# AN INFRASOLVMANIFOLD WHICH DOES NOT BOUND

### J.A.HILLMAN

ABSTRACT. Orientable 4-dimensional infrasolvmanifolds bound orientably. We show that every non-orientable 4-dimensional infrasolvmanifold M with  $\beta = \beta_1(M; \mathbb{Q}) > 0$  or with geometry  $\mathbb{N}il^4$  or  $\mathbb{S}ol^3 \times \mathbb{E}^1$  bounds. However there are  $\mathbb{S}ol_1^4$ -manifolds which are not boundaries. The question remains open for  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifolds. Any possible counter-examples have severely constrained fundamental groups. We also find simple cobounding 5-manifolds for all but five of the 74 flat 4-manifolds, and investigate which flat 4-manifolds embed in  $\mathbb{R}^n$ , for n = 5, 6 or 7.

### 1. INTRODUCTION

Flat *n*-manifolds are boundaries [8]. This result has been extended to restricted classes of infranilmanifolds [7, 12]. We shall show that it does not extend to all infrasolvmanifolds. Since every 3-manifold bounds, and every orientable 3-manifold bounds orientably, dimension 4 is the first case of interest. Here there is a geometric simplification. Every 4-dimensional infrasolvmanifold is either a mapping torus or the union of two twisted *I*-bundles. Simple algebraic arguments show that every such mapping torus bounds, while a geometric construction applies to many of the others. We find severe constraints on possible counterexamples, which lead to a  $Sol_1^4$ -manifold which is not a boundary. In the latter part of the paper we seek explicit constructions of 5-manifolds with boundary a given flat 4-manifold, and we consider also the related question of which flat 4-manifolds embed in low codimensions.

Every infrasolvmanifold is finitely covered by a quotient  $\Gamma \setminus S$ , where  $\Gamma$  is a discrete cocompact subgroup of a 1-connected solvable Lie group S [1]. Such quotients are parallelizable, and so the rational Pontrjagin classes of infrasolvmanifolds are 0. In particular, orientable 4dimensional infrasolvmanifolds have signature  $\sigma = 0$ . Therefore they

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bound orientably, and those with  $w_2 = 0$  bound as *Spin*-manifolds, since  $\Omega_4$  and  $\Omega_4^{Spin}$  are detected by  $\sigma$ .

Non-orientable bordism is detected by Stiefel-Whitney numbers. In our context, the only Stiefel-Whitney class of interest is  $w_1^4$ . It follows easily that every 4-dimensional infrasolvmanifold M with  $\beta = \beta_1(M; \mathbb{Q}) > 0$  bounds non-orientably. (This class includes all  $Sol_{m,n}^4$ manifolds with  $m \neq n$  and all  $Sol_0^4$ -manifolds.) If  $\beta = 0$  then  $\pi = \pi_1(M) \cong A *_C B$ , where A, B and C are fundamental groups of 3dimensional infranilmanifolds and [A : C] = [B : C] = 2. In §4–§9 we use a construction based on mapping cylinders of double covers to show that many such manifolds bound. In particular, all  $\mathbb{N}il^4$ - and  $Sol^3 \times \mathbb{E}^1$ -manifolds bound. We do not yet have a complete result for the remaining two geometries.

In §10 we show that if  $\beta \geq 2$  (and in many cases with  $\beta = 1$ ) then M is also the total space of an  $S^1$ -bundle over a closed 3-manifold, and so bounds the associated disc bundle. If the  $S^1$ -bundle space M is orientable then so is the disc bundle space. In §11 we show that the mapping cylinder construction applies to most of the 24 flat 4-manifolds which are not  $S^1$ -bundle spaces. Closed hypersurfaces in euclidean spaces bound. In §12 we show that, with one possible exception, all flat 4-manifolds embed in  $\mathbb{R}^7$ , while between 24 and 56 embed in  $\mathbb{R}^6$  and between 11 and 13 embed in  $\mathbb{R}^5$ .

### 2. Solvable lie geometries of dimension 4

If G is a group let  $G', \zeta G$  and  $\sqrt{G}$  denote its commutator subgroup, centre and Hirsch-Plotkin radical, respectively. Let  $G^{ab} = G/G'$  be the abelianization, and let  $I(G) = \{g \in G \mid \exists n > 0, g^n \in G'\}$  be the isolator subgroup. This is clearly a characteristic subgroup, since G/I(G) is the maximal torsion-free abelian quotient of G. If S is a subset of G then  $\langle S \rangle$  shall denote the subgroup of G generated by S, and  $\langle \langle S \rangle \rangle$  shall denote the normal closure of  $\langle S \rangle$ . We use the notation of Chapter 8 of [9] for automorphisms of flat 3-manifold groups.

Every 4-dimensional infrasolvmanifold is geometric. There are six relevant families of geometries:  $\mathbb{E}^4$ ,  $\mathbb{N}il^4$ ,  $\mathbb{N}il^3 \times \mathbb{E}^1$ ,  $\mathbb{S}ol_0^4$ ,  $\mathbb{S}ol_1^4$  and  $\mathbb{S}ol_{m,n}^4$ . (The final family includes the product geometry  $\mathbb{S}ol^3 \times \mathbb{E}^1 = \mathbb{S}ol_{m,m}^4$ , for all m > 0, as a somewhat exceptional case.)

Let G be a 1-connected solvable Lie group of dimension 4 with a left invariant metric, corresponding to a geometry  $\mathbb{G}$  of solvable Lie type. Let  $Isom(\mathbb{G})$  be the group of isometries, and let  $K_G < Isom(\mathbb{G})$  be the stabilizer of the identity in G. Let  $\pi < Isom(\mathbb{G})$  be a discrete subgroup which acts freely and cocompactly on G, and let  $M = \pi \backslash G$ . If  $\beta =$   $\beta_1(M; \mathbb{Q}) \geq 1$  then M is the mapping torus of a self-diffeomorphism of a  $\mathbb{E}^3$ -,  $\mathbb{N}il^3$ - or  $\mathbb{S}ol^3$ -manifold. If  $\beta = 1$  the mapping torus structure is essentially unique. If  $\beta \geq 2$  then M also fibres over the torus T, with fibre T or the Klein bottle Kb.

All orientable  $Sol_0^4$ -manifolds are coset spaces  $\pi \setminus \widetilde{G}$  with  $\pi$  a discrete subgroup of a 1-connected solvable Lie group  $\widetilde{G}$ , which in general depends on  $\pi$ . (See page 138 of [9].) In all other cases, the translation subgroup  $G \cap \pi$  is a lattice in G, and is a characteristic subgroup of  $\pi$ [4]. If G is nilpotent then  $G \cap \pi = \sqrt{\pi}$ ; in general,  $\sqrt{\pi} \leq G \cap \pi$ , and the holonomy  $\pi/G \cap \pi$  is finite.

If  $g: X \to X$  is a self-homeomorphism let  $M(g) = X \times [0, 1]/(z, 0) \sim (g(z), 1)$  be the mapping torus of g, and let [x, t] be the image of (x, t) in M(g). If  $f: Y \to Z$  let MCyl(f) be the mapping cylinder of f.

### 3. Stiefel-Whitney classes and the cases with $\beta \geq 1$

We give first some simple observations on the Stiefel-Whitney classes of 4-manifolds, which we shall use to show that 4-dimensional infrasolvmanifolds with  $\beta \geq 1$  are boundaries.

**Lemma 3.1.** Let M be a closed 4-manifold and  $w_i = w_i(M)$ . Then  $w_4 = w_2^2 + w_1^4$  and  $w_1w_3 = 0$ .

*Proof.* The Wu formulae give  $v_1 = w_1$ ,  $v_2 = w_2 + w^2$ ,  $w_3 = Sq^1w_2$  and  $w_4 = w_2^2 + w^4$ , since  $v_3 = v_4 = 0$ . Hence  $Sq^1z = w_1z$ , for  $z \in H^3(M; \mathbb{F}_2)$ . If  $x \in H^1(M; \mathbb{F}_2)$  then  $Sq^1(xw_2) = x^2w_2 + xSq^1w_2$ . Therefore

$$xw_3 = (w_1x + x^2)w_2 = (w_1x + x^2)^2 + (w_1x + x^2)w_1^2 = x^4 + w_1x^3.$$

In particular,  $w_1w_3 = w^4 + w^4 = 0$ .

$$\Box$$

If M is a 4-dimensional infrasolvmanifold then  $w_4(M) = 0$ , since  $w_4(M) \cap [M]$  is the reduction of  $\chi(M) = 0 \mod (2)$ . Therefore  $w_1^4 = w_1^2 w_2 = w_2^2$  is the only Stiefel-Whitney class of interest.

**Lemma 3.2.** Let M be a closed n-manifold and  $x \in H^1(M; \mathbb{F}_2)$ . If n > 2 and  $x^{n-1} \neq 0$  then  $x^n \neq 0$ .

*Proof.* This follows easily from the non-degeneracy of Poincaré duality, since  $x^2 \neq 0$  and  $H^1(M; \mathbb{F}_2)$  is generated by x and  $\text{Ker}(x \cup -)$ .  $\Box$ 

**Lemma 3.3.** If N is a non-orientable 3-manifold then  $\beta_1(N; \mathbb{Q}) > 0$ .

*Proof.* This is clear, since  $\chi(N) = 0$  and  $H_3(N; \mathbb{Q}) = 0$ .

Similarly, if M is an orientable 4-manifold with  $\chi(M) = 0$  then  $\beta_1(M; \mathbb{Q}) > 0$ .

**Lemma 3.4.** If a manifold M fibres over an r-manifold, with orientable fibre, then  $w_1(M)^{r+1} = 0$ .

*Proof.* This is clear, since  $w_1(M)$  is induced from a class on the base of the fibration.

**Theorem 3.5.** Let M be a 4-dimensional infrasolvmanifold with  $\beta = \beta_1(M; \mathbb{Q}) > 0$ . Then  $M = \partial W$  for some 5-manifold W.

Proof. The manifold M is the mapping torus of a (based) self diffeomorphism f of a closed 3-manifold N. Let  $\pi = \pi_1(M)$  and  $\nu = \pi_1(N)$ . Then  $\pi$  and  $\nu$  are virtually polycyclic, and  $\pi \cong \nu \rtimes_{\theta} \mathbb{Z}$ , where  $\theta = \pi_1(f)$ . If N is not orientable then  $I(\nu) < \nu$ , by Lemma 3.3, and so  $I(\nu) \cong \mathbb{Z}$ ,  $\mathbb{Z}^2$  or  $\pi_1(Kb) = \mathbb{Z} \rtimes_{-1} \mathbb{Z}$ . In the latter case  $I(I(\nu)) \cong \mathbb{Z}$ . In all cases, M fibres over a lower-dimensional manifold with orientable fibre, and so  $w_1^4 = 0$ , by Lemma 3.4. Therefore all the Stiefel-Whitney numbers of M are 0, and so  $M = \partial W$  for some 5-manifold W.

If M is a non-orientable  $Sol_1^4$ -manifold then  $\beta = 0$ . There are non-orientable manifolds with  $\beta > 0$  for each of the other geometries.

For all but three flat 4-manifolds, either  $w_1^2 = 0$  or  $w_2 = 0$  or  $w_1^2 = w_2$ [10]. Hence  $w_1^4 = 0$ , so all Stiefel-Whitney numbers are 0, and the manifold bounds. Two more are total spaces of  $S^1$ -bundles, and so bound the associated disc bundles. Thus only the example with group  $G_6 *_{\phi} B_4$  requires further argument. (See the next section.)

All  $Sol_{m,n}^4$ -manifolds (with  $m \neq n$ ) and all  $Sol_0^4$ -manifolds are mapping tori of self-diffeomorphisms of  $\mathbb{R}^3/\mathbb{Z}^3$ . (See Corollary 8.4.1 of [9].) Thus they all bound.

We may assume henceforth that  $\beta = 0$  (so the manifolds considered are not orientable) and the geometry is  $\mathbb{N}il^4$ ,  $\mathbb{N}il^3 \times \mathbb{E}^1$ ,  $\mathbb{S}ol_1^4$  or  $\mathbb{S}ol^3 \times \mathbb{E}^1$ . (However we shall also consider  $\mathbb{E}^4$  in some detail.)

We shall need the following more specialized lemmas later.

**Lemma 3.6.** Let  $w : \pi \to \mathbb{F}_2 = Z/2Z$  be a homomorphism. Then  $p : \pi \to G = \pi/\langle k^2 | w(k) = 0 \rangle$  induces an isomorphism  $H^1(G; \mathbb{F}_2) \cong$  $H^1(\pi; \mathbb{F}_2)$ . If  $p^*(uw) = 0$  in  $H^2(\pi; \mathbb{F}_2)$  then uw = 0 in  $H^2(G; \mathbb{F}_2)$ .

Proof. If  $p^*(uw) = 0$  in  $H^2(\pi; \mathbb{F}_2)$  there is a function  $f : \pi \to \mathbb{F}_2$ such that u(g)w(g') = f(g) + f(g') - f(gg'), for all  $g, g' \in \pi$ . Let  $K = \operatorname{Ker}(w)$  and  $H = \langle k^2 | w(k) = 0 \rangle$ . Then  $f|_K$  is a homomorphism, and so f(h) = 0, for all  $h \in H$ . Hence f(g) = f(gh), for all  $g \in \pi$  and  $h \in H$ . Thus f factors through a function  $\overline{f} : G \to \mathbb{F}_2$ , and so uw = 0in  $H^2(G; \mathbb{F}_2)$ .

The next lemma uses the non-degeneracy of Poincaré duality.

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**Lemma 3.7.** Let M be a non-orientable closed 4-manifold with  $\chi(M) = 0$ , and let  $w = w_1(M)$ . Suppose that  $H^1(M; \mathbb{F}_2) = \langle u, w \rangle$ , where  $u^2 = 0$ . Then

- (1) if  $w^2 \neq 0$  and  $uw \neq 0$ , then  $w^3 = 0$ .
- (2) if  $w^2 \neq 0$  and uw = 0 then  $w^4 \neq 0 \Leftrightarrow w_2(M) \neq 0$  or  $w^2$ .

*Proof.* (1). Since  $u.uw^2 = u^2w^2 = 0$  and  $w.uw^2 = Sq^1(uw^2) = u^2w^2 = 0$ , we have  $uw^2 = 0$ , by Poincaré duality. Now  $\beta_2(M, \mathbb{F}_2) = 2\beta_1(M, \mathbb{F}_2) - 2 = 2$ . Since  $uw.w^2 = uw.uw = 0$  but  $uw \neq 0$  and  $w^2 \neq 0$  we must have  $uw = w^2$ , by Poincaré duality. Hence  $w^3 = uw^2 = 0$ .

(2). Let  $v = w_2(M) + w^2 = v_2(M)$ . If  $w_2(M) \neq 0$  or  $w^2$  then  $H^2(M; \mathbb{F}_2) = \langle w^2, v \rangle$ . Since  $\chi(M) = 0$  we have  $v^2 = w_4 = 0$ . Therefore  $w^4 = (w^2)^2 = w^2 v \neq 0$ , by Poincaré duality. The converse is clear, since  $v_2^2 = w_4 = 0$ .

The second condition may be generalized as follows. Let  $H^i = H^i(M; \mathbb{F}_2)$  for i = 1 and 2. If  $w_1^2 \neq 0$ ,  $w_1 \cup - : H^1 \to H^2$  has rank 1,  $w_2$  is not in the image of  $H^1 \odot H^1$  and  $H^2 = \langle H^1 \odot H^1, w_2 \rangle$ , then  $w_1^4 \neq 0$ . However these conditions are harder to check if  $\beta_1(\pi; \mathbb{F}_2) > 2$ .

There are two (flat) 4-manifolds which fibre over T with fibre Kb, and thus bound, but for which none of the conditions  $w_1^2 = 0$ ,  $w_2 = 0$ or  $w_2 = w_1^2$  hold. Thus these conditions are not necessary for a 4manifold to bound. Nevertheless, manifolds which are not mapping tori and whose orientable double covers are not Spin 4-manifolds may provide non-bounding examples.

# 4. 4-manifolds with $\chi = \beta = 0$

If M is a closed 4-manifold with  $\chi(M) = 0$  and  $\beta = 0$  then Mis non-orientable, and there is an epimorphism  $f : \pi \to D_{\infty}$ , where  $D_{\infty} = Z/2Z * Z/2Z$  is the infinite dihedral group, by Lemma 3.14 of [9]. Hence  $\pi \cong A *_C B$ , where C = Ker(f) and [A : C] = [B : C] =2. Since  $D_{\infty} \cong \mathbb{Z} \rtimes Z/2Z$ , the group  $\pi$  has a subgroup of index 2 which is a semidirect product  $C \rtimes \mathbb{Z}$ . Since  $\beta = 0$  the Mayer-Vietoris sequence for the homology of  $\pi$  gives an epimorphism from  $H_1(C; \mathbb{Q})$ to  $H_1(A; \mathbb{Q}) \oplus H_1(B; \mathbb{Q})$ , and so  $\beta_1(A; \mathbb{Q}) + \beta_1(B; \mathbb{Q}) \leq \beta_1(C; \mathbb{Q})$ .

If, moreover, M is an infrasolvmanifold then A, B and C are the fundamental groups of 3-dimensional infrasolvmanifolds X, Y and Z, say, and  $M = MCyl(c) \cup_Z MCyl(d)$ , where  $c : Z \to X$  and  $d : Z \to Y$  are double covers. The next two lemmas are clear.

**Lemma 4.1.** If  $c : Z \to X$  is a double cover of an n-manifold X then MCyl(c) is an (n + 1)-manifold with boundary Z. If Z is connected

the mapping cylinder is orientable if and only if X is non-orientable and c is the orientable double cover.  $\Box$ 

In particular, if f is an orientation-preserving self-diffeomorphism of a 3-manifold N then  $M(f^2)$  bounds a non-orientable 5-manifold.

**Lemma 4.2.** Let X and Y be connected (n-1)-manifolds which have double covers  $c : Z \to X$  and  $d : Z \to Y$  with the same domain, and let  $M = MCyl(c) \cup_Z MCyl(d)$ . Suppose that X, Y and Z each bound n-manifolds  $\widehat{X}$ ,  $\widehat{Y}$  and  $\widehat{Z}$ , and that c and d extend to double covers  $\widehat{c} : \widehat{Z} \to \widehat{X}$  and  $\widehat{d} : \widehat{Z} \to \widehat{Y}$ . Let  $W = MCyl(\widehat{c}) \cup_{\widehat{Z}} MCyl(\widehat{d})$ . Then  $\partial W = M$ . If c and d are the orientable covers of non-orientable manifolds then W and M are orientable.

We shall show that this construction applies to many 4-dimensional infrasolvmanifolds.

Theorems 8.4–8.9 of [9] limit the possibilities for A, B and C. In particular, if C is virtually  $\mathbb{Z}^3$  but  $\pi$  is not virtually abelian then Chas holonomy of order  $\leq 2$ . There are four such, two orientable:  $\mathbb{Z}^3$ and  $G_2 = \mathbb{Z}^2 \rtimes_{-I} \mathbb{Z}$ , and two non-orientable:  $B_1 = \mathbb{Z} \times \pi_1(Kb)$  and  $B_2$ . Similarly, if C is a  $\mathbb{N}il^3$ -group but  $\pi$  is not virtually nilpotent then  $[C:\sqrt{C}] \leq 2$ . We shall not need to consider the possibility that C be a  $\mathbb{S}ol^3$ -group.

We note also the following simple result.

**Lemma 4.3.** If  $\pi \cong A *_C B$  where [A : C] = [B : C] = 2 and A, B and C are the groups of 3-dimensional infranilmanifolds then the holonomy of A maps injectively to the holonomy of  $\pi$ .

### 5. AMALGAMATION OVER FLAT 3-MANIFOLD GROUPS

If  $C = \mathbb{Z}^3$  then A and B have holonomy of order  $\leq 2$ . Since  $\beta_1(A; \mathbb{Q})$ and  $\beta_1(B; \mathbb{Q}) \geq 1$  and  $\beta_1(A; \mathbb{Q}) + \beta_1(B; \mathbb{Q}) \leq 3$ , we may assume that  $A \cong G_2$  and B is not  $\mathbb{Z}^3$ . Let f, g and h be the involutions of  $S^1 \times D^2$ given by  $f(u, v) = (\bar{u}, \bar{v}), g(u, v) = (u, \bar{v})$  and  $h(u, v) = (\bar{u}, uv)$ , for all  $(u, v) \in S^1 \times D^2$ . The boundaries of the mapping tori M(f), M(g) and M(h) are the flat 3-manifolds with groups  $G_2, B_1$  and  $B_2$ , respectively, and in each case the mapping torus is doubly covered by  $S^1 \times D^2 \times S^1$ , with boundary the 3-torus  $\mathbb{R}^3/\mathbb{Z}^3$ . Therefore the mapping cylinder construction shows that M is a boundary.

If  $C = G_2$  then  $\beta_1(C; \mathbb{Q}) = 1$ . We may assume that  $A = G_6$  and B is one of  $G_2, G_4, G_6, B_3$  or  $B_4$ . If  $B = G_2 \cong C$  then the inclusion of C into B induces an isomorphism  $C/I(C) \cong B/I(B)$ , and is induced by a double cover from M(f) to itself. Non-orientable 3-manifolds bound

non-orientable 4-manifolds, and their orientable double covers bound the orientable double covers of such manifolds. If f is the involution of  $S^1 \times D^2$  defined above then M(f) has an orientation-preserving free involution given by  $[u, v, t] \mapsto [-u, \bar{v}, -t]$ . The quotient manifold has boundary HW, the Hantzsche-Wendt flat 3-manifold with group  $G_6$ . Thus the mapping cylinder construction applies, provided  $B \ncong G_4$ .

If  $C = B_1$  or  $B_2$  then A and B must be  $B_3$  or  $B_4$ , and  $I(I(A)) = I(I(B)) = I(C) \cong \mathbb{Z}$ . Hence  $\pi/I(C) \cong A/I(C) *_{\mathbb{Z}^2} B/I(C)$  and so is a 3-manifold group. The manifold M is then the total space of an  $S^1$ -bundle. (The mapping cylinder construction can also be used here.)

There remains the possibility that  $A = G_6$ ,  $B = G_4$  and  $C = G_2$ . In this case the holonomy group Z/4Z of  $G_4$  does not act diagonally, and there is no obvious construction of a 4-manifold with boundary the flat 3-manifold with group  $G_4$ . Instead we may use algebraic arguments. The group  $\pi$  then has a presentation

$$\langle t, x, y, z \mid xy^2x^{-1} = y^{-2}, \ yx^2y^{-1} = x^{-2}, \ z = xy, \ tx^2t^{-1} = x^{2m}y^{2p},$$
 
$$ty^2t^{-1} = x^{2n}y^{-2m}, \ tzt^{-1} = x^{-2r}y^{2s}z, \ t^2 = x^{2a}y^{2b}z\rangle,$$

where  $a, b, m, n, p, \in \mathbb{Z}$ , r = (m-1)a + pb, s = -na + (m+1)b and  $m^2 + np = -1$ . (We may assume also that  $0 \leq a, b \leq 1$ .) Here  $C = \langle x^2, y^2, z \rangle$ , and  $\pi/C \cong D_{\infty}$  is generated by the images of t and x. The automorphism of  $\sqrt{C} = \langle x^2, y^2, z^2 \rangle$  determined by conjugation by tx has eigenvalues  $m \pm \sqrt{m^2 + 1}$ . If m = 0 then  $\pi$  is virtually abelian, and the corresponding manifold M is flat. In this case  $\pi$  is also isomorphic to  $G_2 *_{\mathbb{Z}^3} B_2$ , and so M bounds. Otherwise,  $\pi$  is not virtually nilpotent, and M is a  $\mathbb{S}ol^3 \times \mathbb{E}^1$ -manifold.

The generators t, x and y in this presentation represent orientationreversing elements of  $\pi$ . If m is even, or if m is odd and n, p are both even, then  $\pi/\pi' \cong (Z/4Z)^2$ , and so  $w_1^2 = 0$ . Thus we may asume that m, n are odd (and hence p is even). In this case  $\pi/\pi' \cong Z/8Z \oplus$ Z/2Z, where the summands are generated by the images of  $tx^{-1}$  and x, respectively. Thus  $w = w_1$  is projection onto the second summand. Let  $u : \pi \to Z/2Z$  be the homomorphism determined by u(t) = 1and u(x) = 0. Let  $H = \langle k^2 | w(k) = 0 \rangle$ , as in Lemma 3.6. Then  $G = \pi/H \cong Z/4Z \oplus Z/2Z$ , and so  $u^2 = 0$  and  $uw \neq 0$  in  $H^2(G; \mathbb{F}_2)$ . Hence  $uw \neq 0$  in  $H^2(\pi; \mathbb{F}_2)$ , by Lemma 3.6, and so  $w^3 = 0$ , by part (1) of Lemma 3.7. Thus all such manifolds bound.

These results apply immediately to the flat 4-manifolds with  $\beta = 0$ . In the next section we shall use them to confirm that all  $\mathbb{N}il^{4}$ - and  $\mathbb{S}ol^{3} \times \mathbb{E}^{1}$ -manifolds are boundaries.

# 6. $\mathbb{N}il^4$ - and $\mathbb{S}ol^3 \times \mathbb{E}^1$ -manifolds

Let M be a  $\mathbb{N}il^4$ -manifold and let C be the centralizer of  $I(\sqrt{\pi}) \cong \mathbb{Z}^2$ in  $\sqrt{\pi}$ . Then  $C \cong \mathbb{Z}^3$ , and  $1 < \zeta\sqrt{\pi} < I(\sqrt{\pi}) < C < \sqrt{\pi}$  is a characteristic series with all successive quotients  $\mathbb{Z}$ . (See Theorem 1.5 of [9].) In particular, C is normal in  $\pi$  and  $\pi/C$  has two ends. The preimage in  $\pi$  of any finite normal subgroup of  $\pi/C$  is a flat 3-manifold group which is normal in  $\pi$ . This must be  $\mathbb{Z}^3$ , by Theorem 8.4 of [9], and so  $\pi/C$  has no non-trivial finite normal subgroup. Hence  $\pi/C \cong \mathbb{Z}$ or  $D_{\infty}$ , and  $[\pi : \sqrt{\pi}]$  divides 4. In particular, if  $\beta = 0$  the mapping cylinder construction of §4 applies, and so all  $\mathbb{N}il^4$ -manifolds bound. (Note that since  $\zeta\sqrt{\pi} \cong \mathbb{Z}$  the result of [7] applies here if and only if either  $\pi = \sqrt{\pi}$  or  $\pi/\sqrt{\pi} = Z/2Z$  and acts by inversion on  $\zeta\sqrt{\pi}$ .)

If M is a  $\mathbb{S}ol^3 \times \mathbb{E}^1$ -manifold then  $\sqrt{\pi} \cong \mathbb{Z}^3$  and the quotient  $\pi/\sqrt{\pi}$  has two ends. Therefore  $\pi \cong A *_C B$ , where  $\sqrt{\pi} \leq C$ ,  $[C : \sqrt{\pi}]$  is finite and [A : C] = [B : C] = 2, since we are assuming that  $\beta = 0$ . Since  $\pi$  is not virtually nilpotent,  $[C : \sqrt{\pi}] \leq 2$ , by Theorem 8.4 of [9]. In all cases M is a boundary, by the results of §4.

# 7. Amalgamation over $\mathbb{N}il^3$ -manifold groups

The other cases that we shall need to consider are when A, B and C are fundamental groups of  $\mathbb{N}il^3$ -manifolds. These have canonical Seifert fibrations, with base a flat 2-orbifold with no reflector curves. (There are seven such orbifolds: T, Kb, S(2, 2, 2, 2), P(2, 2), S(2, 4, 4), S(2, 3, 6) and S(3, 3, 3).) The quotients  $\overline{A} = A/\zeta\sqrt{A}$ ,  $\overline{B} = B/\zeta\sqrt{B}$  and  $\overline{C} = C/\zeta\sqrt{C}$  are the orbifold fundamental groups of the bases. If the image of  $g \in A$  generates a maximal finite cyclic subgroup of  $\overline{A}$  then  $\zeta\sqrt{A} \leq \langle g \rangle$ , since  $\langle g, \zeta\sqrt{A} \rangle$  is torsion-free and virtually  $\mathbb{Z}$ .

**Lemma 7.1.** Suppose that  $\pi \cong A *_C B$ , where C is a  $\mathbb{N}il^3$ -group and  $A = \langle C, t \rangle$  and  $B = \langle C, u \rangle$ , with  $t^2, u^2 \in C$ . Then

- (1) if  $[\sqrt{A} : \sqrt{C}] = 2$  or if  $C = \sqrt{C}$  and  $A/\zeta\sqrt{A} \cong \mathbb{Z}^2 \rtimes_{-I} Z/2Z$ then the automorphism of  $\sqrt{C}/\zeta\sqrt{C}$  induced by conjugation by tu has finite order;
- (2) if  $\pi$  is not virtually nilpotent then  $\sqrt{A} = \sqrt{B} = \sqrt{C}$ ;
- (3) if the inclusion of C into each of A and B induces isomorphisms  $C/\zeta\sqrt{C} \cong A/\zeta\sqrt{A}$  and  $C/\zeta\sqrt{C} \cong B/\zeta\sqrt{B}$  then M bounds.

Proof. If  $[\sqrt{A} : \sqrt{C}] = 2$  then  $t \in \sqrt{A}$ , and so t centralizes  $\sqrt{C}/\zeta\sqrt{C}$ . If C is nilpotent and  $A/\zeta\sqrt{A} \cong \mathbb{Z}^2 \rtimes_{-I} Z/2Z$  then t acts via -I on  $\sqrt{C}/\zeta\sqrt{C}$ . Since  $u^2 \in C$  and  $[C : \sqrt{C}]$  is finite, in each case some power of tu acts trivially on  $\sqrt{C}/\zeta\sqrt{C}$ . Hence  $\pi$  is virtually nilpotent. Part (2) is an immediate consequence of part (1).

The hypotheses of part (3) imply that  $\pi/\zeta\sqrt{C} \cong C/\zeta\sqrt{C} \times D_{\infty}$ . (Hence  $\pi$  is virtually a product  $\sqrt{C} \times \mathbb{Z}$ .) Let N = K(C, 1) and let  $\iota$  be the free involution of  $N \times D^2$  which is the antipodal map on the  $S^1$  fibres of N and reflection across a diameter of  $D^2$ . Then the quotient  $N \times D^2/\langle \iota \rangle$  is a 5-manifold with boundary  $M = K(\pi, 1)$ .

As in the flat case,  $\beta_1(A; \mathbb{Q}) + \beta_1(B; \mathbb{Q}) \leq \beta_1(C; \mathbb{Q}) \leq 2$ . If  $C = \sqrt{C}$  we may assume that either  $A = \sqrt{A}$  and K(B, 1) has base S(2, 2, 2, 2), or the bases for K(A, 1) and K(B, 1) are Kb or S(2, 2, 2, 2).

If  $[C:\sqrt{C}] = 2$  then K(C,1) has base S(2,2,2,2) or Kb. In the first case K(A,1) and K(B,1) have base S(2,2,2,2,2), P(2,2) or S(2,4,4). In the second case we may assume that K(A,1) has base P(2,2) and K(B,1) has base Kb or P(2,2).

**Lemma 7.2.** Suppose that  $\pi \cong A *_C B$ , where C is a  $\mathbb{N}il^3$ -group and  $A = \langle C, t \rangle$  and  $B = \langle C, u \rangle$ , with  $t^2, u^2 \in C$ . Then  $w_1^2 = 0$  if either

- (1)  $q = [\zeta \sqrt{C} : \zeta \sqrt{C} \cap \sqrt{C'}]$  is even, and either  $C = \sqrt{C}$  or  $t^n, u^n \in \zeta \sqrt{C}$  for some  $n \ge 2$ ; or
- (2)  $C = \sqrt{C}$  and K(A, 1) and K(B, 1) fibre over Kb; or
- (3) K(C, 1) has base S(2, 2, 2, 2) and K(A, 1) and K(B, 1) both have base S(2, 4, 4); or
- (4) K(C, 1) has base S(2, 2, 2, 2) and K(A, 1) and K(B, 1) both have base P(2, 2).

Proof. Since  $\mathbb{N}il^3$ -manifolds are orientable the orientation reversing elements of  $\pi$  are of the form xc, where  $x \in (A \cup B) \setminus C$  and  $c \in C$ . In each case, such elements have images in  $\pi/\pi'$  of order divisible by 4.

This does not always hold if K(A, 1) has base P(2, 2) and K(B, 1) has base S(2, 4, 4). When  $\zeta \sqrt{A} = \zeta \sqrt{B} = \zeta \sqrt{C}$  and K(C, 1) and K(A, 1)have bases S(2, 2, 2, 2) and P(2, 2), respectively, the automorphism of  $\sqrt{C}/\zeta \sqrt{C}$  induced by tu has matrix

$$\xi = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} m & p \\ n & -m \end{pmatrix} = \begin{pmatrix} m & p \\ -n & m \end{pmatrix},$$

where  $m^2 + np = 1$  if K(B, 1) has base P(2, 2) and  $m^2 + np = -1$  if K(B, 1) has base S(2, 4, 4). If m = 0 this has finite order, and so M is a  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifold. If  $m = \pm 1$  and np = 0 then K(B, 1) must also have base P(2, 2), and M is a  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifold if n = p = 0, and is a  $\mathbb{N}il^4$ -manifold if one of n or p is not 0. In all these cases  $w_1^2 = 0$ , and so M bounds. Otherwise (if  $m^2 = 1$  and np = -2, or if |m| > 1) the eigenvalues of  $\xi$  are not roots of unity, and so M is a  $\mathbb{S}ol_1^4$ -manifold.

If  $[C:\sqrt{C}] > 2$  then M must be a  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifold. These cases are considered in the next section. (In most such cases part (3) of Lemma 7.1 applies.)

The mapping cylinder construction appears to have limited applicability here. Let  $\Theta_m$  and  $\Psi_n$  be the self-diffeomorphisms of  $S^1 \times D^2$ given by  $\Theta_m(u,d) = (u, u^m d)$  and  $\Psi_n(u,d) = (\bar{u}, u^n \bar{d})$ , for all  $(u,d) \in S^1 \times D^2$ , respectively, and let  $\theta_m = \Theta_m|_T$  and  $\psi_n = \Psi_n|_T$  be the restrictions to  $T = \partial(S^1 \times D^2)$ . The mapping tori  $M(\Theta_m)$  and  $M(\Psi_n)$  are  $D^2$ -bundles over T and Kb, respectively. The double covers of  $M(\Theta_m)$ are all diffeomorphic to  $M(\Theta_{2m})$ , while the double covers of  $M(\Psi_n)$  are diffeomorphic to  $M(\Theta_{2n})$  or  $M(\Psi_{2n})$ . In particular, if  $C = \sqrt{A} = \sqrt{B}$ and K(A, 1) and K(B, 1) each fibre over Kb then M bounds.

# 8. $\mathbb{N}il^3 \times \mathbb{E}^1$ -Manifolds

If M is an infranilmanifold with holonomy a finite 2-group which acts effectively on  $\zeta \sqrt{\pi}$  then M bounds, by Proposition 1.3 of [7]. (The hypotheses of the later result of [12] imply that M must be an orientable  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifold, and so this is of limited interest for our problem.)

Let M be a  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifold. Then  $\sqrt{\pi} \cong \Gamma_q \times \mathbb{Z}$ , for some  $q \ge 1$ , and so  $\zeta \sqrt{\pi} \cong \mathbb{Z}^2$  and  $\sqrt{\pi}/\zeta \sqrt{\pi} \cong \mathbb{Z}^2$ . Moreover,  $I(\sqrt{\pi}) \cong \mathbb{Z}$  and  $I(\sqrt{\pi}) < \zeta \sqrt{\pi}$ . Let  $\theta : \pi \to Aut(\zeta \sqrt{\pi}), \bar{\theta} : \pi \to Aut(\zeta \sqrt{\pi}/I(\sqrt{\pi}))$  and  $\psi : \pi \to Aut(\sqrt{\pi}/\zeta \sqrt{\pi})$  be the homomorphisms induced by conjugation in  $\pi$ . Since  $I(\sqrt{\pi})$  is a characteristic subgroup of  $\pi$ , the image of  $\theta$  lies in the diagonal group  $(Z/2Z)^2$  of  $GL(2,\mathbb{Z})$ . The manifold M is nonorientable if and only if  $\bar{\theta}$  is nontrivial. (In that case the holonomy  $\gamma = \pi/\sqrt{\pi}$  acts by inversion on the Euclidean factor of  $Nil^3 \times \mathbb{R}$ .)

Let  $K = \text{Ker}(\theta)$ . Then  $\sqrt{K} = \sqrt{\pi}$ , since  $\sqrt{\pi} \leq K \leq \pi$ . Moreover,  $\zeta\sqrt{\pi} \leq \zeta K \leq \sqrt{K}$ , and so  $\zeta K = \zeta\sqrt{\pi}$ . The quotient  $K/\zeta K$  is a flat 2-orbifold group with holonomy  $K/\sqrt{K}$ . Since K acts trivially on  $\zeta K$  this orbifold is orientable, and so  $K/\sqrt{K}$  is cyclic, of order 1, 2, 3, 4 or 6. The preimage in  $\pi$  of any finite normal subgroup of  $\pi/I(\sqrt{\pi})$  is an infinite cyclic normal subgroup, and therefore is  $I(\sqrt{\pi})$ . Therefore the induced action of  $\gamma$  on  $\sqrt{\pi}/I(\sqrt{\pi})$  is effective, and so  $(\psi, \bar{\theta}) : \gamma \to GL(2, \mathbb{Z}) \times \mathbb{Z}^{\times}$  is injective. Hence  $\gamma$  is isomorphic to a subgroup of  $D_{2n} \times Z/2Z$ , for n = 4 or 6. All the possibilities are realized, except for the products  $D_{2n} \times Z/2Z$ , with n = 3, 4 or 6 [5].

Although some  $\mathbb{N}il^3 \times \mathbb{E}^1$ -groups with  $\beta = 0$  are amalgamated free products  $\pi \cong A *_C B$  with A, B and C virtually  $\mathbb{Z}^3$ , the cases with  $A = G_6, B = G_4$  and  $C = G_2$  do not arise here, and so the corresponding manifolds bound. Thus we may assume that  $\pi \cong A *_C B$ , where A, Band C are fundamental groups of  $\mathbb{N}il^3$ -manifolds. If K(C, 1) has base P(2,2), S(2,4,4) or S(2,3,6) then  $\overline{A} = \overline{B} = \overline{C}$ , and so M bounds, by part (3) of Lemma 7.1. However, if K(C,1) has base S(3,3,3)then K(A,1) or K(B,1) could have base S(2,3,6). In this case there are non-normal subgroups of index 3, with similar structures  $\widetilde{A} *_{\sqrt{C}} \widetilde{B}$ , where  $K(\widetilde{A},1)$  and  $K(\widetilde{B},1)$  have base T or S(2,2,2,2). Since coverings of odd degree induce isomorphisms on cohomology with coefficients  $\mathbb{F}_2$ , we may further assume that  $[C : \sqrt{C}] \leq 2$ , and that  $\gamma = \pi/\sqrt{\pi}$  is a 2-group, of order dividing 8.

If  $\gamma = Z/2Z$  then  $\gamma$  must act trivially on  $I(\sqrt{\pi})$  and via  $-I_3$  on  $\sqrt{\pi}/I(\sqrt{\pi}) \cong \mathbb{Z}^3$  (since  $\beta = 0$ ). Thus  $\gamma$  acts effectively on  $\zeta\sqrt{\pi}$ , and so M bounds, by Proposition 1.3 of [7]. Thus we may assume that either  $\gamma = (Z/2Z)^2$  and  $\zeta\pi = I(\sqrt{\pi})$  (i.e.,  $\gamma$  does not act effectively on  $\zeta\sqrt{\pi}$ ) or  $\gamma = Z/4Z$ ,  $Z/4Z \oplus Z/2Z$ ,  $(Z/2Z)^3$  or  $D_8$ .

If  $C = \sqrt{C}$  then the orientable double cover of M is a Spin 4manifold. If, moreover, either K(A, 1) and K(B, 1) both fibre over Kb or  $q = [\zeta\sqrt{C} : \zeta\sqrt{C} \cap \sqrt{C'}]$  is even then  $w_1^2 = 0$  and so M bounds, by part (1) of Lemma 7.2. If K(C, 1) has base S(2, 2, 2, 2) and  $\sqrt{A} = \sqrt{B} = \sqrt{C}$  (and  $\pi$  is virtually nilpotent) then  $w_1^2 = 0$ . There are mapping tori of self-diffeomorphisms of such K(C, 1) which are not Spin [10]. Thus the cases when K(A, 1) and K(C, 1) have base S(2, 2, 2, 2)may give examples of  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifolds which are not boundaries.

# 9. $Sol_1^4$ -Manifolds

If M is a  $\mathbb{S}ol_1^4$ -manifold then  $\sqrt{\pi} \cong \Gamma_q$  for some  $q \ge 1$ , and  $\pi/\sqrt{\pi}$  has two ends. Therefore  $\pi \cong A *_C B$ , where [A : C] = [B : C] = 2,  $\sqrt{\pi} = \sqrt{C}$  and  $[C : \sqrt{\pi}]$  is finite. Thus A, B and C are fundamental groups of  $\mathbb{N}il^3$ -manifolds. Since  $\pi$  is not virtually nilpotent,  $[C : \sqrt{\pi}] \le 2$ , by Theorem 8.4 of [9], and so  $[A : \sqrt{\pi}]$  and  $[B : \sqrt{\pi}]$  are each  $\le 4$ . Moreover  $\sqrt{A} = \sqrt{B} = \sqrt{C}$ , by part (2) of Lemma 7.1. The possibilities are limited further by the fact that  $\pi$  cannot have  $\mathbb{Z}^2$  as a normal subgroup, since  $\mathbb{S}ol_1^4$ -manifolds are not Seifert fibred. In particular, K(C, 1) cannot be fibred over Kb, for otherwise the characteristic subgroup  $I(C) \cong \mathbb{Z}^2$  would be normal in  $\pi$ .

If  $C = \sqrt{\pi}$  then K(A, 1) and K(B, 1) are  $S^1$ -bundles over Kb, by part (1) of Lemma 7.1. The mapping cylinder construction then applies to show that M bounds. If  $[C : \sqrt{\pi}] = 2$  then K(C, 1) has base S(2, 2, 2, 2), and so K(A, 1) and K(B, 1) have bases P(2, 2) or S(2, 4, 4). If the bases are the same then  $w_1^2 = 0$ , by parts (3) and (4) of Lemma 7.2, and so M bounds. There remains the possibility that K(A, 1) has base S(2, 4, 4) and K(B, 1) has base P(2, 2).

**Theorem 9.1.** Let M be a  $Sol_1^4$ -manifold with  $\pi = \pi_1(M) \cong A *_C B$ , where K(A, 1) is Seifert fibred over S(2, 4, 4) and K(B, 1) is Seifert fibred over P(2, 2). If  $q = [\zeta \sqrt{C} : \zeta \sqrt{C} \cap \sqrt{C'}]$  is odd then M bounds if and only if  $w_1^2 = 0$ .

*Proof.* Since K(C, 1) is a double cover of each of K(A, 1) and K(B, 1), it is Seifert fibred over S(2, 2, 2, 2), and  $\sqrt{A} = \sqrt{B} = \sqrt{C}$ . The orbifold fundamental groups of the bases  $\overline{A} = \pi^{orb}(S(2, 4, 4))$  and  $\overline{B} = \pi^{orb}(P(2, 2))$  have presentations  $\langle a, x \mid a^4 = (a^2 x)^2, [x, axa^{-1}] = 1 \rangle$  and  $\langle j, u \mid j^2 = (ju^2)^2 = 1 \rangle$ , and their maximal abelian normal subgroups are  $\langle x, axa^{-1} \rangle$  and  $\langle u^2, (ju)^2 \rangle$ , respectively.

After suitable normalizations we may assume that  ${\cal A}$  has a presentation

$$\langle a, x, y \mid y = axa^{-1}, \ [x, y] = a^{4q}, \ a^2xa^{-2} = x^{-1} \rangle,$$

and that  $C = \langle a^2, x, y \rangle$ . We may then assume that *B* has a presentation  $\langle j, k, x, y \mid [x, y] = j^{2q}, \ jxj^{-1} = x^{-1}, jyj^{-1} = y^{-1}, \ kxk^{-1} = x^m y^n j^{2e}, \ kyk^{-1} = x^p y^{-m} j^{2f}, \ k^2 = x^r y^s j^{2g}, \ (jk)^2 = x^t y^u j^{2h} \rangle,$ 

where *m* is odd and *p* and *n* are even (since  $\binom{m}{n} \binom{p}{-m}$  must be conjugate to  $\binom{1}{0} \binom{0}{-1}$ ), and  $ru - ts = \pm 1$ . Here *C* is the subgroup  $\langle j, x, y \rangle$ , and we may identify *j* with  $a^2$ . Hence  $\pi$  has a presentation

$$\begin{array}{l} \langle a,k,x,y \mid axa^{-1}=y, \ a^{2}xa^{-2}=x^{-1}, \ kxk^{-1}=x^{m}y^{n}a^{4e}, \\ kyk^{-1}=x^{p}y^{-m}a^{4f}, \ k^{2}=x^{r}y^{s}a^{4g}, \ (a^{2}k)^{2}=x^{t}y^{u}a^{4h}, \ [x,y]=a^{4q} \ \rangle. \end{array}$$

Abelianizing this presentation gives [x] = [y], 4q[a] = 0, 2[x] = 0, (m+n+1)[x] = 4e[a], (m+p+1)[x] = 4f[a], 2[k] = (r+s)[x] + 4g[a]and 2[k] = (t+u)[x] + 4(h-1)[a]. Since m+n+1 and m+p+1 are even two of these simplify to 4e[a] = 4f[a] = 0. Moreover 2q[k] = q[x].

Since r + s and t + u cannot both be even, we can solve for [x] in terms of [a] and [k]. If they are both odd then  $\pi/\pi' \cong Z/4\tilde{q}Z \oplus Z/4Z$ , where  $\tilde{q} = h.c.f.\{q, e, f, g - h + 1\}$ , and then  $w_1^2 = 0$ . Otherwise  $\pi/\pi' \cong Z/4\tilde{q}Z \oplus Z/2Z$ , where  $\tilde{q}$  divides  $h.c.f.\{q, e, f\}$ , and  $w_1^2 \neq 0$ . If (say) r + s is even then 2([k] - 2g[a]) = 0 and so  $ka^{-2g}$  is an orientation reversing element with image in  $\pi/\pi'$  of order 2.

The projection to the quotient  $\pi/\langle \langle a^4, (ak)^2, x \rangle \rangle \cong D_8$  induces an isomorphism  $H^1(D_8; \mathbb{F}_2) \cong H^1(\pi; \mathbb{F}_2) = \langle u, w \rangle$ . Since uw = 0 in  $H^2(D_8; \mathbb{F}_2)$  it follows that uw = 0 in  $H^2(\pi; \mathbb{F}_2)$  also.

The orientable double cover of M is the mapping torus of the selfdiffeomorphism of K(C, 1) corresponding to t = ak, and is not a Spin manifold, since q is odd. (See §7 of [10].) Therefore  $w_2(M) \neq 0$  or  $w^2$ . It now follows from part (2) of Lemma 3.7 that  $w^4 \neq 0$ , and so M does not bound.

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In particular, the  $Sol_1^4$ -manifold M whose group has presentation

$$\begin{array}{l} \langle a,k,x,y \mid axa^{-1}=y, \ a^2xa^{-2}=x^{-1}, \ kxk^{-1}=x^3y^{-4}, \ kyk^{-1}=x^2y^{-3}, \\ \\ k^2=xy^{-1}, \ (a^2k)^2=xy^{-2}, \ [x,y]=a^4 \ \rangle. \end{array}$$

is not a boundary.

# 10. $S^1$ -BUNDLE SPACES

In many cases a 4-dimensional infrasolvmanifold M is the boundary of the total space of a  $D^2$ -bundle over a 3-manifold.

In all, 50 of the 74 flat 4-manifolds are total spaces of  $S^1$ -bundles. The exceptions have  $\beta \leq 1$ , and are three with group  $G_2 \rtimes \mathbb{Z}$  (all nonorientable), three with group  $G_3 \rtimes \mathbb{Z}$  (all orientable), two with group  $G_4 \rtimes \mathbb{Z}$  (both orientable), one with group  $G_5 \rtimes \mathbb{Z}$  (orientable), twelve with group  $G_6 \rtimes \mathbb{Z}$  (seven orientable) and three with  $\beta = 0$  and groups  $G_2 *_{\phi} B_2$ ,  $G_6 *_{\phi} B_3$  and  $G_6 *_{\phi} B_4$  (all non-orientable). In §11 we shall show that the mapping cylinder construction applies to most of these.

Coset spaces of  $Nil^3 \times \mathbb{R}$  or  $Sol^3 \times \mathbb{R}$  are products  $N \times S^1$ , with N a  $Nil^3$ - or  $Sol^3$ -coset space, respectively, and so bound  $N \times D^2$ . Coset spaces of  $Nil^4$  or  $Sol_1^4$  are also  $S^1$ -bundle spaces, since the action of the centre  $\mathbb{R}$  induces a free  $S^1$ -action on the coset space. A  $\mathbb{N}il^4$ -manifold is such a coset space if and only if  $\beta = 2$ , while a  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifold is such a coset space if and only if  $\beta = 3$ . These coset spaces are orientable, and so bound orientably.

If M is a  $\mathbb{N}il^4$ -manifold or a  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifold, but is not a coset space, then  $\beta \leq 1$  or  $\beta \leq 2$ , respectively. If M is non-orientable and  $\beta > 0$ , or if M is an orientable  $\mathbb{N}il^3 \times \mathbb{E}^1$ -manifold and  $\beta = 2$ , then  $\pi \cong \nu \rtimes_{\theta} \mathbb{Z}$ , where  $\nu = \mathbb{Z}^3, G_2, B_1$  or  $B_2$ . (See Theorems 8.4 and 8.9 of [9].) The manifold M is the mapping torus of a self-diffeomorphism of the corresponding flat 3-manifold N. (If M is orientable then  $\nu = \mathbb{Z}^3$  or  $G_2$ , and if M is a non-orientable  $\mathbb{N}il^4$ -manifold then  $\nu = \mathbb{Z}^3$ .) If  $\nu = \mathbb{Z}^3$ or  $G_2$  then  $\theta|_{I(\nu)}$  has an eigenvalue  $\pm 1$ , since  $\pi$  is virtually nilpotent. (If  $\beta = 1$  and  $\nu = \mathbb{Z}^3$  the eigenvalue must be -1.) The quotient of  $\pi$  by the corresponding infinite cyclic normal subgroup is torsion-free, and so M is also the total space of an  $S^1$ -bundle over a closed 3-manifold. A similar result holds if  $\nu = B_1$  or  $B_2$ , for in these cases  $I(\nu) \cong \mathbb{Z}$ .

Orientable  $\mathbb{N}il^3 \times \mathbb{E}^{1}$ - and  $\mathbb{N}il^4$ -manifolds with  $\beta = 1$ , and all orientable  $\mathbb{S}ol_1^4$ -manifolds (which have  $\beta = 1$ ) are mapping tori of diffeomorphisms of  $\mathbb{N}il^3$ -manifolds. If the fibre is a  $Nil^3$ -coset space, with group  $\nu = \sqrt{\nu}$ , then  $\pi/I(\nu)$  is torsion-free, and so the 4-manifold is the total space of an  $S^1$ -bundle over a  $\mathbb{N}il^3$ -manifold. However if  $\nu \neq \sqrt{\nu}$ 

then  $\pi$  has no infinite cyclic normal subgroup with torsion-free quotient, and the manifold is not an  $S^1$ -bundle space.

If M is a  $\mathbb{S}ol^3 \times \mathbb{E}^1$ -manifold then  $\beta \leq 2$ , and if  $\beta = 2$  then  $\pi \cong \mathbb{Z}^3 \rtimes_{\theta} \mathbb{Z}$ . In this case  $\theta$  has an eigenvalue 1, and so M is an  $S^1$ -bundle space. This is also the case if  $\beta = 1$  and  $\pi \cong \mathbb{Z}^3 \rtimes_{\theta} \mathbb{Z}$ , as one eigenvalue of  $\theta$  must be  $\pm 1$ . Otherwise either  $\beta = 1$  and  $\pi \cong \sigma \rtimes \mathbb{Z}$ , where  $\sigma$  is the group of a  $\mathbb{S}ol^3$ -manifold, or  $\beta = 0$ .

### 11. MAPPING CYLINDER CONSTRUCTIONS

The mapping cylinder construction of Lemma 4.1 and 4.2 apply to many of the flat 4-manifolds which are not realizable by  $S^1$ -bundle spaces. We note here the following variation: if  $c: Z \to X$  is a double cover and f is a self-diffeomorphism X such that  $f_*c_*\pi_1(Z) = c_*\pi_1(Z)$ then f extends to a self-diffeomorphism F of MCyl(c), and so M(f) = $\partial M(F)$ .

All the mapping tori of self-diffeomorphisms of orientable flat 3manifolds with cyclic holonomy and  $\beta = 1$  also fibre over Kb, and so their groups map onto  $D_{\infty}$ . The groups  $G_6 \rtimes_{\theta} \mathbb{Z}$  corresponding to the outer automorphism classes  $\theta = a, ab, i$  and ei also map onto  $D_{\infty}$ . The groups corresponding to cej, abcej and j have abelianization  $\mathbb{Z}$ , and so Lemma 4.2 does not apply to these. The classes  $ace = (ci)^2$ ,  $bce = (ei)^2$  and and  $abcej = j^4$  are squares in  $Out(G_6)$  (as are  $1 = 1^2$ and  $ab = (cei)^2$ ). These bound, since  $M(f^2)$  bounds the mapping cylinder of the canonical double cover of M(f). (Since *cei* and *ci* are orientation-reversing, two of these mapping cylinders are orientable.) The classes a, ce, cei, ci and j are not squares, since they are orientationreversing. The classes i and ei are not squares, as they have order 4 and  $Out(G_6)$  has no elements of order 8. The class cej is not a square, as it has order 6 and  $Out(G_6)$  has no elements of order 12.

The mapping cylinder construction applies to show that each of the four flat 4-manifolds with  $\beta = 0$  is a boundary. There remain five flat 4-manifolds (corresponding to *ce*, *cei*, *cej*, *ci* and *j*) for which we do not yet have simple cobounding 5-manifolds, and a further two orientable flat 4-manifolds (corresponding to *abcej* and *bce*) for which we do not have simple orientable cobounding 5-manifolds.

# 12. Embedding flat 4-manifolds in $\mathbb{R}^n$

If a closed smooth *n*-manifold embeds in  $\mathbb{R}^k$  then the *k*th normal Stiefel-Whitney classes  $\overline{w}_k(M)$  is 0, since this is the *mod*-(2) normal Euler class. (See Theorem 10.2 of [11].) This necessary condition is also sufficient when n = 4 and k = 3: a closed smooth 4-manifold

M embeds in  $\mathbb{R}^7$  if and only if  $\overline{w}_3(M) = 0$  [6]. (Note that  $\overline{w}_3(M) = w_3(M) + w_1(M)^3 = Sq^1w_2(M) + w_1(M)^3$ , by the Whitney sum theorem and the Wu formulae.) In particular, every orientable closed smooth 4-manifold embeds in  $\mathbb{R}^7$ . An orientable closed smooth 4-manifold M embeds in  $\mathbb{R}^6$  if and only if  $w_2(M) = 0$  and  $\sigma(M) = 0$  [2]. However, there is as yet no general criterion for non-orientable 4-manifolds to embed in  $\mathbb{R}^6$ .

It follows from these results (and Lemma 3.1) that if a 4-dimensional infrasolvmanifold M is a boundary and  $w_3(M) = 0$  then M embeds in  $\mathbb{R}^7$ , since  $w_1^4 = 0$  implies  $w_1^3 = 0$ , by Lemma 3.2, and then  $\overline{w}_3(M) = 0$ . If M is orientable then it embeds in  $\mathbb{R}^6$  if and only if  $w_2(M) = 0$ .

In [10] it is shown that  $w_2$  is integral (and hence  $w_3 = 0$ ) for all but at most two flat 4-manifolds. The exceptions have groups  $\pi = G_6 \rtimes_{ci} \mathbb{Z}$  or  $G_6 *_{\phi} B_4$ . When  $\pi = G_6 \rtimes_{ci} \mathbb{Z}$ , the Wang sequence for  $\pi$  as an extension of  $\mathbb{Z}$  and the Universal Coefficient Theorem imply that  $H^2(\pi; \mathbb{Z}/4\mathbb{Z}) \cong$  $(\mathbb{Z}/4\mathbb{Z})^2$  maps onto  $H^2(\pi; \mathbb{F}_2)$ . Therefore  $w_3 = Sq^1w_2 = 0$ . Thus, with one possible exception, every 4 flat 4-manifold embeds smoothly in  $\mathbb{R}^7$ .

Three orientable flat 4-manifolds have  $w_2 \neq 0$ ; they are mapping tori of self-diffeomorphisms of HW, corresponding to  $\theta = e, bce$  or ei in  $Out(G_6)$ . The other 24 embed in  $\mathbb{R}^6$ . Since  $\overline{w_2}(M) = w_2(M) + w_1(M)^2$ , non-orientable flat 4-manifolds which embed in  $\mathbb{R}^6$  must have  $Pin^-$ structures. This condition excludes 15 of the 47 non-orientable flat 4-manifolds, but we do not know whether all the others embed in  $\mathbb{R}^6$ .

If M embeds in  $\mathbb{R}^5$  then it bounds a compact region and is sparallelizable. Thus M is parallelizable if also  $\chi(M) = 0$ . Moreover, if X and Y are the closures of the components of  $S^5 \setminus M$  then X and Y are connected and  $H^1(X) \oplus H^1(Y) \cong H^1(M)$ . In particular, if  $\beta = 1$  then M has an essentially unique infinite cyclic covering M', and this bounds a covering of X, say. Let t generate the covering group, and let T be the maximal finite submodule of  $H_1(M;\Lambda)$ . Then Poincaré duality with coefficients in the group ring  $\Lambda = \mathbb{Z}[t, t^{-1}]$ and the Universal coefficient spectral sequence together give an isomorphism  $T \cong Ext^2_{\Lambda}(T, \Lambda)$ . This is equivalent to a non-degenerate pairing  $\ell_p: T \times T \to \mathbb{Q}/\mathbb{Z}$ , with an isometric action of the covering group. When M' is homotopy equivalent to a 3-manifold this pairing is the standard torsion linking pairing on M', with the action of the covering group  $\langle t \rangle$ . (In knot theory this pairing is known as the Farber-Levine pairing.) If  $M = \partial W$  and p extends to a homomorphism from  $\pi_1(W)$ to  $\mathbb{Z}$  then  $K = \text{Ker}(: T \to H_1(W; \Lambda)$  is a submodule which is its own annihilator with respect to  $\ell_p$ . Hence  $\ell_p$  is metabolic.

Every closed 3-manifold N embeds in  $\mathbb{R}^5$  [13]. The normal bundle of an embedding  $j : N \to \mathbb{R}^5$  is classified by an Euler class  $e(j) \in H^2(N; \mathbb{Z}^w) \cong H_1(N; \mathbb{Z})$ . If M is the boundary of a regular neighbourhood of j then M is the total space of an  $S^1$ -bundle over N, and e(j) is also the class of the corresponding extension of  $\pi_1(N)$  by  $\mathbb{Z}$ . If N is orientable the normal bundle is trivial, and so  $M = N \times S^1$ .

The six orientable flat 4-manifolds which are products  $N \times S^1$  (with groups  $G_i \times \mathbb{Z}$ , for  $1 \leq i \leq 6$ ) all embed in  $\mathbb{R}^5$ . Since  $G_3^{ab} \cong \mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$ and  $G_4^{ab} \cong \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ , the flat 4-manifolds with groups  $G_i \rtimes_{\theta} \mathbb{Z}$  (for i = 3 or 4) and  $\beta = 1$  do not embed in  $\mathbb{R}^5$ . The group  $G_6^{ab} \cong (\mathbb{Z}/4\mathbb{Z})^2$ does not have a subgroup which is its own annihilator with respect to the torsion linking pairing of HW, and so no flat 4-manifold with group  $G_6 \rtimes \mathbb{Z}$  and  $\beta = 1$  can embed in  $\mathbb{R}^5$ . However, such considerations do not apply to the flat 4-manifold with group  $G_5 \rtimes_{\theta} \mathbb{Z}$  and  $\beta = 1$ , since  $G_5^{ab} \cong \mathbb{Z}$  is torsion-free. In this case  $H_1(\pi) \cong \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$  is the sum of two cyclic groups. Since the corresponding flat 4-manifold M has  $w_2(M) = 0$  and  $\sigma(M) = 0$ , it embeds in  $\mathbb{R}^5$ , by Theorem 6.2 of [3].

If  $\pi \cong \mathbb{Z}^3 \rtimes_T \mathbb{Z}$  has cyclic holonomy and  $\beta = 2$ , then any basis for  $\pi/I(\pi) \cong \mathbb{Z}^2$  will contain at least one element whose image generates the holonomy. Therefore if M embeds in  $S^5$  with closed complementary regions X and Y there will be an infinite cyclic cover M' with fundamental group an orientable flat 3-manifold group with the same holonomy, which bounds an infinite cyclic cover of X, say. This is again impossible if the holonomy has order 3 or 4.

The remaining six orientable flat 4-manifolds are mapping tori of selfdiffeomorphisms of the half-turn flat 3-manifold, with groups  $G_2 \rtimes_{\theta} \mathbb{Z}$ , and five of these have  $\beta = 1$ . These also fibre over non-orientable flat 3-manifolds. In three of these cases the group is a semidirect product  $\mathbb{Z} \rtimes_w B_i$ , where  $w = w_1(B_2)$  and  $2 \leq i \leq 4$ . These correspond to  $S^1$ -bundles with a section, i.e., to bundles with Euler class 0. We shall show that they each embed in  $\mathbb{R}^5$ .

If a flat 4-manifold M is the boundary of a regular neighbourhood of an embedding j of a non-orientable flat 3-manifold N in  $\mathbb{R}^5$ , then  $\pi = \pi_1(M)$  is a non-trivial extension of  $\pi_1(N)$  by  $\mathbb{Z}$ ,  $\beta = \beta_1(N)$  and e(j) must have finite order. In particular, if  $\pi_1(N) = B_1$  or  $B_2$  then  $\pi \cong G_2 \times \mathbb{Z}$  or  $\mathbb{Z} \rtimes_w B_2$ . The semidirect product is the only orientable, virtually abelian extension of  $B_2$  by  $\mathbb{Z}$ , since  $H_1(B_2; \mathbb{Z})$  is torsion-free. If  $\pi_1(N) = B_3$  or  $B_4$  then  $\beta = 1$ ,  $\pi \cong G_2 \rtimes_{\theta} \mathbb{Z}$  and the holonomy is  $(\mathbb{Z}/2\mathbb{Z})^2$ .

Since Kb embeds in  $G_2$ ,  $Kb \times S^1$  embeds in  $\mathbb{R}^5$  with normal Euler class 0, and so the flat 4-manifold with group  $\mathbb{Z} \rtimes_w B_1$  embeds. (This is of course  $G_2 \times S^1$ .) Let R be the orientation preserving involution of  $D^2 \times D^2$  which swaps the factors. Then R restricts to an orientationreversing involution of  $T = S^1 \times S^1$ , and  $M(R_T) \cong K(B_2, 1)$  embeds in  $M(R) \cong S^1 \times D^4 \subset \mathbb{R}^5$ . Since this embedding can be isotoped off itself, the flat 3-manifold  $K(B_2, 1)$  embeds in  $\mathbb{R}^5$ , with normal Euler class 0.

Two of the non-orientable flat 3-manifolds fibre over the torus, while the other two fibre over the Klein bottle. Let  $p_i : E_i \to F$  be the projection of the associated  $\mathbb{R}^2$ -bundle, let  $s : F \to E_i$  be the 0-section, and let  $j_i : K(B_i, 1) \to E_i$  be the natural inclusion of the unit circle bundle. Note that  $j_i$  may be isotoped to a disjoint nearby embedding. Let  $\eta_i$  be the line bundle over F with  $w_1(\eta_i) = s^* w_1(E_i)$ . Then the Whitney sum  $p_i \oplus \eta_i$  is an  $\mathbb{R}^3$ -bundle over F, with orientable total space  $\widehat{E}_i = E(p_i \oplus \eta_i)$ .

If i = 2 or 4 the fibres of the projections  $p_i j_i$  have image 0 in  $H_1(B_i; \mathbb{F}_2)$ , and so  $p_i j_i$  induces isomorphisms  $H^q(F; \mathbb{F}_2) \cong H^q(B_i; \mathbb{F}_2)$ , for  $q \leq 2$ . Since  $w_2 = w_1^2$  for any 3-manifold, by the Wu relations, the Whitney sum formula gives  $w_2(\widehat{E}_i) = 0$ . Regular neighbourhoods of any embedding of T or Kb in  $\mathbb{R}^5$  are  $D^3$ -bundles with parallelizable total space. Therefore if i = 2 or 4 then  $\widehat{E}_i$  embeds in  $\mathbb{R}^5$ . Hence the flat 3-manifold  $K(B_i, 1)$  also embeds in  $\mathbb{R}^5$ , with normal Euler class 0. The boundary of a regular neighbourhood is an orientable flat 4-manifold with group  $\mathbb{Z} \rtimes_w B_i$ .

When i = 1 or 3 it is not so clear that  $w_2(\widehat{E}_i) = 0$ . Instead we use more explicit constructions. We have already done this for i = 1. We may embed Kb in  $S^1 \times D^3$  as the subset  $\{(u^2, x, yu) \mid u \in S^1, x, y \in \mathbb{R}, x^2 + y^2 = 1\}$ . Let h be the orientation-preserving diffeomorphism of  $S^1 \times D^3$  given by  $h(u, x, y, z) = (\overline{u}, x, y, -z)$ . Then h reverses the  $S^1$ factor, h(Kb) = Kb and h fixes pointwise the fibre of Kb over u = 1. The mapping torus M(h) is an orientable  $D^3$ -bundle over Kb, and  $M(h|_{Kb}) = B_3$ . Since  $h|_{\partial}$  has 1-dimensional fixed point set, the boundary of M(h) is the orientable  $S^2$ -bundle over Kb with  $w_2 = 0$ , and so  $w_2(M(h)) = 0$ . Therefore M(h) embeds in  $\mathbb{R}^5$  as a regular neighbourhood of an embedding of Kb. Hence  $K(B_3, 1)$  also embeds in  $\mathbb{R}^5$ , with normal Euler class 0. The boundary of a regular neighbourhood is an orientable flat 4-manifold with group  $\mathbb{Z} \rtimes_w B_3$ .

One of the three remaining groups  $G_2 \rtimes \mathbb{Z}$  has abelianization  $\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}$ . The corresponding flat 4-manifold embeds in  $\mathbb{R}^5$ , by Theorem 6.2 of [3]. The group is a non-split extension of  $B_4$  by  $\mathbb{Z}$ , and so the normal Euler class is a non-zero torsion class.

The two undecided cases have groups with presentations

$$\langle t, x, y, z \mid txt^{-1} = x^{-1}yz, ty = yt, tzt^{-1} = z^{-1},$$

$$xyx^{-1} = y^{-1}, \ xzx^{-1} = z^{-1}, yz = zy$$

and

$$\begin{array}{l} \langle t, x, y, z \mid txt^{-1} = x^{-1}, tyt^{-1} = z, \ tzt^{-1} = y, \\ xyx^{-1} = y^{-1}, \ xzx^{-1} = z^{-1}, yz = zy \rangle, \end{array}$$

respectively. These manifolds are Spin, and so embed in  $\mathbb{R}^6$ . In each case the Farber-Levine pairing is metabolic, and so provides no obstruction to an embedding in  $\mathbb{R}^5$ . On the other hand, the abelianizations each need at least three generators, and so the result of [3] does not apply.

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School of Mathematics and Statistics, University of Sydney, NSW 2006, Australia

E-mail address: jonathan.hillman@sydney.edu.au