

- Truncations of derived categories
- t -Structures and hearts
- t -Exact Functors
- Some Comments

• Truncations

Let \mathcal{A} be an abelian category. Let A^\bullet be a cochain complex.

There are four ways to truncate the complex A^\bullet :

① The **stupid** truncation $\sigma_{\geq n} A^\bullet$ defined by

$$(\sigma_{\geq n} A^\bullet)^i = \begin{cases} 0 & \text{if } i < n \\ A^i & \text{if } i \geq n \end{cases}$$

$$\begin{array}{ccccccc} \sigma_{\geq n} A^\bullet = & \dots & \rightarrow & 0 & \rightarrow & A^n & \rightarrow & A^{n+1} & \rightarrow & \dots \\ & & & \downarrow & & \downarrow & & \downarrow & & \\ A^\bullet = & \dots & \rightarrow & A^{n-1} & \rightarrow & A^n & \rightarrow & A^{n+1} & \rightarrow & \dots \end{array}$$

② The **stupid** truncation $\sigma_{\leq n} A^\bullet$ defined by

$$(\sigma_{\leq n} A^\bullet)^i = \begin{cases} 0 & \text{if } i > n \\ A^i & \text{if } i \leq n \end{cases}$$

$$\begin{array}{ccccccc} A^\bullet = & \dots & \rightarrow & A^{n+1} & \rightarrow & A^n & \rightarrow & A^{n-1} & \rightarrow & \dots \\ & & & \downarrow & & \downarrow & & \downarrow & & \\ \sigma_{\leq n} A^\bullet = & \dots & \rightarrow & A^{n+1} & \rightarrow & A^n & \rightarrow & 0 & \rightarrow & \dots \end{array}$$

③ The **canonical** truncation $\tau_{\leq n} A^\bullet$ defined by

$$(\tau_{\leq n} A^\bullet)^i = \begin{cases} A^i & i < n \\ \ker(d^n) & i = n \\ 0 & i > n \end{cases}$$

$$\begin{array}{ccccccc} \tau_{\leq n} A^\bullet = & \dots & \rightarrow & A^{n+1} & \rightarrow & \ker(d^n) & \rightarrow & 0 & \rightarrow & \dots \\ & & & \downarrow & & \downarrow & & \downarrow & & \\ A^\bullet = & \dots & \rightarrow & A^{n+1} & \rightarrow & A^n & \rightarrow & A^{n-1} & \rightarrow & \dots \end{array}$$

④ The canonical truncation $\tau_{\geq n} A^\bullet$ defined by

$$(\tau_{\geq n} A^\bullet)^i = \begin{cases} A^n & i > n \\ \text{coker}(d^{n+1}) & i = n \\ 0 & i < n \end{cases}$$

$$\begin{array}{ccccccc} A^\bullet & = & \cdots & \rightarrow & A^{n+1} & \rightarrow & A^n & \rightarrow & A^{n+1} & \rightarrow & \cdots \\ & & & & \downarrow & & \downarrow & & \downarrow & & \\ \tau_{\geq n} A^\bullet & = & \cdots & \rightarrow & 0 & \rightarrow & \text{coker}(d^{n+1}) & \rightarrow & A^{n+1} & \rightarrow & \cdots \end{array}$$

Easy fact: $\tau_{\geq n}, \tau_{\leq n}, \tau_{\leq n}, \tau_{\geq n}$ induce functors: $C(\mathcal{A}) \rightarrow C(\mathcal{A})$

"Pf": Take $\tau_{\leq n}$ for example and the other three are exercises

If $A^\bullet = \cdots \rightarrow A^{n+1} \xrightarrow{d^{n+1}} A^n \xrightarrow{d^n} A^{n+1} \rightarrow \cdots$ is a morphism of

$$\begin{array}{ccccccc} A^\bullet & = & \cdots & \rightarrow & A^{n+1} & \xrightarrow{d^{n+1}} & A^n & \xrightarrow{d^n} & A^{n+1} & \rightarrow & \cdots \\ \downarrow f^\bullet & & & & \downarrow f^{n+1} & & \downarrow f^n & & \downarrow f^{n+1} & & \\ B^\bullet & = & \cdots & \rightarrow & B^{n+1} & \xrightarrow{d^{n+1}} & B^n & \xrightarrow{d^n} & B^{n+1} & \rightarrow & \cdots \end{array}$$

cochain complexes, then $d^n \circ f^n = f^{n+1} \circ d^n$
 $\Rightarrow d^n \circ f^n(\ker d^n) = 0 \Rightarrow f^n(\ker d^n) \subseteq \ker d^n$

Hence by restricting f^n to $\ker d^n = (\tau_{\leq n}(A^\bullet))^n$, we get a morphism of cochain complexes

$$\begin{array}{ccccccc} \tau_{\leq n} A^\bullet & = & \cdots & \rightarrow & A^{n+1} & \rightarrow & \ker d^n & \rightarrow & 0 & \rightarrow & \cdots \\ \downarrow \tau_{\leq n} f & & & & \downarrow f^{n+1} & & \downarrow f^n & & \downarrow & & \\ \tau_{\leq n} B^\bullet & = & \cdots & \rightarrow & B^{n+1} & \rightarrow & \ker d^n & \rightarrow & 0 & \rightarrow & \cdots \end{array}$$

Exercise: Prove that $\tau_{\leq n} \circ \tau_{\geq n} = \tau_{\geq n} \circ \tau_{\leq n} = H^n$

Why are $\tau_{\leq n}, \tau_{\geq n}$ called canonical while $\sigma_{\geq n}, \sigma_{\leq n}$ are called stupid?

Lemma: ① $\tau_{\leq n}, \tau_{\geq n}$ induce functors $\tau_{\leq n}, \tau_{\geq n}: \mathcal{X}(\mathcal{A}) \rightarrow \mathcal{X}(\mathcal{A})$ (a)
 and $\tau_{\leq n}, \tau_{\geq n}: D(\mathcal{A}) \rightarrow D(\mathcal{A})$. (b)
 ② $\sigma_{\leq n}, \sigma_{\geq n}$ cannot be defined on $\mathcal{X}(\mathcal{A})$ or $D(\mathcal{A})$

Idea of the proof (details left as an exercise)

① For (a), need to prove that if $f, g: A \rightarrow B$ are homotopic, then $\tau_{\leq n}(f)$ and $\tau_{\leq n}(g)$ are also homotopic.

For (b), need to prove that if $f: A \rightarrow B$ is a quasisisomorphism, then so is $\tau_{\leq n}(f)$.

② Let $A = \dots \rightarrow 0 \rightarrow A \xrightarrow{d^{n-1}} A \oplus B \xrightarrow{d^n} B \rightarrow 0 \rightarrow \dots$ degree n
 $d^{n-1}(a) = (a, 0)$
 $d^n(a, b) = b$

Then Id_A is homotopic to zero via

$$\begin{array}{ccccccc} A = & \dots & \rightarrow & 0 & \rightarrow & A & \rightarrow & A \oplus B & \rightarrow & B & \rightarrow & 0 & \rightarrow & \dots \\ & & & & & \parallel & \swarrow h^n & \parallel & \swarrow h^{n+1} & \parallel & & & & \\ A = & \dots & \rightarrow & 0 & \rightarrow & A & \rightarrow & A \oplus B & \rightarrow & B & \rightarrow & 0 & \rightarrow & \dots \end{array}$$

where $h^n(b) = (0, b)$

$h^n(a, b) = a$

But $\sigma_{\leq n} A = \dots \rightarrow 0 \rightarrow A \rightarrow A \oplus B \rightarrow 0 \rightarrow \dots$ with $H^n \cong B \neq 0$

$\sigma_{\geq n} A = \dots \rightarrow 0 \rightarrow A \oplus B \rightarrow B \rightarrow 0 \rightarrow \dots$ with $H^n \cong A \neq 0$

Hence $\sigma_{\leq n}(\text{Id}_A)$ and $\sigma_{\geq n}(\text{Id}_A)$ are not homotopic to 0.

Properties of truncations (This is the prototype of a t-structure)

Let $D^{\geq n}(\mathcal{A})$ be the full subcategory of $D(\mathcal{A})$ consisting of all A s.t. $H^k(A) = 0 \quad \forall k < n$, and $D^{\leq n}(\mathcal{A})$ be the full subcategory of $D(\mathcal{A})$ consisting of all A s.t. $H^k(A) = 0 \quad \forall k > n$.

Then (a) $D^{\leq 0}(\mathcal{A})$, $D^{\geq 0}(\mathcal{A})$ are strictly full subcategories of $D(\mathcal{A})$
(b) $D^{\leq n}(\mathcal{A}) = \Sigma^{-n} D^{\leq 0}(\mathcal{A})$, $D^{\geq n}(\mathcal{A}) = \Sigma^{-n} D^{\geq 0}(\mathcal{A})$

and

$$(t1) \quad D^{\leq 0}(\mathcal{A}) \subseteq D^{\leq 1}(\mathcal{A}), \quad D^{\geq 0}(\mathcal{A}) \supseteq D^{\geq 1}(\mathcal{A})$$

$$(t2) \quad \text{Hom}_{D(\mathcal{A})}(X, Y) = 0 \text{ for } X \in D^{\leq 0}(\mathcal{A}) \text{ and } Y \in D^{\geq 1}(\mathcal{A})$$

(t3) For any $X \in D(\mathcal{A})$, \exists an exact triangle

$$A \rightarrow X \rightarrow B \rightarrow \Sigma A \text{ such that } A \in D^{\leq 0}(\mathcal{A})$$

and $B \in D^{\geq 1}(\mathcal{A})$

Exercise $i: \mathbb{Z}_{\leq n} A \rightarrow A$ is quasiisom iff $A \in D^{\leq n}(\mathcal{A})$
 $g: A \rightarrow \mathbb{Z}_{\geq n} A$ is quasiisom iff $A \in D^{\geq n}(\mathcal{A})$

Sketch of the proof: (t1) is directly by the definition.

For (t2), (t3), we can prove stronger versions

(t2)' If $m > n$, then $\text{Hom}_{D(\mathcal{A})}(X, Y) = 0$

for $X \in D^{\leq n}(\mathcal{A})$ and $Y \in D^{\geq m}(\mathcal{A})$

(t3)' For any $X \in D(\mathcal{A})$, \exists an exact triangle

$A \rightarrow X \rightarrow B \rightarrow \Sigma A$ such that $A \in D^{\leq 0}(\mathcal{A})$

and $B \in D^{\geq 1}(\mathcal{A})$

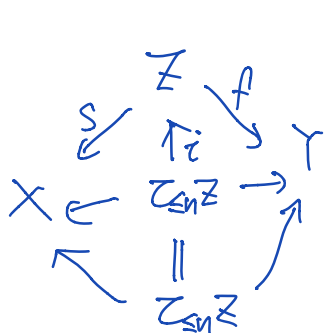
(t2): Since $Y \in D^{\geq m}(\mathcal{A})$, we have $Y \cong \tau_{\geq m}(Y)$

By replacing Y with $\tau_{\geq m}(Y)$, we can assume that $Y^g = 0$ if $g \leq m$.

Given a roof $X \xleftarrow{s} Z \xrightarrow{f} Y$ with s a

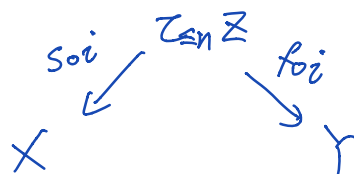
quasiisomorphism, we have $H^g(Z) = H^g(X) = 0$ if $g > n$,

hence $\tau_{\leq n} Z \xrightarrow{i} Z$ in $D(\mathcal{A})$.



Thus the roof $X \xleftarrow{s} Z \xrightarrow{f} Y$

is equivalent to the roof



Now $f \circ i: \mathcal{Z}_{\leq n} Z \rightarrow Y$ is a homotopy class of cochain maps from $\mathcal{Z}_{\leq n} Z$ to Y with $(\mathcal{Z}_{\leq n} Z)^g = 0$ when $g > n$ and $Y^g = 0$ when $g < m$, and $m > n$

$$\begin{array}{cccccccccccc} \mathcal{Z}_{\leq n} Z = & \cdots & \rightarrow & Z^{n+1} & \rightarrow & \ker d^n & \rightarrow & 0 & \rightarrow & \cdots & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & \cdots \\ f \circ i \downarrow & & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ Y = & \cdots & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & \cdots & \rightarrow & 0 & \rightarrow & Y^m & \rightarrow & Y^{m+1} & \rightarrow & \cdots \end{array}$$

Hence $f \circ i = 0$.

Exercise "Imitating" the above proof, prove that the natural functor $D: \mathcal{A} \rightarrow D(\mathcal{A})$ is fully faithful.

$$A \longmapsto (\cdots \rightarrow 0 \rightarrow A \rightarrow 0 \rightarrow \cdots)$$

\hookrightarrow degree 0

Hence $\mathcal{A} \cong$ the essential image of D
 $\cong D^{\leq 0}(\mathcal{A}) \cap D^{\geq 0}(\mathcal{A})$ (Hint: use truncation again).

In particular, we have

$D^{\leq 0}(\mathcal{A}) \cap D^{\geq 0}(\mathcal{A})$ is a full abelian subcategory of $D(\mathcal{A})$

Proof of (†3): It is a direct result of the following \square

Proposition: $\forall A' \quad \forall n \in \mathbb{Z}, \exists$ a unique morphism in $D(\mathcal{A})$

$h: \tau_{\geq n+1} A' \rightarrow \Sigma \tau_{\leq n} A'$ such that

$\tau_{\leq n} A' \xrightarrow{i} A' \xrightarrow{g} \tau_{\geq n+1} A' \xrightarrow{h} \Sigma \tau_{\leq n} A'$ is an exact triangle in $D(\mathcal{A})$

Idea of the proof

Step 1: Build exact triangles in $D(\mathcal{A})$ from SES's in $C(\mathcal{A})$.

More precisely, if $0 \rightarrow X' \xrightarrow{f} Y' \xrightarrow{g} Z' \rightarrow 0$ is an SES in $C(\mathcal{A})$

then \exists (not necessarily unique) a morphism $Z' \rightarrow \Sigma X'$ in

$D(\mathcal{A})$ (important! in general it doesn't exist in $K(\mathcal{A})$)

s.t. $X' \rightarrow Y' \rightarrow Z' \rightarrow \Sigma X'$ is an exact triangle in $D(\mathcal{A})$

Idea of the proof: $m: C(f)' \rightarrow Z'$ defined by

$m^n: X^{n+1} \oplus Y^n \rightarrow Z^n$ is a quasiisomorphism

$(x, y) \mapsto g(y)$

Hence $\begin{array}{ccc} & C(f)' & \\ m \swarrow & & \searrow \\ Z' & & \Sigma X' \end{array}$ defines a morphism in $D(\mathcal{A})$

Step 2: Consider the SES in $CG(A)$

$$0 \rightarrow \tau_{\leq n} A' \xrightarrow{i} A' \rightarrow Q' \rightarrow 0$$

By the definition of $\tau_{\leq n}$, we have $Q^i = \begin{cases} 0 & i < n \\ A^n / \ker d^n & i = n \\ A^i & i > n \end{cases}$

$$\begin{array}{ccccccc} Q' = & \dots & 0 \rightarrow & A^n / \ker d^n & \xrightarrow{d^n} & A^{n+1} & \rightarrow A^{n+2} \rightarrow \dots \\ \text{and} & & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ \tau_{\geq n+1} A' = & & 0 & \rightarrow & 0 & \rightarrow & \text{coker } d^{n+1} \rightarrow A^{n+2} \rightarrow \dots \end{array} \quad \text{is a quasiisomorphism}$$

Hence $Q' \cong \tau_{\geq n+1} A'$ in $D(A)$ and by Step 1

\exists morphism $h: \tau_{\geq n+1} A' \rightarrow \Sigma \tau_{\leq n} A'$ in $D(A)$

st. $\tau_{\leq n} A' \xrightarrow{i} A' \xrightarrow{g} \tau_{\geq n+1} A' \xrightarrow{h} \Sigma \tau_{\leq n} A'$ is an exact triangle.

Step 3: It remains to prove the uniqueness of h .

It follows from the second lemma on page 12. \square

Furthermore, we have

Proposition:

① $\tau_{sn}: D(\mathcal{A}) \rightarrow D^{\leq n}(\mathcal{A})$ is a right adjoint of the inclusion functor $D^{\leq n}(\mathcal{A}) \rightarrow D(\mathcal{A})$

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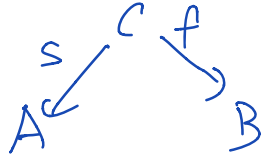
Idea of the proof: ① Need to prove: if $A \in D^{\leq n}(\mathcal{A})$

$$\text{then } \text{Hom}_{D(\mathcal{A})}(A, \tau_{sn} B) \cong \text{Hom}_{D(\mathcal{A})}(A, B)$$

$$\varphi \longmapsto i \circ \varphi$$

recall $i: \tau_{sn} B \rightarrow B$

Given a roof



we can replace C

by $\tau_{sn} C, \dots$ \square

• t-Structure and heart

Definition: Let \mathcal{D} be a triangulated category. A t-structure on \mathcal{D} is a pair of strictly full subcategories $(\mathcal{D}^{\leq 0}, \mathcal{D}^{\geq 0})$ satisfying the following conditions:

If we put $\mathcal{D}^{\leq n} = \Sigma^{-n}(\mathcal{D}^{\leq 0})$ and $\mathcal{D}^{\geq n} = \Sigma^{-n}(\mathcal{D}^{\geq 0})$

then (t1) $\mathcal{D}^{\leq 0} \subseteq \mathcal{D}^{\leq 1}$, $\mathcal{D}^{\geq 0} \supseteq \mathcal{D}^{\geq 1}$

(t2) $\text{Hom}_{\mathcal{D}}(X, Y) = 0$ for $X \in \mathcal{D}^{\leq 0}$ and $Y \in \mathcal{D}^{\geq 1}$

(t3) For any $X \in \mathcal{D}$, \exists an exact triangle

$$A \rightarrow X \rightarrow B \rightarrow \Sigma A \text{ such that } A \in \mathcal{D}^{\leq 0}$$

and $B \in \mathcal{D}^{\geq 1}$

The heart of this t-structure is $\mathcal{D}^{\leq 0} \cap \mathcal{D}^{\geq 0}$

Lemma: The Δ in (t3) is unique. More precisely,

if
$$\begin{array}{ccccccc} A & \rightarrow & X & \rightarrow & B & \rightarrow & \Sigma A \\ & & \parallel & & & & \\ A' & \rightarrow & X & \rightarrow & B' & \rightarrow & \Sigma A' \end{array}$$
 are both exact with $A, A' \in \mathcal{D}^0$ and $B, B' \in \mathcal{D}^{\geq 1}$

then \exists unique u, v s.t.
$$\begin{array}{ccccccc} A & \rightarrow & X & \rightarrow & B & \rightarrow & \Sigma A \\ \downarrow u & & \parallel & & \downarrow v & & \downarrow \Sigma u \\ A' & \rightarrow & X & \rightarrow & B' & \rightarrow & \Sigma A' \end{array}$$
 commutes.

Furthermore, they give an isomorphism of Δ 's.

Idea of the proof: It follows from the following lemma.

Lemma: Given
$$\begin{array}{ccccccc} X & \xrightarrow{f} & Y & \xrightarrow{g} & Z & \xrightarrow{h} & \Sigma A \rightarrow \text{exact} \\ & & \downarrow v & & & & \\ X' & \xrightarrow{f'} & Y' & \xrightarrow{g'} & Z' & \xrightarrow{h'} & \Sigma A' \rightarrow \text{exact} \end{array}$$

If $g' \circ v \circ f = 0$, then $\exists u, w$ such that

$$\begin{array}{ccccccc} X & \xrightarrow{f} & Y & \xrightarrow{g} & Z & \xrightarrow{h} & \Sigma A \\ \downarrow u & & \downarrow v & & \downarrow w & & \downarrow \Sigma u \\ X' & \xrightarrow{f'} & Y' & \xrightarrow{g'} & Z' & \xrightarrow{h'} & \Sigma A' \end{array}$$

commutes

If furthermore $\text{Hom}_{\mathcal{D}}(X, Z'[1]) = 0$, then u and w are unique.

Proof as an exercise (Hint, $\text{Hom}_{\mathcal{D}}(\)$ induces long exact sequences)



Proposition ① $\exists \tau_{\leq n}: \mathcal{D} \rightarrow \mathcal{D}^{\leq n}$ a right adjoint of the inclusion functor

② $\exists \tau_{\geq n}: \mathcal{D} \rightarrow \mathcal{D}^{\geq n}$ a left adjoint of the inclusion functor

Idea of the proof: $\forall X \in \mathcal{D}, \forall n \in \mathbb{Z}$, from (t3)
 $\exists!$ $A \rightarrow X \rightarrow B \rightarrow \Sigma A$ exact. Define $\tau_{\leq n} X = A$ and $\tau_{\geq n+1} X = B$. \square

Theorem. The heart $\mathcal{D}^{\geq 0} \cap \mathcal{D}^{\leq 0} = \mathcal{A}$ is a full abelian subcategory of \mathcal{D}

• Furthermore, for $A, B, C \in \mathcal{A}$, $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$ is exact in \mathcal{A} if and only if $\exists C \xrightarrow{h} \Sigma A$ in \mathcal{D} st.

$A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} \Sigma A$ is an exact triangle in \mathcal{D} .

Idea of the proof: The second statement is actually how we prove that \mathcal{A} is abelian, i.e. we find kernels and cokernels by exact triangles. \square

Exercise: proof the second statement directly for the natural t-structure on $D(\mathcal{A})$.

Proposition: $\tau_{\leq 0} \circ \tau_{\geq 0} = \tau_{\geq 0} \circ \tau_{\leq 0} : \mathcal{D} \rightarrow \mathcal{A}$

is a cohomological functor, denote by ${}^t H^0$.

• t -exact functors

Def: Let \mathcal{D} and \mathcal{D}' be triangulated categories endowed with t -structures $(\mathcal{D}^{\leq 0}, \mathcal{D}^{\geq 0})$ and $(\mathcal{D}'^{\leq 0}, \mathcal{D}'^{\geq 0})$.

A triangulated functor $F: \mathcal{D} \rightarrow \mathcal{D}'$ is called

- right exact if $F(\mathcal{D}^{\leq 0}) \subseteq \mathcal{D}'^{\leq 0}$
- left exact if $F(\mathcal{D}^{\geq 0}) \subseteq \mathcal{D}'^{\geq 0}$
- exact if both right exact and left exact.

• Some comments

• Derived equivalence: There exist abelian categories $\mathcal{A} \not\cong \mathcal{B}$ with $D(\mathcal{A}) = D(\mathcal{B}) = \mathcal{D}$ (this is called "derived equivalence").

This implies that \mathcal{D} admits two non-equivalent t-structures, one with heart \mathcal{A} and the other with heart \mathcal{B} .

A such example is where $\mathcal{A} = \text{Coh}(\mathbb{P}^1(k))$ k a field

$\mathcal{B} = k\text{-reps of the quiver } \bullet \rightrightarrows \bullet$

• In all of the discussions, we can replace $D(\mathcal{A})$ by

$D^b(\mathcal{A}), D^+(\mathcal{A}), D^-(\mathcal{A})$ where

$D^b(\mathcal{A}) =$ full subcategory of $D(\mathcal{A})$ consisting of A^i s.t. $A^i = 0$ when $|i| > 0$

$D^+(\mathcal{A}) =$ full subcategory of $D(\mathcal{A})$ consisting of A^i s.t. $A^i = 0$ when $i < 0$

$D^-(\mathcal{A}) =$ full subcategory of $D(\mathcal{A})$ consisting of A^i s.t. $A^i = 0$ when $i > 0$

Alternatively, we can also construct $D^b(\mathcal{A}), D^+(\mathcal{A}), D^-(\mathcal{A})$

from $C^b(\mathcal{A}), C^+(\mathcal{A}), C^-(\mathcal{A})$ (bounded (below/above) cochains).

Exercise: Use truncation functors to prove that the two constructions are the same

- Warning: Let \mathcal{D} be a triangulated category $(\mathcal{D}^{\leq 0}, \mathcal{D}^{\geq 0})$ be a t-structure, and $\mathcal{A} = \mathcal{D}^{\leq 0} \cap \mathcal{D}^{\geq 0}$ be the heart.

In general it is not true that $D(\mathcal{A}) \cong \mathcal{D}$!

Even the existence of a functor $D(\mathcal{A}) \rightarrow \mathcal{D}$ that preserves \mathcal{A} is not guaranteed. (If exist, it's called a realization functor,

see Achar's note Thm A.7.16)

Stupid example: $\mathcal{D} = D^b(\mathcal{A})$ (instead of $D(\mathcal{A})$)