

Today I want to discuss class field theory, but first we should understand the problem, and recall some infinite Galois theory.

Basic Q: determine all finite extensions of a number field K (e.g. $\mathbb{Q}$).

Certainly this is a fundamental question in number theory.

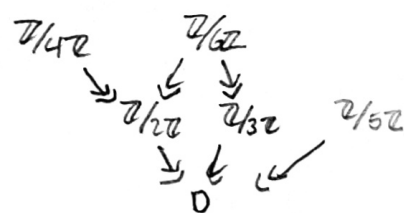
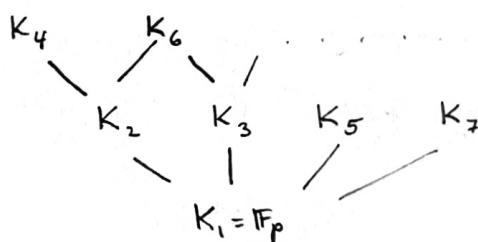
For most questions of the form: How many number fields satisfy blah?

the answer is unknown.

Let us consider an example where we can say something.

Example: Let $K = \mathbb{F}_p$, fix $\bar{K}$ an algebraic closure.

For any $n \geq 1$ there is a unique subfield K_n with p^n -elements.



Let us calculate $\text{Gal}(\bar{K}/K)$.

$$\bar{K} = \bigcup_{n \geq 1} K_n \Rightarrow \text{Gal}(\bar{K}/K) \hookrightarrow \prod_n \text{Gal}(K_n/K) \cong \prod_n \text{Frob}_{p^n}$$

$$\psi \mapsto (\psi_n)$$

Sequence is in the image $\iff \psi_m = \psi_n \text{ mod } m \text{ whenever } m|n$.

Hence: $\text{Gal}(\bar{K}/K) = \varprojlim \mathbb{Z}/n\mathbb{Z} = \hat{\mathbb{Z}}$ "profinite completion of $\mathbb{Z}$ "

Exercise: (a) $\hat{\mathbb{Z}} = \prod_{p \text{ prime}} \mathbb{Z}_p$

(b) Show that $\hat{\mathbb{Z}}$ has uncountably many subgroups, hence naive Galois correspondence can't hold.

Infinite Galois Theory L/K Galois : algebraic, normal, separable.

$$\text{Gal}(L/K) \hookrightarrow \prod_{K \subset L' \subset L} \text{Gal}(L'/K)$$

finite Galois

image determined by: $\forall$

$$\varphi_{L''} \in \text{Gal}(L''/L)$$

$\downarrow$

$$\varphi_L \in \text{Gal}(L'/L)$$

i.e. $\text{Gal}(L/K) = \varprojlim_{K \subset L' \subset L} \text{Gal}(L'/K)$

finite Galois

If we give the product topology then inherits the subspace topology.

Hence $\text{Gal}(L/K)$ becomes a topological group.

Exercise (important, can be used as a definition):

Basis of open nbhds of $1 \in \text{Gal}(L/K)$ given by kernels of

$$\text{Gal}(L/K) \rightarrow \text{Gal}(L'/K) \text{ for } \begin{matrix} L' \\ \vdots \\ K \end{matrix} \text{ finite Galois.}$$

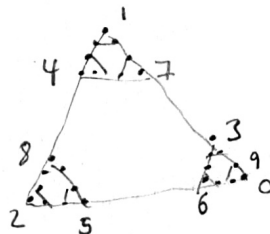
Exercise: $\prod \text{Gal}(L'/K)$ is compact, $\text{Gal}(L/K) \subset \prod$ is closed, hence compact.

(E.g. $\hat{\mathbb{Z}}$ is compact, which might look strange).

[G a group, $\hat{G} = \varprojlim G/H$ H finite index normal, G profinite if $G \cong \hat{G}$.

Key example: $\mathbb{Z}_p = \varprojlim \mathbb{Z}/p^n\mathbb{Z}$, what does it look like?

$p=3$:



"Galois groups are fractal like objects"
and profinite groups

Fundamental Theorem of Infinite Galois Theory L/K Galois.

$$\left\{ \begin{array}{c} L \\ \vdots \\ L' \\ \vdots \\ K \end{array} \right\} \longleftrightarrow \begin{array}{c} \text{closed subgroups} \\ \text{of } \text{Gal}(L/K) \end{array}$$

$$\begin{array}{ccc} L^H & \longleftrightarrow & \bigcup H \\ L' & \longleftrightarrow & \text{Gal}(L/L') \end{array}$$

Theorem:

$$\begin{array}{ccc} \text{finite ext's} & \longleftrightarrow & \text{open and closed} \\ \text{Galois} & \longleftrightarrow & \text{normal.} \end{array}$$

Exercise: Any closed subgroup of $\hat{\mathbb{Z}}$ is of the form $n\hat{\mathbb{Z}}$ for $n \in \mathbb{Z}$.

$$n \geq 1 \longleftrightarrow K_n, \quad n=0 \longleftrightarrow \bar{K}. \\ \text{(only closed subgroup which isn't open).}$$

Let us revisit our problem: describe the closed subgroups of $\text{Gal}(\bar{K}/K)$.

Note that this isn't really well-defined (from a philosophical point of view) because $\bar{K}$ involves a choice. Thus $\text{Gal}(\bar{K}/K)$ is only really a "group up to conjugacy". (or many many choices if you want)

Thought experiment: Show that the isomorphism classes of representations of a "group up to conjugacy" are canonical. This is one reason representations are so important in the Langlands program!

On the other hand the maximal abelian extension K^{ab} is canonical, and we can hope to describe it.

$$\left(K^{ab} \text{ is the extension corresponding to } \overline{[\text{Gal}(\bar{K}/K), \text{Gal}(\bar{K}/K)]} \right).$$

Global class-field theory: first version

Key example: $\zeta_n = e^{2\pi i/n}$ $\mathbb{Q}(\zeta_n)/\mathbb{Q}$ Galois w/ Galois group $(\mathbb{Z}/n\mathbb{Z})^\times$.

$\mathbb{Q}(\mu_\infty) := \bigcup_{n \geq 1} \mathbb{Q}(\zeta_n)$ abelian w/ Galois group

$$\varprojlim_n (\mathbb{Z}/n\mathbb{Z})^\times = \prod_p \mathbb{Z}_p^\times.$$

Fact ("Kronecker Jugendtraum"): $\mathbb{Q}(\mu_\infty) = \mathbb{Q}^{\text{ab}}$.

not easy!
(will follow
from global
class field theory)

How do we predict this starting from $\mathbb{Q}$?

Exercise: Use Jugendtraum to show that any

continuous $\chi: \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \mathbb{C}^\times$ "is" a Dirichlet character.

Given a number field or function field it is useful to consider

all norms at once. A place of K is an equivalence class of

nontrivial multiplicative norms $\|\cdot\|: K \rightarrow \mathbb{R}_{>0}$ on K .

Thm: All places of a number field K are of the following form:

finite places: $|x|_v := \left(\# \mathcal{O}_K / \mathfrak{p}_v \right)^{-\text{val}_{\mathfrak{p}_v}(x)}$ for $\mathfrak{p}_v \in \mathcal{O}_K$ prime.

real places: $|x|_v := |i(x)|$ for each real embedding $i: K \hookrightarrow \mathbb{R}$

complex places: $|x|_v := \|i(x)\|^2$ for each pair of conjugate embeddings $i: K \hookrightarrow \mathbb{C}$.

The reason for the above normalisation is the beautiful:

Product formula:

$$\prod_{\text{places } v} |x|_v = 1.$$

↑
makes sense because
finitely many are $\neq 1$.

Function field case:

"# zeroes and poles agree"

Global class field theory according to Artin:

Fix L/K abelian. $\mathcal{O}_L$ nups of integers. $\mathcal{O}_K$

set of places including $S :=$ ramified primes $\subset \mathcal{O}_K$ and places at ∞ .

We have seen: $p \subset \mathcal{O}_K$ prime, $p \notin S \rightsquigarrow \text{Frob}_p \in \text{Gal}(L/K)$
conjugacy class in general, but is abelian, hence we get an elt.

Hence get a map $A: \begin{matrix} S \\ U \\ \mathcal{I}^S \\ \text{ii} \\ \bigoplus_{p \notin S} \mathbb{Z}_p \end{matrix} \xrightarrow[\text{map}]{\text{Artin}} \text{Gal}(L/K).$
 $\Psi: \mathcal{I}^S \rightarrow \text{Frob}_p$
 $A: \sum_{i=1}^m n_i p_i \mapsto \prod_{i=1}^m \text{Frob}_{p_i}^{n_i}$

Q: How to determine the kernel of Artin map?

Given a finite set of places S a modulus supported in S

is a formal linear combination $m = \sum n_i v_i$ s.t.

$n_i \geq 0$ and $n_i \in \{0, 1\}$ for real v_i and $n_i = 0$ for complex v_i .

$K^\times \xrightarrow{\text{val}} \mathcal{I} = \text{group of fractional ideals} = \bigoplus_{p \notin S} \mathbb{Z}_p$
 $\text{image} = \text{principal ideals}$
 $K^S \xrightarrow{\text{val}} \mathcal{I}^S$
 $K^{m,1} = \{ \alpha \in K^S \mid \text{val}_p(\alpha-1) \geq n_i \text{ finite places} \}$
 $\mathcal{I}^S \alpha$ is m -close to 1 i.e.

$\alpha > 0$ $n_i = 1$ real places.

Ray class group: $Cl_K^m := \mathcal{I}^S / \text{val}(K^{m,1})$.

Example: If $m=0$, Cl_K is the class group of K .

Thm: For any modulus Cl_K^m is finite and surjects onto the class group of K .
(finiteness)

Thm: "Any $\frac{L}{K}$ as above admits a modulus".

That is, there exists a modulus m with support in S such that $\text{val}(K^{m,1})$ is contained in the kernel of the Artin map. I.e.

$$\text{Artin: } Cl_K^m \longrightarrow \text{Gal}(L/K)$$

Example: $\frac{\mathbb{Q}(i)}{\mathbb{Q}}$, $S = \{2, \infty\}$. For any $p \neq 2$,
 $\text{Frob}_p(i) = i^p$, hence
 $\text{Frob}_p \mapsto p \bmod 4 \in (\mathbb{Z}/4\mathbb{Z})^\times = \text{Gal}(\mathbb{Q}(i)/\mathbb{Q})$.

$$\mathcal{I}^S = \left\{ \frac{a}{b} \in \mathbb{Q} \mid a \text{ and } b \text{ coprime to } 2, a, b > 0 \right\} = \mathbb{Z}_{(2), >0}^\times$$

Artin map is the natural map $\mathbb{Z}_{(2), >0}^\times \rightarrow (\mathbb{Z}/4\mathbb{Z})^\times$.

$$\text{Kernel} = \left\{ \lambda \in \mathbb{Z}_{(2), >0}^\times \mid \text{val}_2(\lambda - 1) \geq 2 \right\} \longleftarrow \text{"congruence condition at 2"}$$

Exercise: If $m = m(\infty) = \text{map } Cl_{\mathbb{Q}}^m = (\mathbb{Z}/m\mathbb{Z})^\times$.

Hence, letting m become more and more divisible

$$\text{gives } \text{Gal}(\mathbb{Q}^{ab}/\mathbb{Q}) = \varprojlim (\mathbb{Z}/m\mathbb{Z})^\times = \prod_{p \text{ prime}} \mathbb{Z}_p^\times$$

Existence Theorem: For any modulus m and any quotient $\text{Cl}_K^m \xrightarrow{q} Q$
 there exists an extension L of K ramified only at primes in the support of m
 and such that

$$\begin{array}{ccc} \text{Cl}_K^m & \xrightarrow{\text{Artin}} & \text{Gal}(L/K) \text{ commutes.} \\ & \searrow q & \parallel \\ & & Q \end{array}$$

Example: If $m=0$ then $\text{Cl}_K^m = \text{Cl}$. Hence there exists $\begin{smallmatrix} L \\ | \\ K \end{smallmatrix}$
 unramified everywhere w/ $\text{Gal}(L/K) = \text{Cl}_K$. This extension is the
Hilbert class field.

Remark: Back to our counting problems: the finitely many weird primes
 (ramified) are precisely the primes determining our convergences.

Local class field theory: Between the "easy" case of finite fields and the
 complicated world of global fields lies the world of local fields.

K : field equipped w/ a discrete valuation $v_K: K \rightarrow \mathbb{Z} \cup \{\infty\}$.

ring of integers $\mathcal{O}_K = v^{-1}(\mathbb{Z}_{\geq 0} \cup \{\infty\})$ $k_K := \mathcal{O}_K / \mathfrak{m}_K$ residue field.

$\bigcup \mathfrak{m}_K^{-n} = v^{-1}(\mathbb{Z}_{>0} \cup \{\infty\}) \ni \pi$ a uniformiser.

For K local field with mean $\textcircled{1}$ K is complete wrt v_K ,
 in other words, K has the topology coming

$$\text{from } \mathcal{O}_K = \varprojlim \mathcal{O}_K / \mathfrak{m}_K^n.$$

$\textcircled{2}$ k_K is finite.

Exercise: $\textcircled{1}$ and $\textcircled{2}$ are equivalent to K being locally compact.

e.g.: $\mathbb{Q}_p$ is locally compact, covered by dilates of $\mathbb{Z}_p$.

$\begin{array}{c} L \\ | \\ K \end{array}$ finite, Galois any elt $\sigma \in \text{Gal}(L/K)$ preserves $\begin{array}{c} \mathcal{O}_L \\ | \\ \mathcal{O}_K \end{array}$, $\begin{array}{c} m_L \\ | \\ m_K \end{array}$ and hence acts on $\begin{array}{c} k_L \\ | \\ k_K \end{array}$.

We get a map

$$\begin{array}{c} I_{L/K} \\ \uparrow \\ \text{inertia subgroup} \end{array} \longrightarrow \text{Gal}(L/K) \twoheadrightarrow \text{Gal}(k_L/k_K) \xrightarrow{\parallel} \mathbb{Z}/d\mathbb{Z}, \text{ generated by Frobenius.}$$

For $L = \bar{K}$ we get: $I_{\bar{K}/K} \rightarrow \text{Gal}(\bar{K}/K) \twoheadrightarrow \text{Gal}(\bar{k}_K/k_K) \xrightarrow{\parallel} \mathbb{Z}$

$$\text{Gal}(\bar{K}/K)^{ab} \twoheadrightarrow \hat{\mathbb{Z}}$$

Local class field theory: There exists a natural homomorphism

$r_K: \text{Gal}_K(K^\times) \rightarrow \text{Gal}(\bar{K}/K)^{ab}$
 with dense image such that r_K induces an isomorphism $\hat{K}^\times \xrightarrow{\sim} \text{Gal}(\bar{K}/K)^{ab}$
 $\uparrow$
 completion art subgroups of finite index, profinite completion.

Moreover:

(1)
$$\begin{array}{ccccc}
 I_{\bar{K}/K}^{ab} & \hookrightarrow & \text{Gal}(\bar{K}/K)^{ab} & \twoheadrightarrow & \text{Gal}(\bar{k}_K/k_K) \\
 \uparrow & & \uparrow & \xrightarrow{\text{res}} & \uparrow \\
 \mathcal{O}_K^\times & \hookrightarrow & K^\times & \twoheadrightarrow & \mathbb{Z}
 \end{array}$$
 commutes.

(2)
$$\begin{array}{ccc}
 \bar{K} & & \text{Gal}(\bar{K}/L)^{ab} \longleftarrow L^\times \\
 | & & \downarrow \text{res} \qquad \qquad \downarrow \text{Norm}_{L/K} \\
 L & \text{all Galois} & \\
 | & & \text{Gal}(\bar{K}/K)^{ab} \longleftarrow K^\times \\
 K & &
 \end{array}$$
 commutes.

Remark: In fact, r_K is uniquely determined by these properties