \longmapsto \bar{\lambda}$$

determined by

$$\bar{g} := (-1)^{\omega(g)} g^{-1} \quad \text{for } g \in G.$$

We may associate a left Λ -module with every right Λ -module and vice versa by means of the anti-isomorphism $\bar{\cdot}$. Namely, given a right Λ -module A and a left Λ -module B , define a left action on the set underlying A and a right action on the set underlying B by

$$\begin{aligned} \lambda.a &:= a.\bar{\lambda} \quad \text{for } a \in A, \lambda \in \Lambda; \\ b.\lambda &:= \bar{\lambda}.b \quad \text{for } b \in B, \lambda \in \Lambda. \end{aligned}$$

We denote the modules thus obtained by ${}^\omega A$ and B^ω respectively.

Given a short exact sequence $Q \twoheadrightarrow P \twoheadrightarrow D$ of augmented chain complexes of left Λ -modules with compatible equivariant diagonals and a “twisting” $\omega \in H^1(G, \mathbb{Z}/2\mathbb{Z})$, the relative twisted cap products are defined at the chain level by

$$\begin{aligned} \cap : \text{Hom}_\Lambda(P, {}^\omega M)_{-k} \otimes (\mathbb{Z}^\omega \otimes_\Lambda D)_n &\rightarrow (M \otimes_\Lambda D)_{n-k} \\ \varphi \cap (z \otimes d) &:= \varphi / (z \otimes \Delta_{\text{rel}}(d)) \end{aligned}$$

and

$$\begin{aligned} \cap : \text{Hom}_\Lambda(D, {}^\omega M)_{-k} \otimes (\mathbb{Z}^\omega \otimes_\Lambda D)_n &\rightarrow (M \otimes_\Lambda P)_{n-k} \\ \varphi \cap (z \otimes d) &:= \varphi / (z \otimes \Delta'_{\text{rel}}(d)). \end{aligned}$$

for any right Λ -module M [3]. Passing to homology we obtain the relative twisted cap products

$$\cap : H^k(P, {}^\omega M) \otimes H_n(D, \mathbb{Z}^\omega) \rightarrow H_{n-k}(D, M)$$

and

$$\cap : H^k(D, {}^\omega M) \otimes H_n(D, \mathbb{Z}^\omega) \rightarrow H_{n-k}(P, M).$$

Now let $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$ be a family of group homomorphisms and let (X, Y) be a pair of CW-complexes with Π_1 -system $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$. Put $\Lambda := \mathbb{Z}[G]$ and let $p : \tilde{X} \rightarrow X$ be the universal covering of X . Let $C(X)$ denote the cellular chain complex of \tilde{X} viewed as a complex of Λ -modules. We denote the subcomplex of $C(X)$ generated by the cells lying above Y by $C(Y)$ and put $C(X, Y) := C(X)/C(Y)$, so that $C(Y) \twoheadrightarrow C(X) \twoheadrightarrow C(X, Y)$ is a short exact sequence of left Λ -modules. We call $C(X, Y)$ the relative cellular complex and $C(Y) \twoheadrightarrow C(X) \twoheadrightarrow C(X, Y)$ the short exact sequence of cellular chain complexes of the pair (X, Y) .

Given a family $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$ of group homomorphisms we may ask whether there is a pair (X, Y) which has Π_1 -system $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$. The answer is yes, namely, for $i \in J$ take $K(G_i; 1)$ complexes Y_i and a $K(G; 1)$ complex X . Then the family $\kappa_i : G_i \rightarrow G$ of homomorphisms determines a map $f : \coprod_{i \in J} Y_i \rightarrow X$. Let K be the mapping cylinder

of f and identify $\coprod_{i \in J} Y_i$ with its image under the inclusion in K . Then (K, Y) is a pair with Π_1 -system $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$.

As we do not require the homomorphisms κ_i to be injective we will adopt the following non-standard definition for the purpose of this paper.

Definition 2.1. *Let $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$ be a family of group homomorphisms. An Eilenberg–Mac Lane pair of type $K(\{\kappa_i : G_i \rightarrow G\}_{i \in J}; 1)$ is a pair (X, Y) such that X is an Eilenberg–Mac Lane complex of type $K(G; 1)$, the connected components $\{Y_i\}_{i \in J}$ of Y are Eilenberg–Mac Lane complexes of type $K(G_i; 1)$ and the Π_1 -system of (X, Y) is isomorphic to $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$.*

In the standard definition of Eilenberg–Mac Lane pairs given by Bieri–Eckmann in [1] the homomorphisms κ_i are required to be injective.

An Eilenberg–Mac Lane pair of type $(G, \{G_i\}_{i \in J}; 1)$ is determined up to homotopy of pairs and we write $K(G, \{G_i\}_{i \in J}; 1)$ for any such pair. With this definition we proved the following lemma.

Lemma 2.2. *Let $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$ be a family of group homomorphisms. Then there is an Eilenberg–Mac Lane pair (X, Y) of type $(G, \{G_i\}_{i \in J}; 1)$.*

3. PROPERTIES OF THE RELATIVE TWISTED CAP PRODUCTS

First note that, given a Λ -bimodule M , there is a left action of Λ on $M \otimes_\Lambda B$ and a right action of Λ on $\text{Hom}_\Lambda(B, M)$ for any left Λ -module B defined by

$$\lambda.(m \otimes b) := (\lambda.m) \otimes b \quad \text{and} \quad (\varphi.\lambda)(b) := \varphi(b).\lambda$$

for $\lambda \in \Lambda, b \in B, m \in M$ and $\varphi \in \text{Hom}_\Lambda(B, M)$. In particular, $\text{Hom}_\Lambda(B, \Lambda)$ is a right Λ -module. Thus any left Λ -module A gives rise to the functor $\text{Hom}_\Lambda({}^\omega \text{Hom}_\Lambda(-, \Lambda), A)$ from the category ${}_\Lambda \mathcal{M}$ of left Λ -modules to the category $\mathcal{A}b$ of abelian groups. This is related to the functor $A {}^\omega \otimes_\Lambda -$, by the following lemma.

Lemma 3.1. *There is a natural transformation*

$$\eta_B : A {}^\omega \otimes_\Lambda B \longrightarrow \text{Hom}_\Lambda({}^\omega \text{Hom}_\Lambda(B, \Lambda), A)$$

given by

$$\eta_B(a \otimes b) : {}^\omega \text{Hom}_\Lambda(B, \Lambda) \longrightarrow A, \quad \varphi \longmapsto \overline{\varphi(b)}a$$

for every left Λ -module B .

Observation 3.2. When we restrict the functors $A {}^\omega \otimes_\Lambda -$ and $\text{Hom}_\Lambda({}^\omega \text{Hom}_\Lambda(-, \Lambda), A)$ to the category of free left Λ -modules, the natural transformation η becomes a natural equivalence as both $A {}^\omega \otimes_\Lambda \Lambda^n$ and $\text{Hom}_\Lambda({}^\omega \text{Hom}_\Lambda(\Lambda^n, \Lambda), A)$ are isomorphic to A^n as abelian groups.

If M is a Λ -bimodule, then so is ${}^\omega M {}^\omega$. Hence ${}^\omega M {}^\omega \otimes_\Lambda B$ carries a left Λ -module structure and $\text{Hom}_\Lambda(B, M)$ carries a right Λ -module structure for every left Λ -module B . Thus ${}^\omega M {}^\omega \otimes_\Lambda -$ and ${}^\omega \text{Hom}_\Lambda({}^\omega \text{Hom}_\Lambda(-, \Lambda), M)$ are functors from the category of left Λ -modules to itself.

Observation 3.3. The natural transformation η of Lemma 3.1 respects the additional left Λ -module structure when $A = M$ is a Λ -bimodule. In other words, given a Λ -bimodule M , the natural transformation η is in fact a natural transformation from ${}^\omega M^{\omega \otimes \Lambda} -$ to ${}^\omega \text{Hom}_\Lambda({}^\omega \text{Hom}_\Lambda(-, \Lambda), M)$ as functors from the category of left Λ -modules to itself. In particular, for $M = \Lambda$, we may identify the left Λ -module B with ${}^\omega \Lambda^{\omega \otimes \Lambda} B$ by means of the isomorphism ${}^\omega \Lambda^{\omega \otimes \Lambda} B \rightarrow B, \lambda \otimes b \mapsto \bar{\lambda}b$. Then η is the evaluation homomorphism from B to its double dual ${}^\omega \text{Hom}_\Lambda({}^\omega \text{Hom}_\Lambda(-, \Lambda), \Lambda)$.

The next lemma shows that the chain map given by taking the cap product with a cycle is almost chain homotopic to its dual. To be more precise, there is a diagram involving this chain map and its dual which commutes up to chain homotopy.

Lemma 3.4. *Let $1 \otimes x \in \mathbb{Z}^{\omega \otimes \Lambda} D_n$ be a cycle. Then the diagram*

$$\begin{array}{ccc} {}^\omega \text{Hom}_\Lambda(D_k, \Lambda) & \xrightarrow{\theta} & {}^\omega \text{Hom}_\Lambda({}^\omega \Lambda^{\omega \otimes \Lambda} D_k, \Lambda) \\ \cap 1 \otimes x \downarrow & & \downarrow (\cap 1 \otimes x)^* \\ {}^\omega \Lambda^{\omega \otimes \Lambda} P_{n-k} & \xrightarrow{\eta_{P_{n-k}}} & {}^\omega \text{Hom}_\Lambda({}^\omega \text{Hom}_\Lambda(P_{n-k}, \Lambda), \Lambda) \end{array}$$

commutes up to chain homotopy, where η is the natural equivalence of Observation 3.2 and the isomorphism θ is given by $\theta(\varphi)(\lambda \otimes d) := \bar{\lambda} \varphi(d)$ for $\varphi \in {}^\omega \text{Hom}_\Lambda(D_k, \Lambda), d \in D_k$ and $\lambda \in \Lambda$.

Proof. Suppose $x = \pi(y)$ and $\Delta(y) = \sum y_i \otimes y'_j$. Take $\varphi \in {}^\omega \text{Hom}_\Lambda(D_k, \Lambda)$ and $\psi \in {}^\omega \text{Hom}_\Lambda(P_{n-k}, \Lambda)$. Then

$$\begin{aligned} ((\cap 1 \otimes x)^*(\theta(\varphi)))(\psi) &= \theta(\varphi)(\psi \cap 1 \otimes x) \\ &= \theta(\varphi)(\psi(y_{n-k}) \otimes \pi(y'_k)) \\ &= \overline{\psi(y_{n-k})} \varphi(\pi(y'_k)) \\ &= \eta(\varphi(\pi(y'_k)) \otimes y_{n-k})(\psi) \\ &= \eta(/(\text{id} \otimes \text{id} \otimes ((\pi \otimes \text{id}) \circ T \circ \Delta))(\varphi \otimes 1 \otimes x))(\psi) \end{aligned}$$

where $T : P \otimes P \rightarrow P \otimes P$ is defined by $T(\sum_{i+j=n} y_i \otimes y'_j) = \sum_{i+j=n} y'_j \otimes y_i$. But $T \circ \Delta$ is again a diagonal on P and hence (see [11], p.250) chain homotopic to Δ . As $1 \otimes x$ is a cycle, we obtain

$$\begin{aligned} (\cap 1 \otimes x)^* \circ \theta &= \eta \circ (/ \circ (\text{id} \otimes \text{id} \otimes ((\pi \otimes \text{id}) \circ T \circ \Delta)))(-\otimes 1 \otimes x) \\ &\simeq \eta \circ (/ \circ (\text{id} \otimes \text{id} \otimes ((\pi \otimes \text{id}) \circ \Delta)))(-\otimes 1 \otimes x) \\ &\simeq \eta \circ (\cap 1 \otimes x). \end{aligned}$$

□

Suppose that $Q \xrightarrow{\iota} P \xrightarrow{\pi} D$ is a short exact sequence of augmented chain complexes of free Λ -modules with compatible diagonals. Then $Q \xrightarrow{\iota} P \xrightarrow{\pi} D$ splits and stays split short exact when we tensor or apply the Hom_Λ -functor. Given a right Λ -module M ,

we denote the connecting homomorphisms of $\mathbb{Z}^\omega \otimes_\Lambda Q \rightarrow \mathbb{Z}^\omega \otimes_\Lambda P \rightarrow \mathbb{Z}^\omega \otimes_\Lambda D$, $M \otimes_\Lambda Q \rightarrow M \otimes_\Lambda P \rightarrow M \otimes_\Lambda D$ and ${}^\omega\text{Hom}_\Lambda(D, {}^\omega M) \rightarrow {}^\omega\text{Hom}_\Lambda(P, {}^\omega M) \rightarrow {}^\omega\text{Hom}_\Lambda(Q, {}^\omega M)$ by δ_* , δ'_* and δ^* respectively.

Proposition 3.5. *Take $x \in H^k(D, {}^\omega M)$, $y \in H_n(D, \mathbb{Z}^\omega)$, $z \in H^l(P, {}^\omega M)$ and $u \in H^{k-1}(Q, {}^\omega M)$. Then*

- (i) $(\text{id} \otimes \pi)_*(x \cap y) = (\pi^*x) \cap y$;
- (ii) $\delta'_*(z \cap y) = (\iota^*z) \cap \delta_*y$;
- (iii) $(\text{id} \otimes \iota)_*(u \cap \delta_*y) = (-1)^k(\delta^*u) \cap y$.

Proof. (i) Take a cocycle $\varphi \in {}^\omega\text{Hom}_\Lambda(D_k, {}^\omega M)$ and a cycle $n \otimes d \in \mathbb{Z}^\omega \otimes_\Lambda D_n$ representing x and y respectively. Furthermore take $p \in P$ with $n \otimes d = n \otimes \pi(p)$ and suppose $\Delta(p) = \sum p_i \otimes p'_j$. Then

$$\begin{aligned} (\text{id} \otimes \pi)(\varphi \cap n \otimes d) &= (\text{id} \otimes \pi)(\varphi / n \otimes \Delta_{\text{rel}} d) = (\text{id} \otimes \pi)(\varphi / n \otimes \sum \pi(p_i) \otimes p'_j) \\ &= (\text{id} \otimes \pi)(n\varphi(\pi(p_k)) \otimes p'_{n-k}) = n\varphi(\pi(p_k)) \otimes \pi(p'_{n-k}) \\ &= \varphi \circ \pi / n \otimes \sum p_i \otimes \pi(p'_j) = \pi^*(\varphi) \cap n \otimes d. \end{aligned}$$

As $(\text{id} \otimes \pi)(\varphi \cap n \otimes d)$ represents $(\text{id} \otimes \pi)_*(x \cap y)$ and $\pi^*(\varphi) \cap n \otimes d$ represents $(\pi^*x) \cap y$, we have thus proved (i).

(ii) Take a cocycle $\varphi \in {}^\omega\text{Hom}_\Lambda(P_l, {}^\omega M)$ and a cycle $n \otimes d \in \mathbb{Z}^\omega \otimes_\Lambda D_n$ representing z and y respectively. Furthermore take $p \in P$ and $q \in Q$ such that $n \otimes d = n \otimes \pi(p)$ and $n \otimes \partial p = n \otimes \iota(q)$ and suppose $\Delta(q) = \sum q_i \otimes q'_j$. Then $\iota^*z \cap \delta_*y$ is represented by

$$\varphi \circ \iota / (n \otimes \Delta q) = \varphi \circ \iota / (n \otimes \sum q_i \otimes q'_j) = n\varphi(\iota(q_l)) \otimes q'_{n-l}$$

and

$$\begin{aligned} (\text{id} \otimes \iota)(\varphi \circ \iota / (n \otimes \Delta q)) &= n\varphi(\iota(q_l)) \otimes \iota(q'_{n-l}) = \varphi / (n \otimes (\iota \otimes \iota) \Delta q) \\ &= \varphi / (n \otimes \Delta \iota(q)) = \varphi / (n \otimes \Delta \partial p) \\ &= \varphi / (n \otimes \partial \Delta p) = \partial(\varphi / (n \otimes \Delta p)) \end{aligned}$$

as φ is a cocycle. Furthermore $z \cap y$ is represented by

$$\varphi / (n \otimes \Delta_{\text{rel}} d) = \varphi / (n \otimes (\text{id} \otimes \pi) \Delta p),$$

so that $\delta'_*(z \cap y)$ is represented by $n \otimes a$ where

$$(\text{id} \otimes \iota)(n \otimes a) = \partial(\varphi / (n \otimes \Delta p)).$$

As $(\text{id} \otimes \iota)$ is a monomorphism we may conclude that $\delta'_*(z \cap y) = \iota^*z \cap \delta_*y$.

(iii) Take $\varphi \in {}^\omega\text{Hom}_\Lambda(Q_{k-1}, {}^\omega M)$ and $n \otimes d \in \mathbb{Z}^\omega \otimes_\Lambda D_n$ representing u and y respectively. Take $\psi \in {}^\omega\text{Hom}_\Lambda(P_{k-1}, {}^\omega M)$ with $\varphi = \iota^*\psi$ and $\eta \in {}^\omega\text{Hom}_\Lambda(D_k, {}^\omega M)$ with $\pi^*\eta = \partial^*\psi$. Then δ^*u is represented by η . Further, take $p \in P_n$ with $\pi p = d$ and $q \in Q_{n-1}$ with $\iota q = \partial p$, so that δ'_*y is represented by $n \otimes q$, and suppose $\Delta p = \sum p_i \otimes p'_j$ and $\Delta q = \sum q_i \otimes q'_j$. Then

$(\text{id} \otimes \iota)_*(u \cap \delta_* y)$ is represented by

$$\begin{aligned}
(\text{id} \otimes \iota)(\varphi \cap n \otimes q) &= (\text{id} \otimes \iota)(\varphi/n \otimes \Delta q) = (\text{id} \otimes \iota)(\varphi/n \otimes \sum q_i \otimes q'_j) \\
&= (\text{id} \otimes \iota)(n\varphi(q_{k-1}) \otimes q'_{n-k-1}) = n\varphi(q_{k-1}) \otimes \iota(q'_{n-k-1}) \\
&= n\iota^* \psi(q_{k-1}) \otimes \iota(q'_{n-k-1}) = \psi/n \otimes (\iota \otimes \iota) \Delta q \\
&= \psi/n \otimes \Delta \iota(q) = \psi/n \otimes \Delta \partial p \\
&= \psi/n \otimes \partial \Delta p.
\end{aligned}$$

Since $/$ is a chain map, we obtain

$$\partial(\psi/n \otimes \Delta p) = (\partial^* \psi)/n \otimes \Delta p + (-1)^{k-1} \psi/n \otimes \partial \Delta p.$$

On the other hand

$$\begin{aligned}
\partial^* \psi/n \otimes \Delta p &= \pi^* \eta/n \otimes \Delta p = \pi^* \eta/n \otimes \sum p_i \otimes p'_j \\
&= n\eta(\pi(p_k)) \otimes p'_{n-k} = \eta/n \otimes \Delta'_{\text{rel}} d \\
&= \eta \cap n \otimes d,
\end{aligned}$$

which shows that $\partial^* \psi/n \otimes \Delta p$ represents $(\delta^* u) \cap y$. As $\partial(\psi/n \otimes \Delta p)$ is a boundary, we may conclude that

$$(\text{id} \otimes \iota)_*(u \cap \delta_* y) = (-1)^k (\delta^* u) \cap y.$$

□

Proposition 3.5 allows us to prove commutativity of a diagram, also called a cap product ladder, which involves long exact homology and co-homology sequences arising from $Q \rightarrow P \rightarrow D$ and the cap product with a homology class $y \in H_n(D; \mathbb{Z}^\omega)$.

Theorem 3.6 (Cap Product Ladder). *Let $Q \xrightarrow{\iota} P \xrightarrow{\pi} D$ be a short exact sequence of augmented chain complexes of free Λ -modules with compatible diagonals. Then, given $y \in H_n(D; \mathbb{Z}^\omega)$, the diagram*

$$\begin{array}{ccccccc}
\cdots & \longrightarrow & H^r(D, \omega M) & \xrightarrow{\pi^*} & H^r(P, \omega M) & \xrightarrow{\iota^*} & H^r(Q, \omega M) & \xrightarrow{\delta^*} & H^{r+1}(D, \omega M) & \longrightarrow & \cdots \\
& & \downarrow \cap y & & \downarrow \cap y & & \downarrow \cap \delta_* y & & \downarrow \cap y & & \\
\cdots & \longrightarrow & H_{n-r}(P, M) & \longrightarrow & H_{n-r}(D, M) & \longrightarrow & H_{n-r-1}(Q, M) & \longrightarrow & H_{n-r-1}(P, M) & \longrightarrow & \cdots
\end{array}$$

commutes, up to sign.

Proof. Given $x \in H^r(D, \omega M)$, Property (i) of Proposition 3.5 implies $(\pi^* x) \cap y = (\text{id} \otimes \pi)_*(x \cap y)$. For $z \in H^r(P, \omega M)$ we have $\iota^* z \cap \delta^* y = \delta'_*(z \cap y)$ by (ii). Finally, (iii) yields $(\text{id} \otimes \iota)_*(u \cap \delta_* y) = (-1)^k (\delta^* u) \cap y$ for $u \in H^r(Q, \omega M)$. Hence the first two squares commute and the third commutes up to sign. □

4. PROJECTIVE HOMOTOPY THEORY OF MODULES

In this section Λ may be any ring with unit. Unless otherwise specified, A, B, \dots will denote left Λ -modules and φ, ψ, \dots will denote Λ -morphisms.

Definition 4.1. *The Λ -morphism $\varphi : A \rightarrow B$ is nullhomotopic, written as $\varphi \simeq 0$, if there is a commutative diagram*

$$\begin{array}{ccc} A & \xrightarrow{\varphi} & B \\ & \searrow & \nearrow \\ & P & \end{array} \quad (1)$$

where P is a projective Λ -module.

As every projective Λ -module is a direct summand of a free Λ -module the existence of Diagram (1) is equivalent to the existence of a diagram of the form

$$\begin{array}{ccc} A & \xrightarrow{\varphi} & B \\ & \searrow & \nearrow \\ & \Lambda^m & \end{array}$$

If $\varepsilon : PA \rightarrow A$ is an epimorphism and PA is projective then PA is called a path space over A (in analogy to topological homotopy theory). Since the category of left Λ -modules has enough projectives, every Λ -module A has a path space. It is not difficult to show that a Λ -morphism $\varphi : A \rightarrow B$ is nullhomotopic if and only if it factors through a given path space $\varepsilon : PB \rightarrow B$ of B , that is, if and only if there is a commutative diagram

$$\begin{array}{ccc} A & \xrightarrow{\varphi} & B \\ & \searrow & \nearrow \\ & PB & \end{array}$$

Thus, if $\varphi : A \rightarrow B$ factors through one particular path space of B , it factors through any path space of B . Hence

$$\text{Nhom}_\Lambda(A, B) := \{ \varphi : A \rightarrow B \mid \varphi \simeq 0 \}$$

is a subgroup of $\text{Hom}_\Lambda(A, B)$.

Definition 4.2. *Two Λ -morphisms φ and ψ are homotopic if $\varphi - \psi \simeq 0$. Furthermore the group*

$$[A, B] := \text{Hom}_\Lambda(A, B) / \text{Nhom}_\Lambda(A, B)$$

of homotopy classes of Λ -morphisms is called the homotopy group from A to B .

It is not difficult to show that homotopy respects composition of Λ -morphisms. Thus we obtain a category, called the projective homotopy category (PHOM) or the stable category whose objects are left Λ -modules and whose morphisms are homotopy classes of Λ -morphisms. Furthermore $[A, B]$ is functorial in both variables and preserves direct products.

As in topological homotopy theory, we say that $\varphi : A \rightarrow B$ is a homotopy equivalence if and only if there is a Λ -morphism $\psi : B \rightarrow A$ such that $\varphi\psi \simeq \text{id}_B$ and $\psi\varphi \simeq \text{id}_A$. If there is a homotopy equivalence $\varphi : A \rightarrow B$ then A and B are said to be homotopy equivalent and we denote the set of homotopy equivalences from A to B by $\text{Equi}(A, B)$.

Lemma 4.3. *A Λ -module A is projective if and only if $[X, A] = 0$ for every Λ -module X .*

Proof. We only need to show that $[X, A] = 0$ for every Λ -module X implies that A is projective. So assume that $[X, A] = 0$ for every Λ -module X . Then $[A, A] = 0$ which implies $\text{id}_A \simeq 0$, that is, id_A factors through a path space $PA \rightarrow A$ of A . Thus there is a commutative diagram

$$\begin{array}{ccc} A & \xrightarrow{\text{id}_A} & A \\ & \searrow \iota & \nearrow \pi \\ & PA & \end{array}$$

Now let $\varphi : A \rightarrow B$ be a Λ -morphism and let $\varepsilon : C \rightarrow B$ be an epimorphism. Since PA is projective there is a Λ -morphism $\psi : PA \rightarrow C$ such that $\varepsilon\psi = \varphi\pi$. Hence $\varepsilon\psi\iota = \varphi\pi\iota = \varphi$, showing that A is projective.

$$\begin{array}{ccccc} & & & & C \\ & & & \nearrow \psi & \downarrow \varepsilon \\ & & & \psi \nearrow & \\ PA & \xrightarrow{\pi} & A & \xrightarrow{\varphi} & B \\ & & & \searrow \varphi & \\ & & & & B \end{array}$$

□

Given a path space $\varepsilon : PB \rightarrow B$, any $\varphi : A \rightarrow B$ factors as

$$A \xrightarrow{\iota} A \oplus PB \xrightarrow{\varphi'} B,$$

where φ' is defined by $\varphi'(a, p) = \varphi(a) + \varepsilon(p)$ for $a \in A$ and $p \in PB$.

The statement as well as the proof of the following theorem are dual to Theorem 13.7 in [8] and its proof.

Theorem 4.4. *A homotopy equivalence $\varphi : A \rightarrow B$ factors as*

$$A \xrightarrow{\iota} A \oplus P \xrightarrow{\tilde{\varphi}} B \oplus Q \xrightarrow{\pi} B$$

where P and Q are projective and ι and π are the natural inclusion and projection respectively.

Proof. First assume that φ is an epimorphism. Let $\psi : B \rightarrow A$ be a homotopy inverse of φ and let $\varepsilon : PA \rightarrow A$ be a path space of A . Then $\varphi\varepsilon : PA \rightarrow B$ is a path space of B and hence $\varphi\psi - \text{id}_B \simeq 0$ implies that there is a Λ -morphism $\eta : B \rightarrow PA$ such that the

diagram

$$\begin{array}{ccccc}
 PA & \xrightarrow{\varepsilon} & A & \xrightarrow{\varphi} & B \\
 \eta \uparrow & & & \nearrow & \\
 B & & & \varphi\psi - \text{id}_B &
 \end{array}$$

commutes. Put $\tilde{\psi} := \psi - \varepsilon\eta$. Then $\tilde{\psi} \simeq \psi$ and

$$\varphi\tilde{\psi} = \varphi(\psi - \varepsilon\eta) = \varphi\psi - \varphi\varepsilon\eta = \varphi\psi - \varphi\psi + \text{id}_B = \text{id}_B.$$

Hence $\tilde{\psi}$ is a monomorphism and the short exact sequence

$$B \xrightarrow{\tilde{\psi}} A \xrightarrow{\pi'} \text{coker } \tilde{\psi}$$

splits so that $A = \tilde{\psi}(B) \oplus Q$ where $Q = \text{coker } \tilde{\psi}$. In order to show that Q is projective it is enough to show that $[X, Q] = 0$ for all X . So take any Λ -module X . Then

$$[X, B] \xrightarrow{\psi_*} [X, \tilde{\psi}(B) \oplus Q] \xrightarrow{\cong} [X, \tilde{\psi}(B)] \oplus [X, Q] \longrightarrow [X, Q]$$

is onto. But what does this homomorphism do to the homotopy class of a Λ -morphism $\nu : X \rightarrow B$?

$$[\nu] \mapsto [\psi\nu] = [\tilde{\psi}\nu] \mapsto [\pi'\tilde{\psi}\nu] = 0.$$

Hence $[X, Q] = 0$ showing that Q is projective.

Thus φ factors as

$$A = \tilde{\psi}(B) \oplus Q \xrightarrow{\cong} B \oplus Q \longrightarrow B.$$

Given an arbitrary homotopy equivalence $\varphi : A \rightarrow B$ we obtain

$$\begin{array}{ccccc}
 A & \xrightarrow{\iota} & A \oplus PB & \xrightarrow{\varphi'} & B \\
 & & \searrow & & \nearrow \\
 & & B \oplus Q & &
 \end{array}$$

□

Observation 4.5. If the Λ -modules A and B in Theorem 4.4 are finitely generated, then the projective Λ -modules P and Q are also finitely generated. Thus there is a finitely generated projective Λ -module \tilde{P} such that $P \oplus \tilde{P} \cong \Lambda^n$ for some $n \in \mathbb{N}$. Hence φ factors as

$$A \longrightarrow A \oplus (P \oplus \tilde{P}) \longrightarrow B \oplus (Q \oplus \tilde{P}) \longrightarrow B$$

or

$$A \longrightarrow A \oplus \Lambda^n \longrightarrow B \oplus \tilde{Q} \longrightarrow B$$

where $\tilde{Q} = Q \oplus \tilde{P}$ is finitely generated projective.

5. FORMULATION OF THE REALIZATION CONDITION

We have seen in [3] that, up to oriented homotopy equivalence, a PD^3 -pair $(X, \partial X)$ with aspherical boundary components is uniquely determined by the Π_1 -system $\{\kappa_i : \Pi_1(\partial X_i, *) \rightarrow \Pi_1(X, *)\}_{i \in J}$, the orientation character $\omega_X \in H^1(X; \mathbb{Z}/2\mathbb{Z})$ and the image of the fundamental class $[X, \partial X] \in H_3(X, \partial X; \mathbb{Z}^\omega)$ under the classifying map

$$c : (X, \partial X) \longrightarrow K(\{\kappa_i\}_{i \in J}; 1).$$

In other words, the triple $(\{\kappa_i\}_{i \in J}, \omega_X, c_*([X, \partial X]))$ forms a complete set of homotopy invariants for PD^3 -pairs, also called the *fundamental triple* of $(X, \partial X)$. We say that $(X, \partial X)$ *realizes* $(\{\kappa_i\}_{i \in J}, \omega, \mu)$.

Question 5.1. Given a Π_1 -system $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$, $\omega \in H^1(G; \mathbb{Z}/2\mathbb{Z})$ and a homology class $\mu \in H_3(G, \{G_i\}_{i \in J}; \mathbb{Z}^\omega)$, is there a PD^3 -pair $(X, \partial X)$ realizing $(\{\kappa_i\}_{i \in J}, \omega, \mu)$?

Turaev [12] gave a condition for realization in the absolute case of PD^3 -complexes X with $\partial X = \emptyset$. Given a finitely presentable group G and $\omega \in H^1(G; \mathbb{Z}/2\mathbb{Z})$, he defined a homomorphism

$$\nu : H_3(G; \mathbb{Z}^\omega) \longrightarrow [F, I]$$

where F is some $\mathbb{Z}[G]$ -module, $I = \ker \text{aug}$ and $[A, B]$ denotes the group of homotopy classes of $\mathbb{Z}[G]$ -morphisms from the $\mathbb{Z}[G]$ -module A to the $\mathbb{Z}[G]$ -module B . Turaev showed that, given $\mu \in H_3(G; \mathbb{Z}^\omega)$, the triple (G, ω, μ) is realized by a PD^3 -complex X if and only if $\nu(\mu)$ is a class of homotopy equivalences of $\mathbb{Z}[G]$ -modules.

Using Turaev's construction of the homomorphism ν , we generalize the condition for realization to the case of PD^3 -pairs $(X, \partial X)$, where ∂X is not necessarily empty.

First we introduce two functors from the category of left Λ -modules to itself, where Λ is the integral group ring of the group H .

We take $\omega \in H^1(H, \mathbb{Z}/2\mathbb{Z})$ and use the notation of Chapter 1.

Given a chain complex $\dots \rightarrow C_{r+1} \xrightarrow{\partial_r} C_r \rightarrow \dots$ of left Λ -modules, put

$$G_r(C) := \text{coker } \partial_r = C_r / \text{im } \partial_r.$$

If $f : C \rightarrow D$ is a chain map then $f_r(\text{im } \partial_r^C) \subseteq \text{im } \partial_r^D$. Hence there is an induced Λ -morphism of cokernels $G_r(f) : G_r(C) \rightarrow G_r(D)$ such that the diagram

$$\begin{array}{ccccc} \text{im } \partial_r^C & \twoheadrightarrow & C_r & \twoheadrightarrow & G_r(C) \\ \downarrow & & \downarrow f_r & & \downarrow G_r(f) \\ \text{im } \partial_r^D & \twoheadrightarrow & D_r & \twoheadrightarrow & G_r(D) \end{array}$$

commutes. It is not difficult to check that $G = G_*$ is a functor from the category of chain complexes of left Λ -modules to itself.

Following Turaev we write C^* for ${}^\omega\text{Hom}_\Lambda(C, \Lambda)$ and compose the two functors G and ${}^\omega\text{Hom}_\Lambda(-, \Lambda)$ to obtain the functor F (see [12] p.265) given by

$$F^r(C) = G_{-r}(C^*) = C^r / \text{im } \partial_{r-1}^*. \quad (2)$$

The following lemma allows us to pass from the category of chain complexes of left Λ -modules to the stable category, that is, the category of left Λ -modules and homotopy classes of Λ -morphisms.

Lemma 5.2. *Let $f, g : C \rightarrow D$ be chain homotopic maps of chain complexes over Λ . If D_n is projective, then $G_n(f) \simeq G_n(g)$ as Λ -morphisms.*

Proof. Let χ be a chain homotopy from f to g . Observe that, for all $n \in \mathbb{Z}$, the boundary operators ∂_{n-1}^C and ∂_{n-1}^D factor as

$$\begin{array}{ccc} C_n & \xrightarrow{\partial_{n-1}^C} & C_{n-1} \\ \sigma_{n-1}^C \downarrow & \nearrow \rho_{n-1}^C & \\ G_n(C) & & \end{array} \quad \text{and} \quad \begin{array}{ccc} D_n & \xrightarrow{\partial_{n-1}^D} & D_{n-1} \\ \sigma_{n-1}^D \downarrow & \nearrow \rho_{n-1}^D & \\ G_n(D) & & \end{array}$$

respectively. Then

$$\begin{aligned} \sigma_{n-1}^D(f_n - g_n) &= \sigma_{n-1}^D(\chi_{n-1}\partial_{n-1}^C + \partial_n^D\chi_n) \\ &= \sigma_{n-1}^D\chi_{n-1}\rho_{n-1}^C\sigma_{n-1}^C + \sigma_{n-1}^D\partial_n^D\chi_n \\ &= \sigma_{n-1}^D\chi_{n-1}\rho_{n-1}^C\sigma_{n-1}^C \end{aligned}$$

Thus the diagram

$$\begin{array}{ccccc} C_{n+1} & \xrightarrow{f_{n+1}-g_{n+1}} & & & D_{n+1} \\ \partial_n^C \downarrow & & & & \partial_n^D \downarrow \\ C_n & \xrightarrow{f_n-g_n} & & & D_n \\ \sigma_{n-1}^C \downarrow & & & & \sigma_{n-1}^D \downarrow \\ G_n(C) & \xrightarrow{\rho_{n-1}^C} C_{n-1} \xrightarrow{\chi_{n-1}} D_n & \xrightarrow{\sigma_{n-1}^D} & & G_n(D) \end{array}$$

commutes. As the induced map of cokernels is uniquely determined, this implies

$$G_n(f) - G_n(g) = G_n(f - g) = \sigma_{n-1}^D\chi_{n-1}\rho_{n-1}^C \simeq 0$$

as D_n is projective. \square

Corollary 5.3. *Let $f : C \rightarrow D$ be a homotopy equivalence of chain complexes over Λ . If C_n and D_n are projective, then $G_n(f)$ is a homotopy equivalence of Λ -modules.*

Corollary 5.3 is crucial for the formulation of the condition for realization.

Observation 5.4. Lemma 5.2 shows that we may view G_n as a functor from the category of chain complexes of projective left Λ -modules and homotopy classes of chain maps to the stable category.

Lemma 5.5. *Let (X, Y) be a pair of CW-complexes with X connected and $\omega \in H^1(X; \mathbb{Z}/2\mathbb{Z})$ such that $H_n(X, Y; \mathbb{Z}^\omega) \cong \mathbb{Z}$ with generator $[1 \otimes x]$. Then there is a chain $w_1 \in C_1(X)$ such that the Λ -morphism $\cap 1 \otimes x : C^*(X, Y) \rightarrow {}^\omega\Lambda^\omega \otimes_\Lambda C(X) \cong C(X)$ is given by*

$$\varphi \cap 1 \otimes x = \overline{\varphi(x)} \cdot (1 + \partial_0 w_1)$$

for every cocycle $\varphi \in C^*(X, Y)$, where we identify $\lambda \otimes c \in {}^\omega\Lambda^\omega \otimes_\Lambda C(X)$ with $\bar{\lambda}.c \in C(X)$.

Proof. Take $y \in C_n(X)$ with $\pi(y) = x$, where $\pi : C(X) \rightarrow C(X, \partial X)$ is the natural projection, and assume $\Delta y = \sum y_i \otimes z_{n-i}$ with $y_i, z_i \in C_i(X)$. Then $(\text{id} \otimes \varepsilon)\Delta(y) = y$ implies $y_n \cdot \varepsilon(z_0) = y$. As $[1 \otimes x]$ is a generator, x and thus y are indivisible so that $y = y_n$ and $\varepsilon(z_0) = 1$ up to sign. As X is connected, we may assume $C_0(X) = \Lambda$ and identify $\text{im} \partial_1$ with $I = \ker \varepsilon$. Then $\varepsilon(z_0) = 1$ implies $z_0 = 1 + w_0$ where $w_0 \in I$, and hence $z_0 = 1 + \partial_0 w_1$ for some $w_1 \in C_1(X)$. Hence

$$\begin{aligned} \varphi \cap 1 \otimes x &= \varphi / 1 \otimes (\pi \otimes \text{id}) \left(\sum y_i \otimes z_{n-i} \right) = \varphi(\pi(y_n)) \otimes z_0 \\ &= \overline{\varphi(\pi(y_n))} \cdot z_0 = \overline{\varphi(x)} \cdot (1 + \partial_0 w_1). \end{aligned}$$

□

Now let $(X, \partial X)$ be a PD^3 -pair and take a cycle $1 \otimes x \in \mathbb{Z}^\omega \otimes_\Lambda C_3(X, \partial X)$ representing $[X, \partial X]$. Then

$$\cap 1 \otimes x : C^*(X, Y) \rightarrow {}^\omega\Lambda^\omega \otimes_\Lambda C(X) \cong C(X)$$

is a chain homotopy equivalence. As both $C_2^*(X, Y)$ and $C_1(X)$ are free and hence projective, Corollary 5.3 implies that

$$G_{-2}(\cap 1 \otimes x) : F^2(C(X, \partial X)) = G_{-2}(C^*(X, Y)) \rightarrow G_1(C(X))$$

is a homotopy equivalence of Λ -modules.

Since $C(X)$ is the cellular chain complex of the universal covering space of X , $H_1(C(X)) = 0$ so that

$$G_1(C(X)) = C_1(X) / \text{im} \partial_1 = C_1(X) / \ker \partial_0 \cong \text{im} \partial_0 = \ker \text{aug} = I,$$

that is, there is an isomorphism

$$\vartheta : G_1(C(X)) \rightarrow I \quad \text{given by} \quad \vartheta([c]) := \partial_0(c).$$

Then $\vartheta \circ G_{-2}(\cap 1 \otimes x)$ is also a homotopy equivalence of Λ -modules, and the fact that $\cap 1 \otimes x$ is a chain map together with Lemma 5.5 yields

$$\begin{aligned} (\vartheta \circ G_{-2}(\cap 1 \otimes x))([\varphi]) &= \vartheta([\varphi \cap 1 \otimes x]) = \partial_0(\varphi \cap 1 \otimes x) \\ &= (\partial_2^* \varphi) \cap 1 \otimes x = \overline{(\partial_2^* \varphi)(x)} \cdot (1 + \partial_0 w_1) \\ &= \overline{(\partial_2^* \varphi)(x)} + \overline{(\partial_2^* \varphi)(x)} \partial_0 w_1 \end{aligned}$$

for $\varphi \in C_2^*(X, \partial X)$ and some $w_1 \in C_1(X)$. Observe that the Λ -morphism

$$F^2(C(X, \partial X)) \longrightarrow I, \quad [\varphi] \longmapsto \overline{(\partial_2^* \varphi)(x)} \partial_0 w_1$$

is null-homotopic since it factors through the Λ -module $C_1(X)$, namely as

$$[\varphi] \longmapsto \overline{(\partial_2^* \varphi)(x)} w_1 \longmapsto \partial_0(\overline{(\partial_2^* \varphi)(x)} w_1) = \overline{(\partial_2^* \varphi)(x)} \partial_0 w_1.$$

Thus

$$F^2(C(X, \partial X)) \longrightarrow I, \quad [\varphi] \longmapsto \overline{(\partial_2^* \varphi)(x)} \tag{3}$$

is a homotopy equivalence of Λ -modules.

Now attach cells of dimension three and larger to $(X, \partial X)$ in order to obtain an Eilenberg–Mac Lane pair $(K, \partial X)$ of type $K(\{\kappa_i : \Pi_1(\partial X_i, *) \rightarrow \Pi_1(X, *)\}_{i \in J}; 1)$. Then the classifying map $\iota : (X, \partial X) \rightarrow (K, \partial X)$ is cellular and we may identify the cellular chain complexes of the pair $(X, \partial X)$ with their image under the chain map induced by ι . In particular, we obtain $C_i(K) = C_i(X)$, $C_i(K, \partial X) = C_i(X, \partial X)$ for $i = 0, 1, 2$ and $[1 \otimes x] = [X, \partial X] = \iota_*([X, \partial X])$. Thus (3) yields

Lemma 5.6. *The Λ -morphism*

$$F^2(C(K, \partial X)) \longrightarrow I, [\varphi] \longmapsto \overline{(\partial_2^* \varphi)(x)}. \quad (4)$$

is a homotopy equivalence of Λ -modules.

Given a chain complex C of free left Λ -modules, Turaev constructed a group homomorphism

$$\nu_{C,r} : H_{r+1}(\mathbb{Z}^\omega \otimes_\Lambda C) \longrightarrow [F^r, I]$$

such that $\nu_{C(X, \partial X), 2}([1 \otimes x]) = \nu_{C(K, \partial X), 2}(\iota_*([X, \partial X]))$ is the homotopy class of the homotopy equivalence (4).

We revise Turaev’s construction and some of its properties. Given a chain complex C of free left Λ -modules, note that \bar{I} is the kernel of the Λ -morphism $\Lambda \rightarrow \mathbb{Z}^\omega \otimes_\Lambda \Lambda$, $\lambda \mapsto 1 \otimes \lambda$, so that $\bar{I} \hookrightarrow \Lambda \twoheadrightarrow \mathbb{Z}^\omega \otimes_\Lambda \Lambda$ is short exact. As C is free, the sequence $\bar{I}C \hookrightarrow C \twoheadrightarrow \mathbb{Z}^\omega \otimes_\Lambda C$ of chain complexes is also short exact, yielding the connecting homomorphism

$$\delta : H_{r+1}(\mathbb{Z}^\omega \otimes_\Lambda C) \longrightarrow H_r(\bar{I}C). \quad (5)$$

Identifying $c \in C_r$ with $1 \otimes c \in \Lambda^\omega \otimes_\Lambda C$, the natural equivalence η of Lemma 3.1 yields the Λ -morphism

$$\eta : C_r \longrightarrow (C_r^*)^*, c \longmapsto \eta(c)$$

given by

$$\eta(c)(\varphi) = \overline{\varphi(c)}.$$

For a cycle $c \in C_r$ we obtain

$$\eta(c)(\partial_{r-1}^* \varphi) = \overline{(\partial_{r-1}^* \varphi)(c)} = \varphi(\partial_{r-1} c) = 0$$

for every $\varphi \in C_{r-1}^*$. Thus $\eta(c)$ factors through the cokernel $F^r(C)$ of ∂_{r-1}^* , that is, there is a Λ -morphism $\eta(c)$ such that

$$\begin{array}{ccc} C_r^* & \xrightarrow{\eta(c)} & \Lambda \\ \downarrow & \nearrow \eta(c) & \\ F^r(C) & & \end{array}$$

commutes. If $c = \bar{\lambda}.d \in \bar{I}C$ is a cycle with $\lambda \in I$ and $d \in C_r$, then

$$\begin{aligned} \text{aug}(\eta(c)([\varphi])) &= \text{aug}(\overline{\varphi(c)}) = \text{aug}(\overline{\varphi(\bar{\lambda}.d)}) \\ &= \text{aug}(\overline{\varphi(d)}.\lambda) = \overline{\varphi(d)}\text{aug}(\lambda) \\ &= 0 \end{aligned}$$

for every $[\varphi] \in F^r(C)$. Hence the image of $\eta(\tilde{c})$ is contained in I and there is a well-defined Λ -morphism

$$\eta(\hat{c}) : F^r(C) \longrightarrow I, [\varphi] \longmapsto \overline{\varphi(\hat{c})}.$$

Given a boundary $c = \partial_r(\bar{\mu}.e) \in \bar{I}C$ with $\mu \in I$ and $e \in C_{r+1}$, the Λ -morphism $\eta(\hat{c})$ is null-homotopic since it factors through Λ , namely as

$$F^r(C) \longrightarrow \Lambda \longrightarrow I$$

$$\lambda \longmapsto \bar{\mu}.\lambda.$$

Thus the homotopy class of $\eta(\hat{c})$ depends on the homology class of the cycle $c \in \bar{I}C$ only and the homomorphism

$$H(\bar{I}C) \longrightarrow [F^r(C), I], [c] \longmapsto [\eta(\hat{c})] \quad (6)$$

is well-defined. Composing (6) with the connecting homomorphism (5), Turaev obtains the homomorphism

$$\nu_{C,r} : H_{r+1}(\mathbb{Z}^\omega \otimes_\Lambda C) \longrightarrow [F^r(C), I] \quad (7)$$

given by

$$\nu_{C,r}([1 \otimes c]) := [\eta(\hat{c})].$$

Lemma 5.7. *Given $[1 \otimes x] \in H_{r+1}(\mathbb{Z}^\omega \otimes_\Lambda C)$ and $[\varphi] \in F^r(C)$, the homotopy class $\nu_{C,r}([1 \otimes x])$ is represented by the Λ -morphism*

$$F^r(C) \longrightarrow I, [\varphi] \longmapsto \overline{\varphi(\partial_r(x))}.$$

Proof. Take $[1 \otimes x] \in H_{r+1}(\mathbb{Z}^\omega \otimes_\Lambda C)$ and $[\varphi] \in F^r(C)$. Then $\delta([1 \otimes x]) = \partial_r x$ and $\nu_{C,r}([1 \otimes x])$ is represented by

$$\eta(\hat{\partial}_r x) : F^r(C) \longrightarrow I, [\varphi] \longmapsto \overline{\varphi(\partial_r(x))}.$$

□

Lemma 5.8. *Let $f : C \rightarrow D$ be a chain map of chain complexes of Λ -modules. Then the diagram*

$$\begin{array}{ccc} F^r(D) & \xrightarrow{\nu_{D,r}(f_*\mu)} & I \\ F^r(f) \downarrow & & \parallel \\ F^r(C) & \xrightarrow{\nu_{C,r}(\mu)} & I \end{array}$$

commutes for every $\mu \in H_{r+1}(\mathbb{Z}^\omega \otimes_\Lambda C)$.

Proof. Take $\mu \in H_{r+1}(\mathbb{Z}^\omega \otimes_\Lambda C)$ and $x \in C_r$ with $\mu = [1 \otimes x]$. Then, for $[\varphi] \in F^r(C)$,

$$\begin{aligned} \nu_{C,r}(\mu)(F^r(f)([\varphi])) &= \nu_{C,r}(\mu)([\varphi \circ f]) = \overline{\varphi \circ f(\partial_r(x))} \\ &= \overline{\varphi(\partial_r(f(x)))} = \nu_{D,r}(f_*\mu)([\varphi]). \end{aligned}$$

□

Lemma 5.9. *Suppose that C is a chain complex of free left Λ -modules such that C_r is finitely generated and $H_r(C) = H_{r+1}(C) = 0$. Then $\nu_{C,r}$ is an isomorphism.*

Proof. Cf. [12], Lemma 2.5. □

We are now able to provide a necessary condition for a Π_1 -system $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$, $\omega \in H^1(G; \mathbb{Z}/2\mathbb{Z})$ and $\mu \in H_3(G, \{G_i\}_{i \in J}; \mathbb{Z}^\omega)$ to be realized by a PD^3 -pair $(X, \partial X)$.

Theorem 5.10. *Given a Π_1 -system $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$, $\omega \in H^1(G; \mathbb{Z}/2\mathbb{Z})$ and $\mu \in H_3(G, \{G_i\}_{i \in J}; \mathbb{Z}^\omega)$, let $(K, \partial K)$ be an Eilenberg–Mac Lane pair of type $K(\{\kappa_i\}_{i \in J}; 1)$. If $(\{\kappa_i\}_{i \in J}, \omega, \mu)$ is the fundamental triple of a PD^3 -pair, then $\nu_{C(K, \partial K), 2}(\mu)$ is a homotopy equivalence of Λ -modules.*

Proof. Take a Π_1 -system $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$, $\omega \in H^1(G; \mathbb{Z}/2\mathbb{Z})$, $\mu \in H_3(G, \{G_i\}_{i \in J}; \mathbb{Z}^\omega)$ and suppose $(\{\kappa_i\}_{i \in J}, \omega, \mu)$ is the fundamental triple of the PD^3 -pair $(X, \partial X)$. Attaching cells of dimension three and larger to X we obtain an Eilenberg–Mac Lane pair $(K, \partial X)$ of type $K(\{\kappa_i\}_{i \in J}; 1)$. Take $1 \otimes x \in \mathbb{Z}^\omega \otimes_\Lambda C_3(X, \partial X) \subseteq \mathbb{Z}^\omega \otimes_\Lambda C_3(K, \partial X)$ with $[1 \otimes x] = \mu$. Then

$$F^2(C(K, \partial X)) \longrightarrow I, [\varphi] \longmapsto \overline{\varphi(\partial_2(x))}$$

is a homotopy equivalence of Λ -modules by Lemma 5.6 and represents $\nu_{C(K, \partial X), 2}(\mu)$ by Lemma 5.7.

It remains to show that $\nu_{C(L, \partial L), 2}(\mu)$ is a homotopy equivalence of Λ -modules for any Eilenberg–Mac Lane pair $(L, \partial L)$. But given any Eilenberg–Mac Lane pair $(L, \partial L)$ of type $K(\{\kappa_i\}_{i \in J}; 1)$, there is a homotopy equivalence $f : (K, \partial X) \rightarrow (L, \partial L)$ of pairs of CW complexes inducing a chain homotopy equivalence $g : C(K, \partial X) \rightarrow C(L, \partial L)$. Hence $g^* : C^*(K, \partial X) \rightarrow C^*(L, \partial L)$ is also a chain homotopy equivalence and Corollary 5.3 implies that $F^2(g) = G_{-2}(g^*)$ is a homotopy equivalence of Λ -modules. By Lemma 5.8, the diagram

$$\begin{array}{ccc} F^2(C(L, \partial L)) & \xrightarrow{\nu_{C(L, \partial L), 2}(f_*\mu)} & I \\ F^2(g) \downarrow & & \parallel \\ F^2(C(K, \partial K)) & \xrightarrow{\nu_{C(K, \partial K), 2}(\mu)} & I \end{array}$$

commutes and hence $\nu_{C(L, \partial L), 2}(f_*\mu)$ is a homotopy equivalence of Λ -modules if and only if $\nu_{C(K, \partial K), 2}(\mu)$ is one. □

In the final section of this paper we show that the necessary condition of Theorem 5.10 is sufficient in the Π_1 -injective case.

6. THE Π_1 -INJECTIVE CASE

For $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$ to be the Π_1 -system of a PD^3 -pair $(X, \partial X)$, the groups G_i must be surface groups for all $i \in J$ as the components of ∂X are PD^2 -complexes by definition and thus homotopy equivalent to closed surfaces. Furthermore, G must be finitely presentable, as X must, by definition, be dominated by a finite CW complex. Now we restrict attention

to Π_1 -systems $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$ which are Π_1 -*injective*, that is, κ_i is injective for every $i \in J$.

So let $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$ be a Π_1 -system such that G is finitely presentable, G_i is a surface group and κ_i is injective for every $i \in J$. Then there is an Eilenberg–Mac Lane pair $(K, \partial X)$ of type $K(\{\kappa_i\}_{i \in J}; 1)$ and by the mapping cylinder construction we may assume that the components ∂X_i of ∂X are all surfaces. Since G is finitely presentable, we may also assume that K has finite 2–skeleton $K^{[2]}$.

Take $\omega \in H^1(K; \mathbb{Z}/2\mathbb{Z})$ and $\mu \in H_3(K, \partial X; \mathbb{Z}^\omega)$ such that $\nu_{C(K, \partial X), 2}(\mu)$ is a class of homotopy equivalences and $\delta_*\mu = [\partial X]$ where $[\partial X]$ is the fundamental class of the PD^2 -complex ∂X and δ_* is the connecting homomorphism of $C(\partial X) \twoheadrightarrow C(K) \twoheadrightarrow C(K, \partial X)$.

Following Turaev’s construction in the absolute case, we now construct a PD^3 -pair realizing $(\{\kappa_i\}_{i \in J}, \omega, \mu)$.

Since we have assumed that K has finite 2–skeleton $K^{[2]}$, the Λ -modules $C_2(K, \partial X)$ and thus $F^2(C(K, \partial X))$ are finitely generated. Let $h : F^2(C(K, \partial X)) \rightarrow I$ be a Λ -morphism representing $\nu_{C(K, \partial X), 2}(\mu)$. Then h is a homotopy equivalence of Λ -modules and thus factors as

$$F^2(C(K, \partial X)) \twoheadrightarrow F^2(C(K, \partial X)) \oplus \Lambda^{n_i} \twoheadrightarrow I \oplus P \twoheadrightarrow I$$

where P is finitely generated and projective, by Theorem 4.4.

Let $B = (e^0 \vee e^2) \cup e^3$ be a three dimensional ball. If we replace K by $K \vee (\bigvee_{i=1}^m B)$, then $K^{[2]}$ is replaced by $K^{[2]} \vee (\bigvee_{i=1}^m e^2)$ and $F^2(C(K, \partial X))$ is replaced by $F^2(C(K, \partial X)) \oplus \Lambda^m$. Thus we may assume without loss of generality that h factors as

$$F^2(C(K, \partial X)) \xrightarrow{j} I \oplus P \twoheadrightarrow I \quad (8)$$

where P is finitely generated and projective.

First we consider the case where P is free, that is, $P \cong \Lambda^n$ for some $n \in \mathbb{N}$. Let $\pi : C^2(K, \partial X) \twoheadrightarrow F^2(C(K, \partial X))$ and $\iota : I \hookrightarrow \Lambda$ be the natural projection and inclusion respectively and use the natural equivalence η to identify $(A^*)^*$ with A for a left Λ -module A . Consider the Λ -morphism

$$\varphi : C^2(K, \partial X) \xrightarrow{\pi} F^2(C(K, \partial X)) \xrightarrow{j} I \oplus P \xrightarrow{\begin{bmatrix} \iota & 0 \\ 0 & 1 \end{bmatrix}} \Lambda \oplus P. \quad (9)$$

It follows from the definition of φ that $\varphi \circ \partial_1^* = 0$. Hence $(\partial_1 \circ \varphi^*)^* = \varphi \circ \partial_1^* = 0$ so that $\text{im} \varphi^* \subseteq \ker \partial_1$.

Let $p : \tilde{K} \rightarrow K$ be the universal covering. Since κ_i is injective for every $i \in J$, the components of $p^{-1}(\partial X)$ are universal covering spaces of Eilenberg–Mac Lane complexes, so that $H_2(p^{-1}(\partial X)) = H_1(p^{-1}(\partial X)) = 0$. Thus the long exact homology sequence of the pair $(p^{-1}(K^{[2]}), p^{-1}(\partial X))$ yields

$$H_2(p^{-1}(K^{[2]})) \cong H_2(p^{-1}(K^{[2]}), p^{-1}(\partial X)).$$

The Hurewicz Isomorphism Theorem implies $\Pi_2(p^{-1}(K^{[2]})) \cong H_2(p^{-1}(K^{[2]}))$ and thus

$$\begin{aligned} \operatorname{im} \varphi^* \subseteq \ker \partial_1 &= H_2(p^{-1}(K^{[2]}), p^{-1}(\partial X)) \\ &\cong H_2(p^{-1}(K^{[2]})) \\ &\cong \Pi_2(p^{-1}(K^{[2]})). \end{aligned}$$

We may thus attach $(n + 1)$ three-dimensional cells to $K^{[2]}$ to obtain a pair $(X, \partial X)$ of CW-complexes whose relative cellular chain complex is given by

$$D : 0 \longrightarrow (\Lambda \oplus P)^* \xrightarrow{\varphi^*} C_2(K, \partial X) \longrightarrow C_1(K, \partial X) \longrightarrow C_0(K, \partial X).$$

As $\Pi_2(K) = 0$, the inclusion $(K^{[2]}, \partial X) \rightarrow (K, \partial X)$ extends to a map

$$f : (X, \partial X) \longrightarrow (K, \partial X) \quad (10)$$

which induces an isomorphism of Π_1 -systems. Thus we may view ω as an element of $H^1(X; \mathbb{Z}/2\mathbb{Z})$.

Proposition 6.1. *$(X, \partial X)$ is a PD³-pair realizing $(\{\kappa_i\}_{i \in J}, \omega, \mu)$.*

Proof. We must show that

- (i) $H_3(X, \partial X; \mathbb{Z}^\omega) \cong \mathbb{Z}$;
 - (ii) $f_*([X, \partial X]) = \mu$ where $[X, \partial X]$ generates $H_3(X, \partial X; \mathbb{Z}^\omega)$;
 - (iii) $\delta_*[X, \partial X] = [\partial X]$ where $[\partial X]$ is the fundamental class of the PD²-complex ∂X and δ_* is the connecting homomorphism of the short exact sequence $C(\partial X) \rightarrow C(X) \rightarrow C(X, \partial X)$;
 - (iv) $\cap[X, \partial X] : H^r(X; {}^\omega\Lambda^\omega) \rightarrow H_{r-3}(X, \partial X; \Lambda)$ is an isomorphism for every $r \in \mathbb{Z}$.
- (i) As $C(X, \partial X)$ is a chain complex of free Λ -modules, $\mathbb{Z}^\omega \otimes_\Lambda C(X, \partial X) \cong \operatorname{Hom}_\Lambda(C^*(X, \partial X), \mathbb{Z})$ by Observation 3.2 and $C^*(X, \partial X) \cong C(X, \partial X)$. Thus

$$\begin{aligned} H_3(X, \partial X; \mathbb{Z}^\omega) &= H_3(\mathbb{Z}^\omega \otimes_\Lambda C(X, \partial X)) \\ &\cong H^3({}^\omega\operatorname{Hom}_\Lambda(C^*(X, \partial X), \mathbb{Z})) \\ &\cong \ker((\varphi^*)^*)^\dagger \\ &\cong \ker \varphi^\dagger \end{aligned}$$

where φ^\dagger arises by applying $\operatorname{Hom}_\Lambda(-, \mathbb{Z})$. Recall that $\varphi = \begin{bmatrix} \iota & 0 \\ 0 & 1 \end{bmatrix} \circ j \circ \pi$. As π and j are surjective, π^\dagger and j^\dagger are injective. Hence $\ker \varphi^\dagger = \ker \begin{bmatrix} \iota^\dagger & 0 \\ 0 & 1 \end{bmatrix} = \ker \iota^\dagger$. But I is generated by elements $1 - g, g \in G$, and $\psi \circ \iota(1 - g) = \psi(1) - g\psi(1) = 0$ for every $\psi \in C^2(K, \partial X)$, so that $\ker \iota^\dagger = \operatorname{Hom}_\Lambda(\Lambda, \mathbb{Z}) \cong \mathbb{Z}$. Thus

$$H_3(X, \partial X; \mathbb{Z}^\omega) \cong \ker \varphi^\dagger \cong \ker \iota^\dagger \cong \mathbb{Z}.$$

(ii) $H_3(X, \partial X; \mathbb{Z}^\omega) \cong \mathbb{Z}$ is generated by $[X, \partial X] = [1 \otimes x]$ where $x = (1, 0) \in \Lambda^* \oplus P^* = (\Lambda \oplus P)^* = C_3(X, \partial X)$ is the projection onto the first factor. By Lemma 5.7, $\nu_{C(X, \partial X), 2}([1 \otimes x])$ is represented by

$$F^2(C(X, \partial X)) \longrightarrow I, [\psi] \longmapsto \overline{\psi(\partial_2(x))}.$$

But, again identifying free Λ -modules and Λ -morphisms between them with their double dual, we obtain, for $\psi \in C^2(X, \partial X) = C^2(K, \partial X)$,

$$\begin{aligned} \overline{\psi(\partial_2(x))} &= \overline{\psi(\varphi^*(x))} = \overline{\psi \circ \varphi^*(x)} = \overline{(\varphi^*)^*(\psi)(x)} \\ &= x(\varphi(\psi)) = x \circ \begin{bmatrix} \iota & 0 \\ 0 & 1 \end{bmatrix} \circ j \circ \pi(\psi) = h([\psi]). \end{aligned}$$

Thus $\nu_{C(X, \partial X), 2}([X, \partial X])$ is the homotopy class of h , so that $\nu_{C(K, \partial X), 2}(\mu) = \nu_{C(X, \partial X), 2}([X, \partial X])$. Lemma 5.8 implies $\nu_{C(K, \partial X), 2}(\mu) = \nu_{C(X, \partial X), 2}([X, \partial X]) = \nu_{C(K, \partial X), 2}(f_*[X, \partial X])$. As $\nu_{C(K, \partial X), 2}$ is injective by Lemma 5.9, we may conclude $\mu = f_*[X, \partial X]$.

(iii) The map $f : (X, \partial X) \rightarrow (K, \partial X)$ gives rise to the commutative diagram

$$\begin{array}{ccccccc} \cdots & \longrightarrow & H_3(C(X, \partial X); \mathbb{Z}^\omega) & \xrightarrow{\delta_*} & H_2(C(\partial X); \mathbb{Z}^\omega) & \longrightarrow & \cdots \\ & & f_* \downarrow & & f_* = \text{id} \downarrow & & \\ \cdots & \longrightarrow & H_3(C(K, \partial X); \mathbb{Z}^\omega) & \xrightarrow{\delta_*} & H_2(C(\partial X); \mathbb{Z}^\omega) & \longrightarrow & \cdots \end{array}$$

Hence $\delta_*([X, \partial X]) = \delta_*(f_*([X, \partial X])) = \delta_*(\mu) = [\partial X]$.

(iv) First observe that the definition of $(X, \partial X)$ implies

$$H^2(X, \partial X; {}^\omega\Lambda^\omega) = H_{-2}({}^\omega\text{Hom}_\Lambda(C(X, \partial X); {}^\omega\Lambda^\omega)) = 0.$$

Since $H_1(X, \Lambda) = H_1(C(X)) = 0$ as well, the homomorphism

$$\cap[X, \partial X] : H^2(X, \partial X; {}^\omega\Lambda^\omega) \rightarrow H_1(X; \Lambda)$$

is an isomorphism.

As $\Lambda \otimes P$ is free, we may use the natural transformation η to identify ${}^\omega\text{Hom}_\Lambda((\Lambda \oplus P)^*, {}^\omega\Lambda^\omega)$ with $\Lambda \oplus P$ and $(\varphi^*)^*$ with φ . Then

$$\begin{aligned} H^3(X, \partial X; {}^\omega\Lambda^\omega) &= H_{-3}({}^\omega\text{Hom}_\Lambda(C(X, \partial X), {}^\omega\Lambda^\omega)) \\ &= {}^\omega\text{Hom}_\Lambda((\Lambda \oplus P)^*, {}^\omega\Lambda^\omega) / \text{im}(\varphi^*)^* \\ &\cong (\Lambda \oplus P) / \text{im}\varphi \\ &\cong \Lambda / I \cong \mathbb{Z}. \end{aligned}$$

Clearly, $H^3(X, \partial X; {}^\omega\Lambda^\omega)$ is generated by $\psi = (1, 0) \in (\Lambda^*)^* \oplus (P^*)^* = C_3^*(X, \partial X) = C_3^*(X)$. By Lemma 5.5,

$$[\psi] \cap [X, \partial X] = [\psi] \cap [1 \otimes x] = \overline{\psi(x)} = 1,$$

that is, $\cap[X, \partial X]$ maps ψ to a generator of $H_0(X; \Lambda)$. Hence

$$\cap[X, \partial X] : H^3(X, \partial X; {}^\omega\Lambda^\omega) \rightarrow H_0(X; \Lambda)$$

is an isomorphism. Since ∂X is a PD^2 -complex,

$$\cap[\partial X] : H^r(\partial X; {}^\omega\Lambda^\omega) \longrightarrow H_{2-r}(\partial X; \Lambda)$$

is an isomorphism for every $r \in \mathbb{Z}$. Thus the Cap Product Ladder (cf. 3.6) of $(X, \partial X)$ with $y = [X, \partial X]$ and the Five Lemma imply that

$$\cap[X, \partial X] : H^r(X; {}^\omega\Lambda^\omega) \rightarrow H_{r-3}(X, \partial X; \Lambda)$$

As $(\Lambda \oplus P)^* \oplus \Lambda^\infty \cong \Lambda^* \oplus P^* \oplus (Q \oplus P^* \oplus Q \oplus \dots) \cong \Lambda^\infty$ is free, the proof that $(X, \partial X)$ realizes $(\{\kappa_i\}_{i \in J}, \omega, \mu)$ is analogous to the proof of Proposition 6.1. It only remains to verify that X is in fact dominated by a finite cell-complex.

We follow Turaev's argument for the absolute case which uses Wall's results on finiteness conditions for CW -complexes. Since X is a finite dimensional cell-complex (of dimension three), Theorem F together with Theorems A and E of [14] imply that in order to show that X is finitely dominated, it is sufficient to show that X is homotopy equivalent to a CW -complex with finite skeleta.

Consider the cellular chain complex of X ,

$$\begin{aligned} C(X) : 0 &\longrightarrow (\Lambda \otimes P)^* \oplus \Lambda^\infty \longrightarrow C_2(K, \partial X) \oplus \Lambda^\infty \oplus C_2(\partial X) \\ &\longrightarrow C_1(K, \partial X) \oplus C_1(\partial X) \longrightarrow C_0(K, \partial X) \oplus C_0(\partial X), \end{aligned}$$

and note that it is chain homotopy equivalent to the chain complex

$$\begin{aligned} E : \dots &\longrightarrow \Lambda^n \xrightarrow{\text{pr}} \Lambda^n \xrightarrow{\text{pr}'} \Lambda^n \longrightarrow \Lambda^n \\ &\xrightarrow{q} (\Lambda \oplus P)^* \oplus Q \xrightarrow{\begin{bmatrix} \varphi^* & 0 \\ 0 & 0 \end{bmatrix}} C_2(K, \partial X) \oplus C_2(\partial X) \longrightarrow C_1(K, \partial X) \oplus C_1(\partial X) \\ &\longrightarrow C_0(K, \partial X) \oplus C_0(\partial X), \end{aligned}$$

where $\text{pr} : \Lambda^n = P^* \oplus Q \rightarrow Q$ and $\text{pr}' : \Lambda^n = P^* \oplus Q \rightarrow P^*$ are the canonical projections and $q(x) = (0, 0, \text{pr}(x)) \in (\Lambda \oplus P)^* \oplus Q$ for $x \in \Lambda^n$. By Theorem 2 of [15], there is a CW -complex Y with cellular chain complex E which is homotopy equivalent to X . Clearly, Y has finite skeleta and we may conclude that X is finitely dominated.

Theorem 6.2. *Let $\{\kappa_i : G_i \rightarrow G\}_{i \in J}$ be a Π_1 -system such that G is finitely presentable, G_i is a surface group and κ_i is injective for every $i \in J$. Let $(K, \partial X)$ be an Eilenberg-Mac Lane pair of type $K(\{\kappa_i\}_{i \in J}; 1)$ such that the components ∂X_i of ∂X are all surfaces. Take $\omega \in H^1(K; \mathbb{Z}/2\mathbb{Z})$ and $\mu \in H_3(K, \partial X; \mathbb{Z}^\omega)$ such that $\delta_* \mu = [\partial X]$ where $[\partial X]$ is the fundamental class of the PD^2 -complex ∂X and δ_* is the connecting homomorphism of $C(\partial X) \rightarrow C(X) \rightarrow C(X, \partial X)$. Then $(\{\kappa_i\}_{i \in J}, \omega, \mu)$ is realized by a PD^3 -pair $(X, \partial X)$ if and only if $\nu_{C(K, \partial X), 2}(\mu)$ is a class of homotopy equivalences.*

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