

Two lectures ago:

description of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  is not really well-defined because it depends on a choice of  $\overline{\mathbb{Q}}$ . Thus  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  is a "group up to conjugacy". I didn't explain this well, but the following analogy, which I learnt from K. Buzzard, is useful.

path connected space  $X$



$\pi_1(X, x)$  fundamental group.

Isomorphism

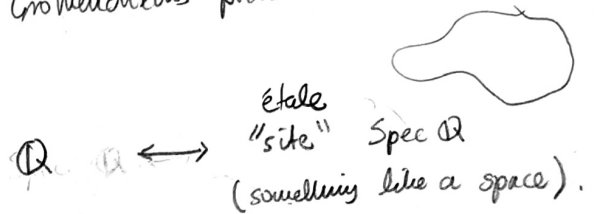
$$\pi_1(X, x) \cong \pi_1(Y, y)$$

depends on non-canonical choice of path  $x \rightsquigarrow y$ .

VERBAL: This water

Punchline:  $\pi_1(X, x)$  is not canonical but  $\text{Rep } \pi_1(X, x)$  is!  
 $\text{Rep } \pi_1(X, x) \cong \text{Loc}(X) \leftarrow$  independent of choice of base point.

Grothendieck's picture:



choice of  $\overline{\mathbb{Q}}$   $\leftrightarrow$  "base point" of  $\text{Spec } \mathbb{Q}$ .

$$\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) = \pi_1^{\text{ét}}(\text{Spec } \mathbb{Q}, \overline{\mathbb{Q}}).$$

Last time we stated:

Let  $K$  be a local field.  
 $W_K$  the Weil group.

Local Langlands conjecture for  $\text{GL}_n$ :

$$\{ \text{n-dim reps of } W_K \} \xleftrightarrow{\sim} \{ \text{irreducible representations of } \text{GL}_n(K) \}$$

and we saw that it is true for  $n=1$  by local class field theory.

Actually, this statement is still not quite correct, the correct statement is

$$\{ \text{n-dim Weil-Deligne reps of } W_K \} \xleftrightarrow{1:1} \{ \text{smooth admissible irreducible representations of } \text{GL}_n(K) \}$$

Remark: irreducible  $\Rightarrow$  admissible by a theorem of Jacquet, hence Deligne missing on LHS.

## No small subgroups argument:

This is a very useful lemma ... I'm not sure where it should go. So why not here!

A topological group  $G$  has no small subgroups if there exists a nbhd  $U$  of the identity s.t. any subgroup contained in  $U$  is trivial.

Groups w/ no small subgroups:

① discrete groups,  $U = \{id\}$  e.g. any finite group.

②  $\mathbb{R}$   or  $\mathbb{C}$  

③ any Lie group (use that  $\exp: \text{Lie } G \rightarrow G$  is local diffeo. and  $\exp(mg) = \exp(g)^m$ .)



Lemma: Suppose  $\Gamma$  is profinite and  $G$  has no small subgroups.

Any continuous homomorphism  $\varphi: \Gamma \rightarrow G$  has finite image.

Proof: Let  $U \subset G$  be as in def. of no small subgroups.

Then  $\varphi^{-1}(U) \subset \Gamma$  is open. Hence there exists  $N \subset \varphi^{-1}(U)$  normal, w/  $G/N$  finite. Now  $\varphi(N) \subset U$  is a subgroup,

hence is trivial.  $\square$

Moral: Local like objects  
( $p$ -adic groups, Galois groups)

and Euclidean like objects  
(Lie groups)

only intersect in finite groups.

E.g. Can't draw good pictures of  $\mathbb{Z}_p$  in  $\mathbb{C}$  comp. w/ addition/mult.

You could have guessed LLC for  $GL_2$

Our goal here is to give a heuristic explanation for LLC.

Warning: This is imprecise, and much of what I

say must be tweaked later. It is nonetheless extremely helpful (at least it was for me).

I learnt this way of looking at things from some beautiful lectures of Dipendra Prasad in Russia.

Start w/ finite reductive groups, e.g.  $G = SL_2(\mathbb{F}_q)$ .

Up to conjugacy,  $G$  has two <sup>max</sup>tori  $T = \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix}$ ,  $a \in \mathbb{F}_q^\times$  "split".  $\cong \mathbb{Z}/(q-1)\mathbb{Z}$

$$T_a = \{ x \in \mathbb{F}_q^\times \subset GL_2(\mathbb{F}_q) \mid \text{Norm}(x) = 1 \}$$

"anisotropic".  $\cong \mathbb{Z}/(q+1)\mathbb{Z}$ .

Roughly: irreducible reps of  $SL_2(\mathbb{F}_q)$

$$\begin{matrix} \text{1:1} \\ \leftarrow \rightarrow \end{matrix} \left\{ \begin{matrix} \text{characters} \\ \text{of } T_s \end{matrix} \right\} \sqcup \left\{ \begin{matrix} \text{characters} \\ \text{of } T_a \end{matrix} \right\} / \sim$$

"principal series"

"discrete series".

$$\text{count} \sim \text{char. polys} \sim q = \frac{q-1}{2} + \frac{q+1}{2}$$

Another example:  $G = SL_2(\mathbb{R})$ .

$G$  has two <sup>max</sup>tori:  $T_s = \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix}$ ,  $a \in \mathbb{R}^\times$  "split"

$$T_a = SO_2 = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \quad \text{"anisotropic"}$$

$$\mathbb{R} \cup \mathbb{C}$$

Roughly: irreducible admissible reps. of  $SL_2(\mathbb{R})$

$$\begin{matrix} \text{1:1} \\ \leftarrow \rightarrow \end{matrix} \left\{ \begin{matrix} \text{characters} \\ \text{of } T_s \end{matrix} \right\} \sqcup \left\{ \begin{matrix} \text{characters} \\ \text{of } T_a \end{matrix} \right\}$$

"principal series"

"discrete series"

Let us dream that something similar is true for  $GL_2(K)$ :

Conjugacy classes of  
max. tori in  $GL_2(K)$   $\longleftrightarrow$  semi-simple  $K$ -algebras  $L$   
s.t.  $\dim_K L = 2$ .

Two cases:

① "split"  $L \cong K \times K$

② "anisotropic"  $L$  is a degree two extension of  $K$ .

Applying our analogy from earlier:

{ irreducible ...  
reps of  $GL_2(K)$  }  $\xleftrightarrow{\text{Roughly } 1:1}$  { pairs of chs.  
 $\chi_1, \chi_2: K^\times \rightarrow \mathbb{C}^\times$  }  $\sqcup$  { characters  
 $\vartheta: L^\times \rightarrow \mathbb{C}^\times$  where  
 $L/K$  is degree 2 }

"principal series"

By local class field theory,  $W_K^\times \xrightarrow{\sim} K^\times$  and  $W_L^\times \xrightarrow{\sim} L^\times$ .

Moreover,  $W_L \hookrightarrow W_K \rightarrow \mathbb{Z}/2\mathbb{Z}$ .

$\xleftrightarrow{\sim}$  {  $\chi_1 \otimes \chi_2: W_K \rightarrow GL_2(\mathbb{C})$  }  $\cup$  {  $\text{ind}_{W_L}^{W_K} \vartheta: W_K \rightarrow GL_2(\mathbb{C})$  }.

Fact: If  $p \neq 2$ , all cts Galois reps  $W_K \rightarrow GL_2(\mathbb{C})$  are of types ① or ②.

This gives us LLC for  $GL_2$  to the first degree of accuracy.

Remark: When  $p=2$  the same matching works, but there are more objects on both sides.

# Basic rep. theory of p-adic groups

$K$  local field,  $GL_n(K)$  is a topological group with

a nbhd basis of 1 given by  $K_n = \{g \in GL_n(\mathcal{O}_K) \mid g \equiv \text{id} \pmod{m^n}\}$ .

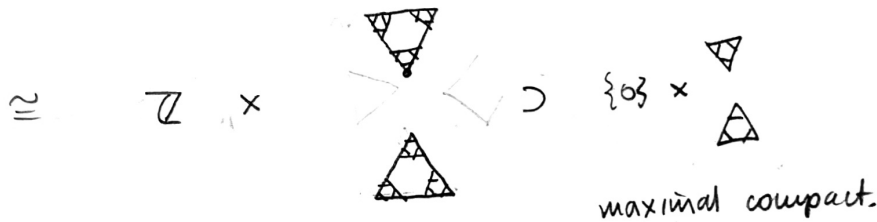
i.e.  $GL_n(\mathcal{O}_K)/K_n \cong GL_n(\mathcal{O}_K/m^n) \leftarrow$  finite group.

Prmk:  $K_n \subset GL_n(\mathcal{O}_K)$  are normal.

Exercise:  $K_0$  is in fact a maximal subgroup.

$K_0 = GL_n(\mathcal{O}_K) \subset GL_n(K)$  is a maximal compact subgroup.

Eg:  $GL_1(\mathbb{Q}_3) = \mathbb{Q}_3^\times = \mathbb{Z} \times \mathbb{Z}_3^\times = \mathbb{Z} \times \mu_2(\mathbb{Z}_3) \times (1+3\mathbb{Z}_3)$ .



A space is totally disconnected if every point has a basis of nbhds which are compact and open.

Because each  $K_n$  is compact and open,  $GL_n(K)$  is totally disconnected.

We assume char  $k = 0$ .

Let  $G$  be a totally disconnected group and  $V$  a vector space over a field  $k$  (possibly / probably!)  $\infty$ -dimensional. We assume no topology on  $V$ .

A representation  $\pi: G \rightarrow GL(V)$  is

• smooth if  $\forall v \in V$ , the stabiliser of  $v$  is open.

• admissible if  $\forall K \subset G$  open,  $V^K$  is finite dimensional.



Example 1: ①  $\mathbb{R}$  is smooth, admissible.

$\mathbb{R}^{\infty}$  is smooth, not admissible.

②  $GL_n(K) \curvearrowright K^n$  is not smooth, as  $\text{stab}(v)$  is not open.

In fact, if  $g: GL_n(K) \rightarrow GL(V)$  is smooth,  $V$  f.d.  $\Rightarrow \ker g = \cap \text{Stab}(basis)$  is open, minimal  $\Rightarrow \ker g \supset SL_n(K) \Rightarrow$  3 factors over det.

③ Consider:  $\mathcal{F} = \{ \varphi: \mathbb{Z}_p \rightarrow \mathbb{C} \mid \varphi \text{ is locally constant} \}$ .

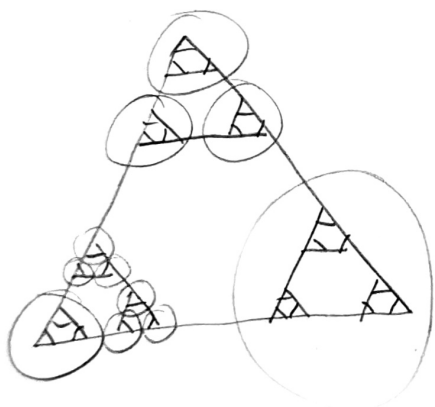
This is an  $\infty$ -dim rep of  $\mathbb{Z}_p$  (the "smooth regular rep"),

$p$ -adic analogue of  $L^2(G)$ ,  $p \dots$

Let us argue that  $\mathcal{F}$  is smooth, admissible.

Fix  $\varphi \in \mathcal{F}$ , for any  $x$  we can find  $U_x \ni x$  s.t.  $\varphi|_{U_x}$  constant.

Obv, each  $U_x$  is of the form  $x + p^n \mathbb{Z}_p$ .



Compactness of  $\mathbb{Z}_p \Rightarrow \exists$  finite subcover

a subcover  $U_{x_1} \cup \dots \cup U_{x_n}$ .

let  $n = \max\{n_{x_i}\}$ .

Then  $\varphi$  is fixed by  $p^n \mathbb{Z}_p \Rightarrow$  smooth.

A basis of open nbhds of 0 is given by  $p^m \mathbb{Z}_p$ .

$\mathcal{F}^{p^m \mathbb{Z}_p} = \{ \varphi \mid \varphi \text{ constant on } p^m \mathbb{Z}_p \text{ cosets} \} = \{ \varphi: \mathbb{Z}/p^m \mathbb{Z}_p \rightarrow \mathbb{C} \}$   
which is finite dim.

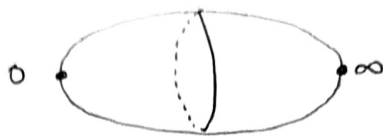
④ Final example (very important).

$$K \xrightarrow{\theta_K} * \xrightarrow{\theta_K} \mathbb{C}$$

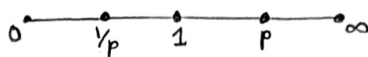
$$B = \{z \mid |z| \leq 1\}$$

$$\mathbb{P}^1 \mathbb{C} = B \cup B^{-1}$$

Consider:  $\mathbb{P}^1(K) = K \cup \{\infty\}$



$\downarrow \text{I.I.}_p$



$\mathbb{P}^1(K)$  covered by compact sets  $\Rightarrow$  compact

$$\text{(i.e. } \mathcal{O}_K = \{ \lambda \in \mathbb{P}^1 \mid |\lambda| \leq 1 \} \cup \{ \lambda \mid |\lambda| \geq 1 \} \text{.)}$$

Now: same arguments as before show that

$\mathbb{I} = \{ \varphi : \mathbb{P}^1(K) \rightarrow \mathbb{C} \mid \varphi \text{ locally constant} \}$  is smooth and admissible.

Exercise:  $\mathbb{I}/\text{inv}$  is irreducible.  $\mathbb{I}/\text{inv}$  is the Steinberg module.

(Caution: not sure how hard this is with current techniques)

Back to the case of  $G_n$ . (For concreteness, theory works for any totally disconnected group).  
If  $K_0, K_1, \dots$  denote our sequence of open nbhds of the identity we can consider the chain

$$V^{K_0} \subset V^{K_1} \subset V^{K_2} \subset \dots$$

smooth  $\Rightarrow$  every vector lives in  $V^U$  for some  $U < G$  open, hence in some  $V^{K_i}$ . filtration is exhaustive.

admissible  $\Rightarrow$  each  $V^{K_i}$  is finite dimensional.

$K_0$  action on  $V^{K_i}$  factors over  $K_0/K_i \cong \text{GL}_n(\mathcal{O}_K/m_K^i)$

Hence:  $V^{K_i} = \bigoplus_{\mathfrak{s} \in \widehat{K_0/K_i}} V^{K_i}(\mathfrak{s})$

$\uparrow$  finite group  
 e.g.  $\text{GL}_n(\mathbb{Z}/p^n\mathbb{Z})$ .

$\uparrow$   $\mathfrak{s}$  isotypic component.

Passing to the limit:  $V = \bigoplus_{\mathfrak{s} \in \widehat{K_0}} V(\mathfrak{s})$

$\leftarrow$   $\mathfrak{s}$  isotypic component

Lemma:  $V$  admissible  $\Leftrightarrow$  each  $V(\mathfrak{s})$  is finite-dimensional.

Proof: If  $V(\mathfrak{s})$  is infinite-dimensional for some  $\mathfrak{s}$ , then  $\text{ker } \mathfrak{s}$  contains a  $K_i$  for some  $i$ , then  $V(\mathfrak{s}) \subset V^{K_i}$  is  $\infty$ -dimensional, hence  $V^K$  is smooth.

For the other direction, note that  $\mathfrak{s}$  can occur in at most one of the reps

$V^{K_0} \supset V^{K_1}/V^{K_0} \supset V^{K_2}/V^{K_1} \supset V^{K_3}/V^{K_2} \dots$

indeed, if  $\mathfrak{s} \in V^{K_{i+1}}/V^{K_i}$ , then  $\mathfrak{s}$  has  $K_{i+1}$  invariants, but no  $K_i$  invariants.  $\square$

Because each of these spaces is finite dimensional, the result follows.

Remark: This is like the theory of  $K$ -finite reps in the rep. theory of real Lie groups. A big difference is that here we have no idea about the rep theory of e.g.  $\text{GL}_1(\mathbb{Z}/p^n\mathbb{Z})$ , whereas we know the rep theory of compact Lie groups rather well.

Examples: ①  $\mathcal{F} = \{ \varphi: \mathbb{Z}_p \rightarrow \mathbb{C} \mid \varphi \text{ loc. constant} \}$ ,  $\mathcal{F}^{\text{reg}} = \{ \varphi \mid \varphi \text{ constant on } p^m \mathbb{Z}_p \text{-orbits} \}$   
 $= \text{reg rep. of } \mathbb{Z}_p/p^m \mathbb{Z}_p$ .

Hence  $\mathcal{F} = \bigoplus_{\substack{\chi: \mathbb{Z}_p \rightarrow \mathbb{C}^\times \\ \text{ch}}} \mathbb{C} \chi$

②  $\mathcal{I} = \{ \varphi: P'(K) \rightarrow \mathbb{C} \mid \text{loc. constant} \}$ ,  $\mathcal{F}^{K_n} = \{ \varphi: P'(\mathcal{O}_K/m_K^n) \rightarrow \mathbb{C} \}$

$\mathcal{F} = \varinjlim \mathbb{C} [P'(\mathcal{O}_K/m_K^n)]$